

User Services and Applications Working Group
Requirements: Release 5.2 – Faculty Deposit features for NIH-funded articles
October 19, 2009 / Approved at 9/3/09 SW-Arch meeting

These features will be incorporated in Release 5.1 and have been documented:

- Allow third party deposit by an authorized Rutgers user on behalf of another. This could be a [Rutgers] co-author, administrative support personnel, or another assistant.
 - Add an embargo period, with the embargo periods to be configurable: Immediately; by months up to 12 months; 15 months; 18 months; 2 years; 3 years.
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These features should be incorporated in Release 5.2:

Develop a utility for RUcore staff or authorized Rutgers users to assign an existing RUcore resource to his or her collection.

Make these changes on the Metadata/Information page of the Faculty Deposit service:

- Add “Start Page Number” and “End Page Number” text boxes. They should be placed after or below the “Volume” and “Issue” text boxes. The page numbers will be incorporated into the journal citation note.
- REMOVE the Number of Pages element.
- Information pertaining to a journal citation will be written to the WMS in <descMD><mods:extension> as follows:

```
<mods:descriptiveEvent><mods: type>Citation</mods:type>
  <mods:associatedEntity>
    <mods:name>lastName1, firstName1</mods:name>
    <mods:role>Author</mods:role></mods:associatedEntity>
  <mods:associatedEntity>
    <mods:name>firstName2 lastName2</mods:name>
    <mods:role>Author</mods:role>
    and so forth until
  <mods:associatedEntity>
    <mods:name>firstNameFinal lastNameFinal</mods:name>
    <mods:role>Author</mods:role>
  <mods:associatedObject>
    <mods: type>Cited resource</mods:type>
    <mods:name>Journal title</mods:name>
    <mods:details>volumeNumber.issueNumber (publicationDate):pageStart-
      pageEnd.</mods:details>
```

- All authors' names will also be written to the WMS in <descMD><name> following the usual conventions:

```
<mods:name type="personal">
  <mods:namePart type="family">lastName1</mods:namePart>
  <mods:namePart type="given">firstName1</mods:namePart>
```

```

<mods:role><mods:roleTerm type="text"
    authority="marcrelator">Author</mods:roleTerm></mods:role>
<mods:affiliation>Rutgers University. SAS – Whatever</mods:affiliation>

<mods:name type="personal">
    <mods:namePart type="family">lastNameFinal</mods:namePart>
    <mods:namePart type="given">firstNameFinal</mods:namePart>
    <mods:role><mods:roleTerm type="text"
        authority="marcrelator">Author</mods:roleTerm></mods:role>
    <mods:affiliation>University of Something. Dept. of Importance</mods:affiliation>

```

- The journal citation should be written in the full search record as follows:
 - LastName1, firstName1, firstName2 lastName2, and firstNameFinal lastNameFinal. "Title of article." JournalTitle volumeNumber.issueNumber (publicationDate):pageStart-pageEnd.
 - The information for the journal citation should be taken from the MODS as follows:
 - lastName(s) and firstName(s): from <mods:name type="personal">
 - Title of article: from <mods:titleInfo><mods:title>
 - JournalTitle: from <mods:extension><mods:descriptiveEvent><mods:type>Citation</mods:type><mod s:associatedObject><mods:name>
 - volumeNumber, issueNumber, publicationDate, pageStart, pageEnd: from <mods:extension><mods:descriptiveEvent><mods:associatedObject><mods:detail>
 - Commas should be supplied following the first lastName, following the first firstName, and following each subsequent firstName lastName combination.
 - The word "and" should be supplied before the final firstName lastName combination if there is more than one author.
 - Quotation marks should be supplied around the name of the article title.
 - A period should be supplied at the end of the title, inside the quotation marks.
 - The cataloger will be responsible for polishing the punctuation and spacing of the volume number, publication date, and start/end pages in the WMS. They should display as presented in the <details> portion of the <descriptiveEvent>
 - Here's an example: Marker, Rhonda J., Linda Langschied, Chad Mills, Isaiah Beard, and Mary Beth Weber. "Article Title: Subtitle." Journal Title 1.2 (2008): 37-63.
- Incorporate NIH Manuscript Submission: If a sponsoring agency is selected, display these additional elements:
 - Grant/Project Number
 - The Grant/Project Number given in this area will write to the descriptiveEvent element in WMS as follows:

```

<mods:descriptiveEvent>
    <mods:type>Grant</mods:type>
    <mods:associatedObject>
        <mods:type>Grant number</mods:type>

```

- Each Grant Number will get its own Descriptive Event with the type, “Grant”.
- Grantee Name (lastName, firstName)
 - The name(s) given in this area will write to the descriptiveEvent element in WMS as follows:


```

<mods:descriptiveEvent>
    <mods:type>Grant</mods:type>
    <mods:associatedEntity>
        <mods:name>lastName, firstName</mods:name>
        <mods:role>Originator</mods:role>

```
 - The name given in this area will ALSO write to the Name element in WMS as follows:


```

<mods:name type="personal">
    <mods:namePart type="family">[familyName]
    <mods:namePart type="given">[givenName]
    <mods:role>Originator</mods:role>

```
 - Note that “Originator” is the role term to be used in the Descriptive Event element and the Name element for the Grantee.
 - Grantee Names should be repeatable within a Descriptive Event to allow for co-grantees.
- Sponsoring Agency
 - The name of the sponsoring agency given in this area will write to the descriptiveEvent element in WMS as follows:


```

<mods:descriptiveEvent>
    <mods:type>Grant</mods:type>
    <mods:associatedEntity>
        <mods:name>[name of sponsoring agency]</mods:name>
        <mods:role>Funder</mods:role>
    </mods:associatedEntity>

```
 - The name of the sponsoring agency will ALSO write to the Name element in WMS as follows:


```

<mods:name type="corporate">[name of sponsoring agency]<mods:role>Funder

```
 - Note that “Funder” is the role term to be used for a Sponsoring Agency.

- These elements (Grant Number, Grantee Name, and Sponsoring Agency) should appear as a set within Descriptive Event.

Create an additional page on the Faculty Deposit form between the Metadata/Information page and the File Upload page *if National Institutes of Health (NIH) is selected as a sponsoring agency*. This page is for sign-off of agreements such as Submission Statement for [NIH] grantee submitting his/her own manuscript; or Statement of Endorsement for agent submitting manuscript on behalf of [NIH] grantee. The page will feature three options, one of which MUST be selected:

- Submission Statement for [NIH] author submitting his/her own manuscript (1)
- Statement of Endorsement for agent submitting manuscript on behalf of [NIH] author (2)
- “My article is not required to be submitted to PubMed Central under the NIH Public Access Policy” [link to <http://publicaccess.nih.gov/>]
- Following are the Submission Statement for [NIH] authors, and the Statement of Endorsement for an agent submitting on behalf of the [NIH] author, adapted from the approved texts in the current NIH Submission Form (<http://rucore.libraries.rutgers.edu/nih/form.php> (2nd page).

(1) Statement for author or grantee submitting his/her own manuscript:

I acknowledge and confirm:

Grant Compliance - I am submitting an electronic version of my final manuscript that is the result of research supported, in whole or in part, with direct costs by the National Institutes of Health. This submission is voluntary and fulfills the existing requirement to provide publications as part of NIH project progress reports.

Public Release - I permit public release of this manuscript via PubMed Central available after any applicable embargo period prescribed by the journal in which it appeared.

Copyright Compliance - This public release permission is consistent with any copyright agreement about this manuscript that I have made with the journal publisher.

(2) Statement for agent submitting manuscript on behalf of author or grantee:

By checking this box I certify that I comply with the following submitter requirements:

- I am submitting this manuscript to the NIH Manuscript Submission system on behalf of the author(s).
- The version deposited includes all changes resulting from the peer review process. The author has been informed that he/she will receive email confirmation of this action and that in order to complete the submission process, he/she will have to log in to the NIHMS to review and approve the submitted manuscript.
- When a Statement is chosen, it will write metadata to: rightsMD / rightsEvent type="Permission or license" / associatedEntity role="Depositor" [Name of person logged in] / associatedObject type="License" / Detail [Here, include the entire text of the Statement or agreement]

Because we anticipate that other funding agencies will enact similar Public Access/Deposit mandates, this feature should be configurable as to which sponsoring agency selections trigger the additional page, the number of agreements for a sponsoring agency, and the text of the agreement(s).

Develop a Grant-Funded Publications Report: The faculty user will have a new list/report: My Grant-Funded Publications in RUcore.

- Option to sort by Granting Agency¹
- Option to sort by Year (Date)²
- Include Title [of article], Year (Date) [of article], Granting Agency Name, Grant Number³
- Grant-Funded Publications can be identified by: <descMD><descriptiveEvent><type="grant">

¹Granting Agency:
<descriptiveEvent>
<associatedEntity>
<role>Funder
<name type="corporate">**Granting Agency Name**
List all items associated with this Granting Agency

²Year (Date):
<originInfo>
<dateCreated>YYYY
List all grant-related items associated with this date

³Grant Number:
<associatedObject>
<type>Grant number
<name>**Number**
List all items associated with this Grant Number

In the Advanced Search drop-down list (Full Text, Full Record, Title, etc.), add for RUcore, Faculty/Departmental Collections, and Partner Portal:

- Grant Number
 - o Search this: <descMD><descriptiveEvent><type>Grant><associatedObject><type>Grant number