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# ASSESSING THE CYTOTOXICITY OF NEWLY DEVELOPED GLIADIN NANOPARTICLES LOADING POLYMETHOXYFLAVONES

By

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# ABSTRACT OF THE THESIS

Assessing the Cytotoxicity of Newly Developed Gliadin Nanoparticles Loading

**Polymethoxyflavones** 

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**Dr. Qingrong Huang** 

Whether the consumption of dietary supplements is helpful or not significantly depends on

the bioavailability of the nutraceuticals. Since nutraceuticals dissolve poorly in aqueous

system and undergo rapid and intensive metabolism, they are low in bioavailability. In this

thesis, a novel delivery system was developed from gliadin to encapsulate

polymethoxyflavones, and the cellular uptake and cytotoxicity of the system were assessed

upon Caco-2 colon cancer cell lines.

Gliadin is the prolamin from wheat thus is GRAS (generally recognized as safe). The huge

production of wheat domestic and oversea makes gliadin easily accessible. Gliadin is not

well studied for its formulation of nanoparticles, let alone the physiochemical properties

or cytotoxicity of gliadin particles. Polymethoxyflavones (PMF) are flavonoids from citrus

peels. Although they have shown health benefits such as anti-cancer and anti-inflammation

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effects, they are extremely low in bioavailability and hardly dissolve in water.

In the experiments, gliadin was extracted from commercial gluten, and its purity was evaluated before further study. The shape and size of 1 mg/ml gliadin in 60% ethyl-ethanol was shown prolate ellipsoid and 157 Å by 27 Å by 6 Å based on small angel X-ray scattering (SAXS). Preliminary trails were carried out to find out favorable conditions for gliadin nanoparticle formulation. The PMF-loaded gliadin nanoparticles were prepared by induced self-assembly. Particles presented spherical morphology shown by atomic force microscopy (AFM). Particles have a diameter around 150nm without any chemical agent to stabilize. The PMF-loaded gliadin nanoparticles demonstrated little, if there is any, cytotoxicity upon human colon cancer cells. In short, gliadin nanoparticles formulated in this study have reasonable loading and very low cytotoxicity and may be used to load lipophilic nutraceuticals other than PMF.

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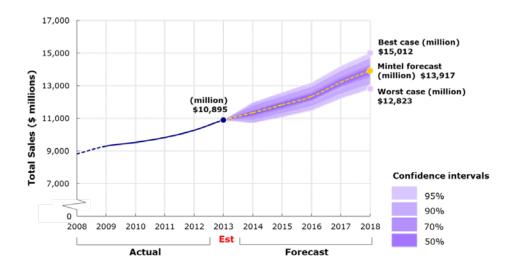
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#### **CHAPTER 1 INTRODUCTION**

# 1.1 Nutraceuticals and Bioavailability

#### 1.1.1 Nutraceuticals

Nutraceutical is combined from "nutrition" and "pharmaceutical", first introduced by Stephen L. DeFelice, founder and chairman of the Foundation of Innovation Medicine, in 1989 (Kalra, 2003). The concept of "nutraceutical" is fresh-born, and refers to the bioactive compounds that has physiological benefits and may protect consumers from chronic diseases, such as cancer, diabetes, and cardiovascular diseases (Kalra, 2003). Dietary supplements and functional food are examples of nutraceutical products on the market. Apparently, the general public cares about health; Mintel report has demonstrated a steady growth of the sales of dietary supplements since 2009 shown in Figure 1.1 (Mintel, 2014).



**Figure 1.1** Sales and expected trend of dietary supplements in the U.S. (Mintel, 2014)

# 1.1.2 Oral bioavailability

Despite of the promising market, the consumed nutraceuticals do not necessarily contribute to health significantly. Whether or not the dietary supplements are helpful largely depends on the bioavailability of nutraceuticals. Unfortunately, most nutraceuticals are of low oral bioavailability, although oral delivery is non-invasive and commonly accepted.

For a given nutraceutical, oral bioavailability describes the amount in the blood circulation when it's taken by oral delivery, or eating (Pintore, Piclin, Chrétien, &Van De Waterbeemd, 2003). Internally, bioavailability is determined by ADME- absorption, distribution, metabolism, and excretion (Damodaran, Parkin,&Fennema, 2007). Therefore, anything that influences ADME contributes to bioavailability indirectly. Examples would include but are not limited to chemical properties and metabolic fates of nutrient.

Various factors lead to the low bioavailability of nutraceuticals. First of all, nutraceuticals dissolve poorly in aqueous system, thus are vulnerable to quick elimination from the gastrointestinal tract (Bell, 2001; Loveday & Singh, 2008). In addition, most nutraceuticals are polar to lipophilic cell membranes andhave low mucosal permeability and cellular uptake (Bell, 2001; Galindo-Rodriguez, Allemann, Fessi, & Doelker, 2005). Consequently, those limitations restrict the effectiveness and health benefits of oral-taken dietary supplements.

# 1.2 Nanoparticles in food science

# 1.2.1 Definition and potential of nanoparticles

To address the issue of low bioavailability, nanoparticle is a highly potential solution. Nanoparticles are colloidal carriers scaled around 100 nm. Blank nanoparticles are made from natural polymers such as proteins and combinations of proteins and polysaccharides. Nanoparticles loaded with target compounds are categorized as nanocapsules and nanospheres; the former have core/shell structure while the latter a uniform matrix structure (Couvreur, Dubernet, &Puisieux, 1995). By loading target compound with nanoparticles, hydrophobic nutraceuticals can be dispersed in aqueous system evenly, perform controlled release, and enhance the uptake through the epithelial lining (Galindo-Rodriguez, Allemann, Fessi, &Doelker, 2005; Lamprecht, Saumet, Roux & Benoit, 2004).

Edible nanoparticles are readily to be applied in functional food or dietary supplements. For example, they may be fortified into beverage or dressing in their native form as long as they are stable at low pH. After freeze-dried, nanoparticles could be carried in capsules or tablets as dietary supplements.

#### 1.2.2 Preparation of nanoparticles

More often than not, nanoparticles in food science are prepared by "bottom-up" approach, where nanoparticles are prepared by electrostatic interactions or anti-solvent induced self-

assembly. To form edible nanoparticles, proteins are of great importance. As proteins, the presence of multifunctional group makes interaction with nutraceuticals possible (Elzoghby, Abo El-Fotoh, &Elgindy, 2011). Also, proteins can provide biological support for nutraceuticals ((Ezpeleta, Irache, Stainmesse, Chabenat, Gueguen, Popineau, &Orecchioni, 1996). Most importantly, protein is GRAS (generally recognized as safe) and can be applied in the food industry.

After partial denature and/or gelation, water-soluble proteins (gelatin, collagen, casein, legumin, etc.) are capable of forming nanoparticles with calcium ions or charged polysaccharides. Electrostatic interactions of proteins happen when pH is away from their isoelectric points, and contribute to nanoparticle formation. However, their hydrophilic nature leaves water-soluble proteins vulnerable to enzymatic degradation; sometimes nanoparticles made from water-soluble proteins require non-food-grade cross-linkers to stabilize (Muzzarelli, 2009).

In contrast, prolamins usually do not need further modification or chemical cross-linkers to form nanoparticles. Prolamins are plant proteins that are insoluble in water and soluble in aqueous ethanol. Self-assembly happens when prolamin-in-ethanol solution is added into a large amount of anti-solvent (usually water) and the solubility of prolamins drops dramatically. The formation of nanoparticles and encapsulation of nutraceutical take place simultaneously. Prolamins then self-assemble into nanoparticles that repel each other due

to electrostatic force. Such process may be industrialized owning to its simplicity.

#### 1.3 Gliadin

### 1.3.1 Chemistry of gliadin, glutenin, and gluten

Gliadin is a group of prolamins from wheat berry. Wheat is one of the predominant cereal grains of all times. In 2008, wheat production ranked fourth among all commodities produced worldwide (FAOSTAT, 2011). Cereal-seed proteins can be categorized based on the Osborne's sequential extraction (Osborne, 1907). Albumins (extractable with water) and globulins (extractable in salt solutions) are non-gluten-forming proteins, which takes 15% to 20% of total wheat proteins (Wieser, Antes, & Seilmeier, 1998). Gluten proteins are predominating in wheat proteins, occupying more than 75% (Delcour, Joye, Pareyt, Wilderjans, Brijs, & Lagrain, 2012). "Gluten" is often interchangeable with "gluten proteins", which is the viscoelastic, rubbery mass obtained by thoroughly washed wheat dough (Wieser, 2007). Gluten proteins are hardly extractable with water or salt solution, and can be further classified as gliadin and glutenin (Wieser, 2007).

Although both belongs to gluten proteins, gliadin differs from glutenin in several differently ways. Gliadin takes more than half of total gluten proteins, 58%-77% to be more specific (Wieser, 2007). Gliadins are monomeric and have average molecular weight between 28 to 55 kDa, whereas glutenins are polymers with much higher molecular weight

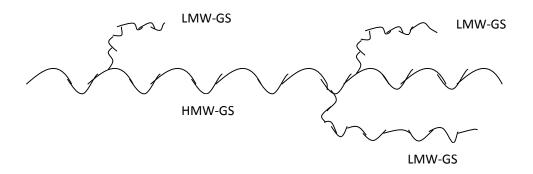
from 80kDa to more than 1000kDa (Tatham, Masson, & Popineau, 1990). Besides, gliadin has higher proline and phenylalanine composition but lower glycerin than glutenin (Wieser, 2007).

The hydrophobicity and relatively low molecular weight contributes to the good solubility of gliadin in aqueous ethanol. Gliadins can be further classified into four sub-types based on amino acid sequences and compositions,  $\omega$ 5-,  $\omega$ 1, 2-,  $\beta$ / $\alpha$ -, and  $\gamma$ -gliadin (Wieser, Antes, & Seilmeier, 1998). Sub-types of gliadins differ in molecular weight and proportions in gluten, as demonstrated in Table 1 (Wieser, 2007). It's worth mentioning that  $\alpha$ -gliadins are responsible for the allergic reactions (Kobrehel, Bois, & Falmet, 1991). The secondary structure of gliadin has an overall compact globular structure; and gliadin has different number of intra-molecular disulfide bonds among sub-types (Veraverbeke & Delcour, 2002).

**Table 1.1** Sub-types of gliadin: molecular weight and proportions in gluten (Wieser, 2007).

Туре	MW (kDa)	Proportions (%)
ω5-gliadins	49-55	3-6
ω1, 2-gliadins	39-44	4-7
β/α-gliadins	28-35	28-33
γ-gliadins	31-35	23-31

On the other hand, glutenin is insoluble in most solvents (including acetic acids) due to its extraordinary molecular weight. Although the exact structure of glutenin is not known for sure, the most popular brief states that glutenins are composed of a linear backbone (high molecular weight glutenin subunits, or HMW-GS) and one or more braches (low molecular weight glutenin subunits, or LMW-GS) (Singh, & MacRitchie, 2001). The large backbone and relatively small braches are linked through inter-molecular disulfide bonds. Treated with reducing agents, inter-molecular disulfide bonds break, and LMW-GS are dismissed from backbone (Don, Lichtendonk, Plijter, & Hamer, 2003). Those free LMW-GS demonstrates similar solubility with gliadins (2003).



**Figure 1.2** Illustration of glutenin macromolecule structure (Don, Lichtendonk, Plijter, & Hamer, 2003)

To put all in a nutshell, the hydrophobicity and high proportion of gliadin in wheat berry makes it suitable for nanoparticle formulation via self-assembly. Gliadins can be further classified into four sub-types, with various molecular weight from 28 kDa to 55 kDa.

Moreover, the abundance of wheat production annually domestic and oversea means easy access towards raw material. Distinct chemical properties of gluten proteins justify the extraction and separation of gliadin from commercial gluten using aqueous ethanol.

#### 1.3.2 Current research on gliadin

Present academic study on gliadin focuses on its functionality in bakery products and dough formation, since flour or gluten is staple food in most countries. Some studies were conducted on the application of gliadin in terms of film formation to achieve controlled-release food package. Gliadin film has been well studied, including but not limited to favorable conditions for gliadin film formation, its sensitivity towards humidity and physical force, and its application as edible food package. Most studies suggest gliadin film is more vulnerable to humidity than pressure (Kieffer, Schurer, Köhler, & Wieser, 2007; Balaguer, Cerisuelo, Gavara, & Hernandez-Muñoz, 2013), with a reasonable tolerance to normal force but not shear force (Koehler, Kieffer, & Wieser, 2010).

Meanwhile, not much research has yet been dedicated to gliadin nanoparticles. With related publication scattered, the understanding towards gliadin nanoparticles are largely limited. A recent study on gliadin nanoparticles developed a formulation of blank gliadin particles and investigated the stability upon various temperature and pH (Joye, Nelis, & McClements, 2015). The reported formulation, however, was not defined at all because the

authors diluted gliadin extract instead of preparing gliadin solutions. In other words, others cannot reproduce or accommodate their formulation, because the concentration of gliadin solution is unknown.

So far it's been confirmed that gliadin nanoparticles are more stable when loaded with non-polar nutraceuticals than polar nutraceuticals (Duclairoir, Orecchioni, Depraetere, & Nakache, 2003). The controlled release profile was reported similar to zein-based nanoparticles, demonstrating a burst release within one hour followed by a gradual release later on (Duclairoir, Orecchioni, Depraetere, & Nakache, 2002). On the other hand, little has been revealed on the morphology or cytotoxicity of gliadin nanoparticles. Information that indicates the shape and size of gliadin in aqueous ethanol is also absent.

#### 1.4 Polymethoxyflavones: chemistry, benefits, and bioavailability

Polymethoxyflavones (PMFs) are flavonoids almost exclusively from citrus peel. PMFs used in this thesis were extracted from bitter orange peel by column chromatography in previous study. PMFs possess multiple methoxyl groups on 15-carbon benzo-γ-pyrone skeleton (C6-C3-C6) with a C4 carbonyl group. in each molecular. PMFs differ from each other due to varied number/position of methoxyl groups. There have been more than twenty distinct PMF isolated and identified, among which tangeretin and nobiletin are most common and abundant (Li, Lambros, Wang, Goodnow, & Ho, 2007).

Commercial citrus commodities include oranges, lemons, and grapefruit. The annual production of citrus fruits is approximately 10 million tons, thus yields large amount of citrus peel as byproduct by beverage industry (Ting, Xia, Li, Ho, & Huang, 2013). The utilization of PMFs from otherwise discarded citrus peel creates additional economic value to the society.

PMFs are emerging bioactive compounds that have demonstrated various health benefits by a great number of publications. So far, PMFs have shown multiple biological activities, such as anti-inflammatory (Ho, Pan, Lai, & Li, 2012), anti-atherosclerosis (Li, Pan, Lo, Tan, Wang, & Shahidi, 2009), and anti-tumor (Miyata, Sato, Imada, Dobashi, Yano, & Ito, 2008). Particularly, tangeretin were documented to exhibit selective anti-proliferative activity towards cancer cells while sparing normal cells (Stoner, Kaighn, Reddel, Resau, Bowman, & Naito, 1991) and cholesterol-lowering effect (Kurowska & Manthey, 2004).

Unfortunately, such great biological value is greatly limited by low bioavailability. Compared with polyhydroxylated flavonoids, PMFs are more lipophilic, as methoxyl group are more hydrophobic than hydroxyl group. That is to say, PMFs are easier to pass through small intestine (Kurowska & Manthey, 2004). Even so, PMFs still have low bioavailability, because they poorly dissolve in water and undergo rapid and extensive metabolism after consumption (Nielsen, Breinholt, Cornett, & Dragsted, 2000).

In this thesis, PMF is the nutraceutical loaded in the gliadin nanoparticles. PMFs are white crystalline in room temperature and are stable at ambient and slightly elevated temperature (up to 80 degrees centigrade). Therefore, PMFs are easily accepted in the research and on the market. The molecular weight of PMFs falls in the range as can be encapsulated in nanoparticles.

Table 1.2 Structure and molecular weight (MW) of major PMFs

Name	Structure	MW (g/mol)
Tanngeretin	OCH <sub>3</sub>	372
(5,6,7,8,4'-penta	H <sub>3</sub> CO H <sub>3</sub> CO	
methoxylflavone)	о́сн <sub>а</sub> о́	
Nobiletin	осн <sub>а</sub>	402
(5,6,7,8,3',4'-hexa	H <sub>3</sub> CO OCH <sub>3</sub>	
methoxyllflavone)	OCH <sub>3</sub> O	

# **CHAPTER 2 OBJECTIVE**

The objective of this thesis is to partially fill in the blanks of gliadin nanoparticle study. To better understand gliadin as a protein, its shape and size was briefly examined in SAXS. It is of great interest to study how gliadin nanoparticles could disperse PMFs in aqueous system and affect on human cells in terms of cytotoxicity and uptake. Therefore, the PMF-loaded gliadin nanoparticles were formulated, characterized, and evaluated on cell culture.

First, the favorable conditions for gliadin particle formation were investigated with a refined formulation finalized. Second, the blank formulation was used to load PMF; the characteristics of the loaded gliadin nanoparticles were studied. Last, both blank and loaded formulation were tested upon Caco-2 human cancer cells for cytotoxicity.

Overall, it would be better understood of the eligibility and capability of gliadin nanoparticles being adequate nutraceutical carrier.

# CHAPTER 3 EXTRACTION AND IDENTIFICATION OF GLIADIN FROM COMMERCIAL GLUTEN

#### 3.1 Introduction

Gliadin is the ethanol-soluble portion of gluten proteins. Gliadin is a complex combination of wheat proteins that share similar amino acid profile and can be further divided into four sub-types,  $\omega 5$ -,  $\omega 1$ , 2-,  $\beta/\alpha$ -, and  $\gamma$ -gliadin. The molecular weight of gliadin varies between 28 to 55 kDa.

Gliadin and glutenin can be differentiated by their solubility in aqueous ethanol. Glutenin proteins have such high molecular weight (100 kDa or higher) that they hardly dissolve in most solvent. In addition to the difference in solubility, the amino acid profile of glutenin units differ from gliadin. Commercial gluten is mostly gluten proteins with very low amount of carbohydrates and little lipids. Therefore, gliadin is extracted by aqueous ethanol and separated through centrifugation.

To ensure the purity of the extracted gliadin is adequate for nanoparticle formulation, a series of identification test were conducted. The chemical identify was confirmed with Fourier Transform Infrared Spectroscopy (FTIR). The protein content (on a wet basis) was examined by Kjeldahl method, along with moisture content analysis. The molecular weight

was confirmed by sodium dodecylsulphate-polyacrylamide gel electrophoresis (SDS-PAGE) and gel permeation chromatography (GPC).

#### 3.2 Materials and Methods

#### 3.2.1 Materials

Gliadin was extracted from commercial gluten (Vital Wheat Gluten) purchased from Arrowhead Mills. Water purified by the Milli Q system was used throughout the experiments except for GPC assay, where water (HPLC grade) was purchased from Pharmco-AAPER (Brookfield, CT, USA). Na<sub>2</sub>HPO<sub>4</sub> (ACS grade), NaH<sub>2</sub>PO<sub>4</sub> (ACS grade), and sodium dodecylsulphate (ACS grade) were purchased from Sigma-Aldrich. 70% and 60% ethyl-ethanol were prepared using 95% ethyl-ethanol (ACS grade) from Pharmaco-AAPER.

#### 3.2.2 Extraction process

Gliadin was purified from lipid-free commercial gluten by Arrowhead Mills. The extraction process is adopted and modified based on previous studies (Wang, Tao, Wu, Yang, Chen, Jin, & Xu, 2014; Joye et al., 2015). Briefly, 20g commercial gluten was extracted twice with 200ml 70% ethyl-ethanol under mechanical stirring for 2 h, followed by centrifugation at 10,000g for 20 min. Between two extracting process, the cohesive mixture was chopped to pieces using spatula. The ethanol in supernatants was removed by

rotary evaporation at 30 °C. The gliadin extraction was freeze-dried and ground to make light yellow powder for use.

# 3.2.3 Nitrogen content measurement and FTIR spectroscopy

To estimate the protein content in the gliadin extraction, the nitrogen content (on wet basis) was examined by Kjeldahl method. The moisture content of gliadin extract was determined by Denver Moisture Analyzer IR-200 (Denver Instrument, Bohemia, NY). FTIR spectrum was obtained from Nicolet-Nexus 670 FTIR spectrometer (Fisher Scientific Inc., MA, USA). Gliadin extract was examined 128 scans with 4cm<sup>-1</sup> resolution between 4000 to 600 cm<sup>-1</sup> for each measurement. Spectrum was exported by OMNIC 7.2 software.

### 3.2.4 SDS-PAGE analysis

The molecular weight distribution of gliadin extract was estimated by SDS-PAGE. Classic Laemmli buffer system was used as running buffer. The gliadin sample was suspended in the sample buffer at 2.5 mg/ml and 5mg/ml, respectively. 161-0318 Prestained SDS-PAGE Standards (Bio-Rad Laboratories, Hercules, CA) were used as protein molecular weight standards. After the sample was heated in boiling water for 10 min, the solution was used for SDS-PAGE analysis (Bio-Rad Laboratories, Hercules, CA) and separated in a 1mm thick preparative gel containing 12 % of resolving gel and 4% of stacking gel. Runs were performed at 80V.

# 3.2.5 GPC analysis

The protein size distribution was tested by GPC on a high performance liquid chromatography (HPLC) from Dionex (Sunnyvale, CA, USA) with an UltiMate 3000 Pump. Separation was performed on Acclaim® PolarAdvantage HPLC column (3 X 250mm, 3µm), and the collection of data was achieved by Chromeleon software. Ultraviolet detector (UltiMate 3000 Variable Wavelength) was used to detect gluten proteins at 214 nm wavelength.

Conditions for GPC analysis was adopted from previous study (Lagrain, Brijs, Veraverbeke, & Delcour, 2005). The mobile phase was 0.05M sodium phosphate buffer of pH 6.8 with 0.2% SDS. Gluten proteins were dissolved in 2% SDS in 0.05M phosphate buffer (pH 6.8) at 2mg/ml. The sample was then eluted at a flow rate of 0.7 mL/min for 25 min.

#### 3.3 Results and Discussion

# 3.3.1 Yield of gliadin from commercial gluten

In this study, gliadin extract was from commercial gluten based on its good solubility in aqueous ethanol. The yield was 23.0% by weight, meaning that approximately every 100g commercial gluten gives 20-25g of gliadin. The extraction process doesn't have to start over from Osborne's sequential method, as commercial gluten is handily accessible in the market. Overall, the extraction of gliadin is simple and productive.

# 3.3.2 Nitrogen content and FTIR results

Protein content was estimated 88.2% based on nitrogen content on wet basis. Meanwhile, the moisture content was 8.65%, indicating a little bound water. Given that, the protein content was more than 95% on dry basis.

The IR spectrum confirms the sample protein (Figure 3.1). The absorbance from 3271-3390 cm<sup>-1</sup> indicates N-H stretching vibration and/or -OH, and the most intense peak around 1645 cm<sup>-1</sup> presents C=O and C-N groups. Another characteristic protein peak was near 1530 cm<sup>-1</sup>, which was the combined effects of N-H, C-N and the C-C stretching vibrations. Absorbance near 3000-3200cm-1 are truly indicative of -CH3 and -CH2- groups.

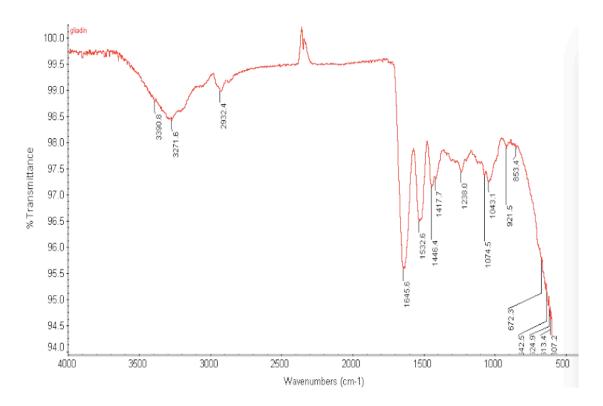


Figure 3.1 FTIR spectrum of gliadin extract

# 3.3.3 SDS-PAGE Results

SDS-PAGE reveals molecular weight of gliadin extract (Figure 3.2). The intensity and distribution of protein bands were similar with the data previously reported (Dahesh, Banc, Duri, Morel, & Ramos, 2014). In Figure 3.2, two major bands were identified between 25kDa and 55kDa, with the upper band being  $\omega$ -gliadins, since  $\omega$ -gliadins have highest average molecular weight. The lower band were highly likely  $\alpha/\beta$ - and  $\gamma$ -gliadins; those three sub-types share similar molecular weight. The absence of any band above 55kDa suggested little amount of high molecular glutenin units.

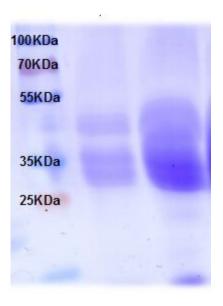


Figure 3.2 SDS-PAGE data for gliadin extract

#### 3.3.4 GPC Results

GPC results (Figure 3.3) and SDS-PAGE results were alike. Two significantly overlapped

constituents were eluted at 9 min and 10.5 min. A minor tail peak showed at 12 min, almost covered by the 10.5 min peak. Based on the molecular weight of different sub-type gliadins, 9 min peak was two  $\omega$ -gliadins ( $\omega$ 5- and  $\omega$ 1,2-). Since  $\alpha$ / $\beta$ -gliadins have slightly lower average molecular weight than  $\gamma$ -gliadins, 10.5 min peak was a combination of  $\alpha$ / $\beta$ - and  $\gamma$ -gliadins. Due to the high composition of  $\alpha$ / $\beta$ -gliadins, it was totally reasonable to have minor tail peak at 12 min. It's worth mentioning that gliadins used to classified as  $\alpha$ -,  $\beta$ -,  $\gamma$ -, and  $\omega$ -gliadin sub-types when scientists hadn't acquired sufficient understanding over their primary structure. Now gliadins have been re-categorized as  $\omega$ 5-,  $\omega$ 1,2-,  $\alpha$ / $\beta$ -, and  $\gamma$ -gliadins.

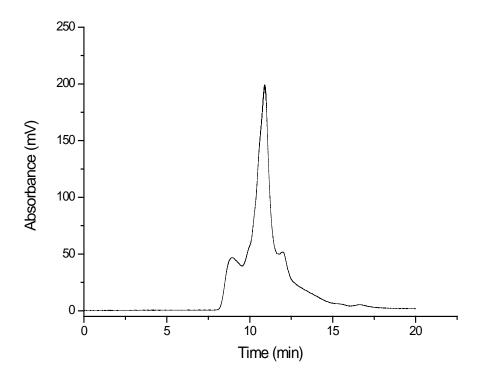


Figure 3.3 GPC data of gliadin extract

# **3.4 Conclusion**

The purification of gliadin is a simple, productive, and efficient process, thanks to the easy access to commercial gluten. It's much easier to extract gliadin than hordein and kafirin. Gliadin protein is a complex group of wheat prolamins with varied molecular weight. The purity of the gliadin obtained was adequate to proceed with the gliadin nanoparticle study.

# **CHAPTER 4 STRUCTURE OF GLIADIN IN SOLUTION**

#### 4.1 Introduction

In order to better understand the prolamin in the delivery system—gliadin, it is essential to understand its conformation and size when dissolved in aqueous ethanol. The primary and secondary structure of gliadin have been well studied and understood. Glutamine and proline are two predominant amino acids in gliadin, and alpha-helices and reverse beta-sheets are present in all sub-types of gliadin proteins (Veraverbeke & Delcour, 2002).

Recently, research has been conducted on the assembly of glutenin via multi-angle static and dynamic light scattering, SAXS, and very small angle neutron scattering (VSANS) (Dahesh, Banc, Duri, Morel, & Ramos, 2014). Glutenin was observed as flexible polymer chains in a good solvent (50% aqueous ethanol). The same research group also concluded that glutenin behave distinctly in dilute and concentrated regimes, as branched polymer coils and polymeric gels, respectively (2014).

However, how gliadin protein is shaped in solution has not yet been studied before. In this thesis, 1 mg/ml gliadin in 60% aqueous ethanol is examined upon synchrotron small angle X-ray scattering (SAXS). SAXS is a fundamental tool in studying macromolecules. At low concentration (such as 1mg/ml), gliadin is well soluble in 50% to 75% aqueous ethanol. 60% ethanol was chosen, because gliadin in 60% ethanol was used in the finalized

formulation of this study.

# 4.2 Experimental method

SAXS measurement was conducted at Bio-CAT, 18-ID beam line section in Advanced Photon Source, Argonne National Laboratory. Gliadin was dissolved in 60% ethyl-ethanol at 1 mg/ml and filtered with 0.45µm membrane. 60% ethyl ethanol was also measured to get the background data. The X-ray wavelength was 1.033 Å. Image and data was generated by two cameras and a high-sensitivity CCD detector. A quartz capillary flow cell of 1.5 mm diameter was maintained at 25 °C and used as a sample holder. To load sample constantly and minimize radiation damage, a Microlab 500 Hamilton pump was used. Scattering data and fifteen curves were generated upon each measurement for further interpretation by GNOM software.

#### **4.3 SAXS Results**

Mathematical methods and modeling techniques are of great importance in SAXS data interpretation (Svergun *et al*, 2003). In this study, we used SAXS to determine the structural information of 1 mg/ml gliadin in 60% ethyl-ethanol solution. Therefore, analysis and model-fitting are based on isotropic and monodisperse system. The total scattering intensity, I(Q), can be expressed as the following equation (Li *et al*, 2012).

$$I(Q) = n_{p}(\Delta \rho)^{2} v^{2} P(Q) S(Q)$$

In the equation above,  $n_p$  is the number of protein molecules in the solution;  $\Delta \rho$  is the difference of electron densities between protein solution and the corresponding solvent; v is the specific volume of the protein, obtained from Fischer's empirical equation (Fischer *et al*, 2004); Q is the scattering factor determined by  $\lambda$ , the wavelength of the X-ray beam, and  $\theta$ , the scattering angle;  $Q=(4\pi/\lambda)sin(\theta/2)$ . P(Q) is the form factor and related to protein conformation, and S(Q) is the structure factor reflecting the aggregation behavior of the protein in a given solution (Li *et al*, 2012). Both P(Q) and S(Q) are expressed in empirical functions depending on the protein, protein concentration, and the solvent (Svergun *et al*, 2003).

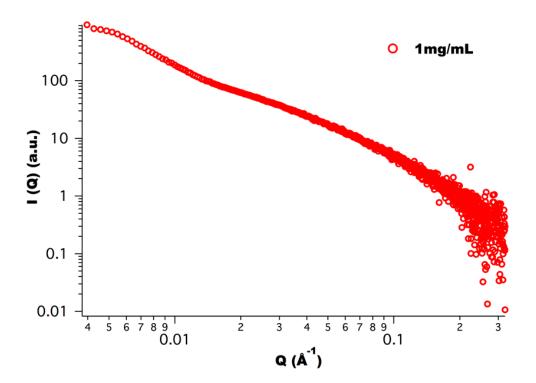


Figure 4.1 Intensity profile of 1mg/mL gliadin in 60% aqueous ethanol solution

In principle, the scattering intensity I(Q) covers the same information as pair distribution function (PDF), yet PDF and Guinier plot are more intuitive and straightforward in fitting and predicting the shape of the protein of interest (Svergun *et al*, 2003). In other words, although the scattering intensity profile, or I(Q), is a piece of fundamental and essential information in determining the assembly of macromolecules, the graph itself doesn't reveal any information directly. GNOM software or Guinier plot presents direct fitting upon different geometry models.

The Guinier plot delivers information on the radius of gyration( $R_g$ ), cross-section radius of gyration ( $R_c$ ), or thickness (T), and PDF expresses size distribution (Svergun *et al*, 2003). The Guinier approximation was given by the equation below.

$$I(Q) = \alpha \pi Q^{-\alpha} A \exp(-\frac{R_{\alpha}^{2} Q^{2}}{3 - \alpha})$$

In this equation,  $\alpha$  is dependent on the shape of the protein:  $\alpha = 0$  for solid sphere (also referred to as classic Guinier fit),  $\alpha = 1$  for rod, and  $\alpha = 2$  for sheet; A is the scattering intensity at Q=0 (Svergun *et al*, 2003). The value of  $R_g$ ,  $R_c$ , and T can be obtained after the value of  $\alpha$ , or the shape of the protein, is determined. To be specific, when  $\alpha = 0$  (sphere),  $R_g$  equals  $R_\alpha$ ; when =1 (rod),  $R_c$  equals  $\sqrt{2}R_\alpha$ ; when =2 (sheet), T, the thickness, is equal to  $\sqrt{12}R_\alpha$  (Svergun *et al*, 2003). GNOM package generated PDF or P(r), which reveals the

distance distribution patterns of the protein body, thus determines the size of three dimensions (Semenyuk *et al*, 1991).

Fitting of gliadin protein against geometry models was completely based on the scattering intensity profile. Figure 4.2 and 4.3 illustrate the fitting of rod particle and globular particle, respectively. Other models such as ellipsoid rotation, cylinder, and hollow sphere were also tested (data not shown). Among the fittings with distinct geometry bodies, the model of ellipsoid demonstrated the best fit. Figure 4.4 shows the prolate ellipsoid fit of gliadin in 1 mg/ml solvent by GNOM (fitting points 50-500), and the dimensions were 157 Å~ 27 Å~ 6 Å.

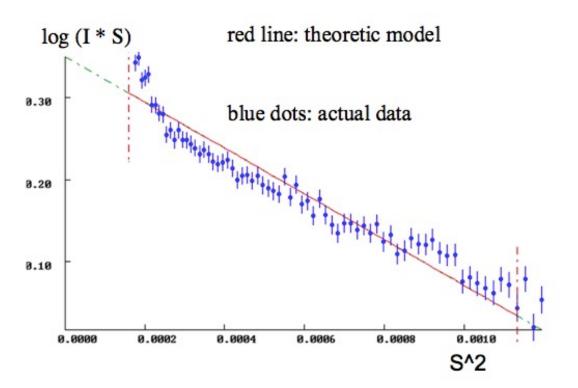


Figure 4.2 GNOM fitting for experimental data against model of rod

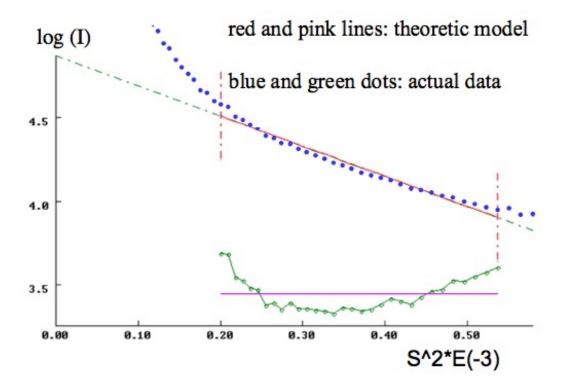


Figure 4.3 GNOM fitting for experimental data against model of globular

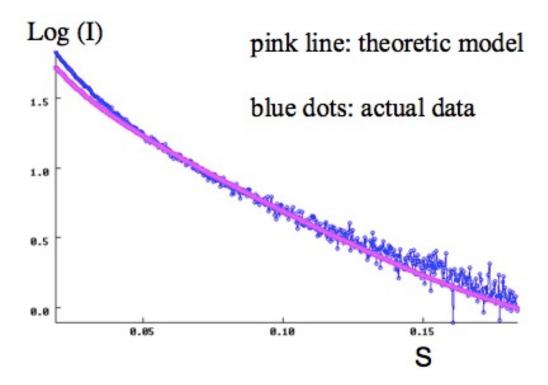


Figure 4.4 GNOM fitting for experimental data against model of prolate ellipsoid

## **4.4 Conclusion**

To summarize, the extracted gliadin was dissolved in 60% aqueous ethanol and tested upon SAXS. Due to the absence of similar study on gliadin or its assembly and conformation, the data that could be compared with is extremely limited. So far, it is highly likely that gliadin is prolate ellipsoid in 60% ethanol.

# CHAPTER 5 FORMULATION AND CHARACTERIZATION OF GLIADIN NANOPARTICLES

#### 5.1 Introduction

Nanoparticles as delivery system has potential in controlled delivery and improving bioavailability of nutraceuticals. Prolamin-based nanoparticles are relatively easier to fabricate than other delivery system. Zein, kafirin, hordein, and gliadin are prolamins in corn/maize, sorghum, barley, and wheat. Those major cereals can be divided in two groups, temperate cereals and tropical cereals (Shewry & Tatham, 1990).

Among all the prolamins, zein has been well studied for its molecular structure, conformation, formulation of nanoparticles, cytotoxicity and cellular uptake properties. Others, however, are not well understood in terms of their conformation, application in delivery system, or cytotoxicity. Given that wheat is one of the most widely grown cereals, we focused on gliadin in this study.

In this chapter, the hydrophobicity of gliadin was tested and compared with other prolamins from major cereals. The favorable conditions for gliadin nanoparticle formation were carefully studied. To understand the potential application of gliadin nanoparticles in dietary supplements, the formulation was then used to load PMF. Physicochemical properties (such

as size, stability, morphology, and loaded efficiency) were measured for the PMF-loaded gliadin nanoparticles.

## 5.2 Materials and method

#### **5.2.1** Materials

PMFs were extracted by our formal graduate students (purity > 95%) and used without further purification. Gliadin protein was extracted from commercial gluten (Vital Wheat Gluten) purchased from Arrowhead Mills. 60% ethyl-ethanol was prepared using 95% ethyl-ethanol from EMD Millipore. 200 proof ethanol and glacial acetic acid (ACS grade) were purchased from Sigma-Aldrich (Gillingham, UK). Water was purified by a Milli-Q system prior to use.

## **5.2.2** Fabrication of gliadin nanoparticles

Gliadin nanoparticles were prepared using anti-solvent induced precipitation method. Preliminary trails were conducted to determine the optimum conditions for stable nanoparticles without any non-food-grade chemical reagent. Conditions of particle fabrication include the concentration of gliadin solution, the ratio of solvent versus anti-solvent, and the presence of carboxyl-methyl chitosan (CMCS).

## 5.2.3 Hydrophobicity of gliadin

In order to compare the hydrophobicity of gliadin with other prolamins, the water-in-air

contact angle was measured. For preparation of homogeneous film, 10mg/ml gliadin in acetic acid solution was added onto a glass substrate. The glass substrate was then put into a circular spin coater under vacuum and left in ambient temperature for 30 min to dry. 2 µL water was deposited onto gliadin film. Upon settling of the water droplet, the contact angle was detected by VCA optima setup (AST Products Inc., MA). Five measurements were taken on each film, and the gliadin film was prepared in triplicate.

## **5.2.4 Formulation of PMF loaded gliadin particles**

Based on the preliminary trails, PMF (10 mg/ml) was dissolved in pure ethanol as a stock solution. Gliadin (15 mg/ml) was dissolved in 60% alcohol-aqueous solution. 52 ul of PMF stock solution was added into 0.7 ml of gliadin solution in a dropwise manner under mechanical stir for 15 min. Then, the above gliadin-PFM solution was added drop by drop into 5 ml of water, the anti-solvent. The control/blank nanoparticles were prepared by replacing PMF stock solution with pure ethanol in parallel.

## 5.2.5 Morphological study by Atomic Force Microscopy (AFM)

20 μL of freshly prepared blank and PMF loaded gliadin nanoparticles were dripped onto freshly cleaved mica surface separately after diluted with water/anti-solvent three times. After 30 mins' absorption, particles were washed with DI water and dried at 40 °C for 3h. AFM images using tapping mode were collected by NanoScope IIIA Multimode AFM

(Veeco Instruments Inc., Santa Barbara, CA).

## 5.2.6 Particle size distribution and ζ-potential measurement

Blank gliadin nanoparticles and PMF-gliadin nanoparticles were settled for 24 hours before size distribution and  $\zeta$ -potential measurement. Particle size and size distribution were obtained by dynamic light scattering (DLS) using a BIC 90 Plus size analyzer with a Brookhaven BI-9000AT digital correlator (Brookhaven Instrument Corp., New York, NY). Measurements were made at a fixed scattering angle of 90 degree at 25 °C with a solid-state laser operating at 658nm. The polydispersity index (PDI) represented the distribution of particle size. The surface charge was measured by DelsaTM particle analyzer, Backman Coulter. The surface charge was expressed by  $\zeta$ -potential via DelsaTM Nano software. All measurements were performed in triplicate.

## 5.2.7 PMF loading and encapsulation efficiency in gliadin particles

Each batch of freeze-dried loaded nanoparticles was flushed with 5 ml ethyl acetate three times, using No.2 Whatman filter paper. The washed nanoparticles were dried in the fume hood and weighed. The ethyl acetate elute, which contained free PMF, was added 9 ml DMSO and dried under nitrogen atmosphere. A microplate reader (Molecular Devices, Sunnyvale, CA) at 326 nm was used to test the concentration of PMF in DMSO. To calculate loading and encapsulation efficiency, the equations were listed as following:

$$\begin{aligned} \text{Loading} &= \frac{(W_{total} - W_{free})}{W_{partilces}} \times 100\% \\ &= \frac{W_{total} - W_{free}}{W_{total}} \times 100\% \end{aligned}$$
 Encapsulation Efficiency = 
$$\frac{W_{total} - W_{free}}{W_{total}} \times 100\%$$

Where Wtotal and Wfree stand for the weight of PMF formulated in the system and the weight of free PMF washed out in ethyl acetate, respectively. Wparticles represents the weight of washed and dried nanoparticles.

#### **5.3** Results and discussion

## 5.3.1 Optimum condition for gliadin nanoparticle formation

Nanoparticle formation is influenced by the ratio of solvent versus anti-solvent, prolamin concentration, ionic strength, and pH. Trails have been conducted in neutral pH and ionic strength as in DI water without any modification. Table 5.1 is a summary of particle size in different combinations of anti-solvent ratio and gliadin concentration; not all trails were shown. The diameters of nanoparticles have been rounded to tens digit.

Gliadin was dissolved in 60% ethanol. For trails with CMCS, CMCS was dissolved in antisolvent, i.e., DI water, and gliadin solution was added into CMCS in water solution directly. Based on the trails, adding 0.7ml 15 mg/ml gliadin into 5 ml water yielded most stable particles. Generally, even and small particle size (around 100nm) indicates better stability. The addition of CMCS seemed to prevent particles from aggregation when gliadin was in high concentration. 0.7ml 15 mg/ml gliadin, 0.28ml 40 mg/ml, and 0.21ml 50mg/ml all

gave similar final gliadin concentration, yet their outcome differed.

 $\begin{table}{ll} \textbf{Table 5.1} Trials of solvent vs. anti-solvent (Ratio v/v), gliadin concentration ([c] of gliadin), \\ and presence of CMCS on particle size \\ \end{table}$ 

[C] of gliadin: mg/ml	Ratio v/v	CMCS	Average diameter: nm	
2.5	0.7:5	0	710	
5	0.7:5	0	520	
5	1.4:5	0	precipitate	
5	1:5	0	720	
10	0.7:5	0	precipitate	
15	0.7:5	0	150	
15	0.7:5	0.5mg/ml	360	
15	0.7:5	1.5mg.ml	730	
15	1.4:5	0	450	
20	0.7:5	0	350	
20	1:5	0	510	
30	0.7:5	0	360	
30	1:5	0	630	
40	0.28:5	0	precipitate	
40	0.7:5	0	precipitate	

50	0.21:5	0	precipitate
50	0.21:5	0.5mg/ml	420
50	0.7:5	0	precipitate

## **5.3.2** Hydrophobicity of gliadin

On average, gliadin had a 44°water-in-air contact angle, demonstrated in Figure 5.1. Compared with zein (56°) and kafirin (72°), gliadin tends to be more hydrophilic (Xiao, Wang, Gonzalez, & Huang, 2016). On the other hand, gliadin shares similar hydrophobicity with hordein, which may be a result from their parallel amino acid profile (Shewry, Miflin, & Kasarda, 1984).

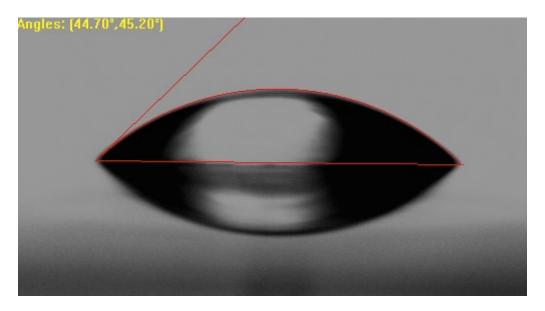


Figure 5.1 Water-in-air contact angle of gliadin film by VCA optima setup

Moreover, zein and kafirin are capable of forming stable nanoparticles when they are

dissolved in glacial acetic acid and induced by anti-solvent. Neither gliadin nor hordein is comparable to zein and kafirin in such aspect. When gliadin or hordein in acetic acid solution was added into water, the mixture is homogenous and clear; no particles were formed at all.

## 5.3.3 Morphology of PMF-loaded gliadin particle: AFM results

The morphological properties of individual or aggregated gliadin nanoparticles were revealed by AFM. Figure 5.2 and 5.3 were the height and 3D images, respectively, with blank gliadin nanoparticles on the left and PMF loaded gliadin particles on the right. Sphere-like particles were clearly observed in both images. The height reflected the diameter of the dried nanoparticles or particle aggregation indirectly. The decrease of particle size was expected, since protein shrinks after dehydration. Large dots indicated aggregations of nanoparticles due to drying.

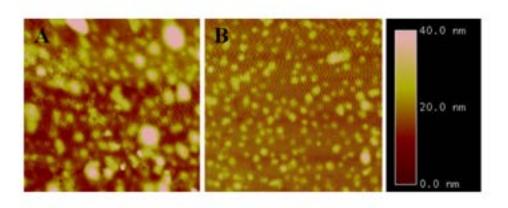


Figure 5.2 Height image of blank (left) and loaded (right) nanoparticles by AF

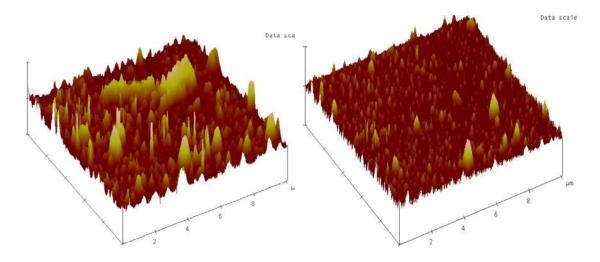


Figure 5.3 3-D image of blank (left) and loaded (right) nanoparticles by AFM

## 5.3.4 Physicochemical evaluation of gliadin nanoparticles

After the formulation of blank gliadin particles was defined, the concentration and volume of PMF stock solution was tested to maximize the loading of the system. Both blank gliadin and PFM loaded gliadin particles were milky, uniform suspensions (Figure 5.4). Characterization of the gliadin nanoparticles were reported (Table 5.2). The average size of loaded particles was 165.2±1.9 nm, and a polydispersity index of 0.182±0.008 demonstrated reasonable homogeneity, given that the materials (gliadin and PMFs) were derived from natural materials (gluten and orange peels).

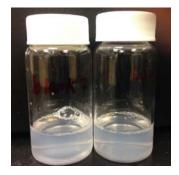


Figure 5.4 Direct observation of blank (left) and loaded (right) gliadin nanoparticles

The delivery system was relatively stable with no cross-linker or emulsifier, and had a zeta-potential of  $13.35 \, \text{mV}$ . Without abrupt change of pH or ionic strength, it takes months before the particles precipitate. It was also observed that the size of the particles had decreased slightly (by about 10%) after the evaporation of ethanol under nitrogen atmosphere. The absence or decrease in its good solvent probably induces the impulsion between gliadin particles. The loading and encapsulation efficiency of PMF loaded gliadin nanoparticles were 76% and 1.2%, respectively, leaving the effective PMF 92  $\mu \text{g/mL}$  (approximately 242  $\mu \text{M/L}$ ; average MW 380 g/mol) in the system.

**Table 5.2** Physicochemical properties of gliadin nanoparticles

Sample	Diameter/nm	PDI	ζ/mV	EE/%	Loading/%
Blank	147.8±5.8	.221±.017	11.01±0.60		
Loaded	165.2±1.9	.182±.008	13.35±0.33	76	1.2

## **5.4 Conclusion**

Gliadin-in-ethanol solution forms nanoparticles when induced by anti-solvent. Unlike kafirin or zein, gliadin couldn't form particles when dissolved in acetic acid. According to the water-in-air contact angle, the hydrophobicity of gliadin was similar to hordein and not so strong as zein or kafirin, which is probably related to biological classification. Major crops may be divided in two groups; barley and wheat belong to temperate cereals, and

maize and sorghum tropical cereals (Shewry & Tatham, 1990). Generally, greater hydrophobicity makes formation of nanoparticles easier. Given the large quantity of wheat production and simplicity of extraction process, gliadin is a fair candidate in formulating food delivery system.

The formulation of gliadin nanoparticles was defined and ready for use in further studies. Formed by self-assembly with no cross-linker, gliadin nanoparticles were stable and sized 150 nm, and dispersed water-insoluble nutraceuticals in aqueous system evenly. Particles were close to sphere, and had a reasonably loading and encapsulation efficiency.

# CHAPTER 6 CYTOTOXICITY OF GLIADIN PARTICLE FORMULATION AND ITS CELLULAR UPTAKE PROFILE

## **6.1 Introduction**

Gliadin is part of natural cereal, and has been consumed by human for hundreds of years. Gliadin, when in its native form, is GRAS. However, the cytotoxicity of gliadin nanoparticles is largely unknown. In order to better understand gliadin nanoparticles as a delivery system, cell viability and cellular uptake studies have been conducted on Caco-2 colon carcinoma cell lines, the widely accepted and used cell model for cytotoxicity in food science.

The MTT (3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide) tetrazolium reduction assay was carried out on raw PMF, blank gliadin nanoparticles, and PMF-loaded gliadin nanoparticles. The concentration of PMF was expressed in  $\mu$ g/mL, and an estimate of  $\mu$ M/L was given based on the average molecular weight of PMFs. 90% cell viability was used as a boundary as of cytotoxicity. The formulation was diluted till it would be considered "non-cytotoxic", and then examined on cellular uptake test.

## 6.2 Materials and methods

## **6.2.1 Materials**

Caco-2 cell line was generously provided by Department of Biology at Rutgers. Dulbecco's

modified Eagle medium (DMEM), fetal bovine serum (FBS), 100 unites/ml penicillin, streptomycin and ethylene-diaminetetraacetic acid (EDTA) were all purchased from Fisher Scientific. Dimethyl sulfoxide (DMSO) was purchased from Sigma (St. Louis, MO). The final concentration of DMSO is 0.1% or lower in the media. The results were measured upon Synergy HT multimode microplate reader (BioTek Instruments, Winooski, VT).

# 6.2.2 Cytotoxicity of raw PMFs, blank gliadin particles, and PMF-loaded gliadin particles

Caco-2 colon carcinoma cells were cultured in Dulbecco's modified Eagle's medium (DMEM) with 10% fetal bovine serum (FBS), 100U/ml penicillin G, 0.1mg/ml streptomycin, and 1% minimum essential medium (MEM) non-essential amino acid solution. The incubator used provided a 5% CO2 atmosphere at 37 °C. Caco-2 cells were seeded at 10000 cells per well in 96 well plate in 100µL media.

After 24h, raw PMF in DMSO was added to the cells with a series of concentrations (5, 10, 25, 50, 60, 70, 80, 90, 100 μg/mL media; equivalent to 13, 26, 66, 132, 158, 184, 210, 237, and 263 μM PMFs/L media). Untreated cells function as control group. After 24h, media were aspirated, and cells were settled in 100μL MTT solution for 4h. DMSO was then added to dissolve the formazan crystals, and the optical density was measured under 570nm using BioTek Synergy HT multimode microplate reader. Each sample was conducted in

triplicates. Cell viability was calculated as

Viability = 
$$\frac{N_t}{N_c} \times 100\%$$
,

Where Nt is the optical density of cells treated and Nc the untreated/control cells.

Similar procedure was carried out to test the cytotoxicity of blank and loaded gliadin nanoparticles. Briefly, freshly prepared blank gliadin nanoparticles was diluted with cell media for a series of concentration (1.84, 0.92, 0.46, 0.23, 0.12, 0.058, 0.029, 0.014, and 0.072 mg gliadin/ml media). The same diluting series of PMF loaded nanoparticles were prepared. A parallel series of free PMF equivalent to the PMF concentration in loaded nanoparticles was the positive control of the experiment (46, 23, 12, 5.8, 2.9, 1.4, 0.72, 0.36, and 0.18 μg PMF/mL media, equivalent to 121, 60, 32, 15, 8, 3.7, 2, 1, 0.5 μM PMFs/L media).

## **6.2.3** Statistical analysis

Cytotoxicity of blank gliadin nanoparticle system were examined upon one-way ANOVA using OriginPro 8. All the experiments were performed in triplicate at least, and error bars in the figures represent standard deviation.

## 6.2.4 Cellular uptake study on PMF-loaded gliadin nanoparticles

Qualitative cellular uptake study was conducted on PMF-loaded gliadin particles. Caco-2

cells were seeded in MultiwellTM 12-well Tissue Culture Plates (Falcon®, BD Biosciences, NJ). The cells were cultured in a cell culture incubator (NAPCO 5400, Fisher Scientific, PA) at 37C° for 12 h. Encapsulated during nanoparticle formation, coumarin 6 was used as a fluorescent marker. The cells were treated with diluted PMF-loaded gliadin nanoparticles (23 µg PMF/mL media), since the cytotoxicity study suggested such dose should show little, if there is any, cytotoxicity. At certain time intervals (0, 0.5, 1, 2, 4, and 8h), the 12-well culture plates were removed from incubator. To make sure there was no residue of nanoparticles, the monolayer of Caco-2 cells was rinsed with 1 ml PBS (0.01M, pH7.4). After that, Dulbecco's phosphate buffered saline was added to the cells. The fluorescent intensity was viewed by fluorescence microscopy and recorded as photos by Nikon Eclipse TE2000-U.

#### 6.3 Results and discussion

# 6.3.1 Cell viability of raw PMFs, blank gliadin particles, and PMF-loaded gliadin particles

Figure 6.1 demonstrated the cytotoxicity of raw PMFs in DMSO on Caco-2 cells. Below 25  $\mu$ g/mL (65.85 $\mu$ M/L), little cytotoxicity was reported. When the concentration of PMFs increased beyond 50  $\mu$ g/mL (131.5 $\mu$ M/L), PMF showed significant cytotoxicity on Caco-2 cells. The original PMF loaded nanoparticles had 92  $\mu$ g/mL (242  $\mu$ M/L), and an effective does around 70  $\mu$ g/mL (184  $\mu$ M/L) considering the encapsulation efficiency.

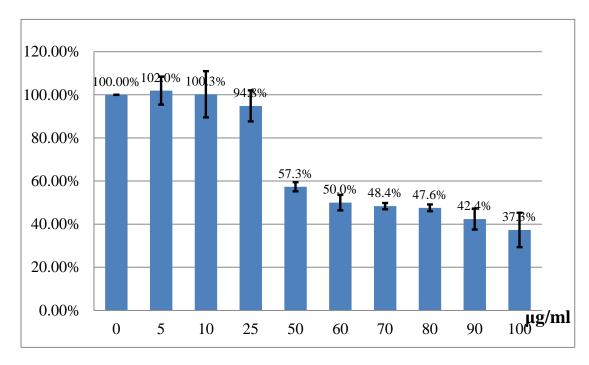


Figure 6.1 Cell viability of Caco-2 cells treated with PMF in DMSO

The cytotoxicity of the blank gliadin nanoparticles system was also studied. The formulation was tested when it was fresh and in original condition as nanoparticle suspension, with no further modification. After a series of half dilution, the samples were added in to the media, with the highest concentration (1.84 mg gliadin/mL media) as half of the original formulation. The result (Figure 6.2) suggested that all the concentration treatments gave out a 93% or higher cell viability, meaning that none of the above concentration reflects cytotoxicity. Further statistic analysis (one-way ANOVA) confirms that neither concentration treatment was significantly different from the control group (p<0.05). Therefore, the gliadin nanoparticle formulation had little (if there is any) cytotoxicity in its original form or any of its dilutions.

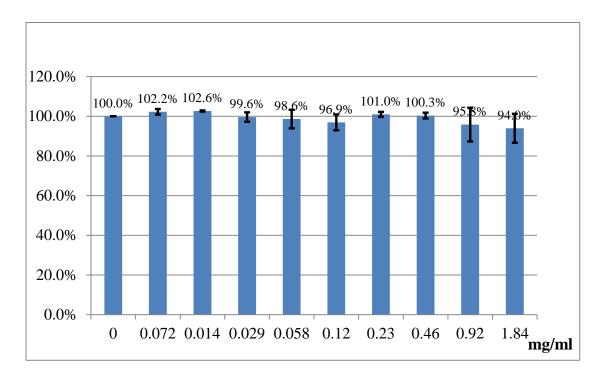


Figure 6.2 Cell viability of Caco-2 cells treated with a series dilution

The second step is to examine the cytotoxicity of PMF-loaded nanoparticles. The loaded formulation was diluted exactly the same way as the blank nanoparticles, and a corresponding series of raw PMF in DMSO was examined in parallel, with concentration treatments the same as the loaded formulation. A shown in Figure 6.3, cell viability of the group of PMF loaded particles is concentration dependent. When the original formulation was diluted four times or more (from the second right bar to the left), the cytotoxicity was minimum. Also, the result of cells treated with raw PMF was similar to that in the previous section (cytotoxicity of raw PMFs), thereby reinforcing the results in Figure 6.1. Comparing the test group and the positive control group, the cell viability was higher when PMF was loaded in gliadin particles than in DMSO. To sum up, PMF-loaded formulation

Raw PMF (Center) ■ Loaded Particles (Oustside End) 120.0% 100.0% 105.1% 101.9%97.0% 100.0% 80.0% 60.0% 10<mark>0.0</mark>% 10<mark>3.1</mark>% 9<mark>7.7</mark>% 9<mark>7.4</mark>% 96.9% 9<mark>5.7</mark>% 94.2% 86.7% 40.0% 7<mark>5.9</mark>% 5<mark>0.0</mark>% 20.0% 0.0%  $_{46}$  µg/ml 0.00 0.18 0.36 0.72 1.4 2.9 5.8 12 23

has little cytotoxicity after four-time dilution or more.

**Figure 6.3** Cell viability of Caco-2 cells treated with PMF in DMSO and PMF-loaded nanoparticles

## 6.3.2 Cellular uptake profile of final formulation

Figure 6.4 illustrated the cellular uptake profile of loaded nanoparticles. Coumarin 6 was chosen as the fluorescence marker, because coumarin 6 is lipophilic and dyes gliadin not aqueous phase (Zhang & Feng, 2006). Therefore, the fluorescence signal in the Figure 6.4 was a truly indicative of particle or aggregated particle absorbed by cells.

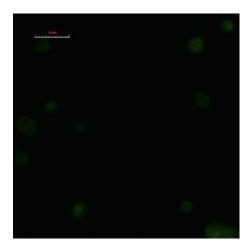
Based on Figure 6.4, gliadin nanoparticle could be absorbed by Caco-2 cells. The signal of fluorescence increased steadily during the trail, suggesting a time dependent behavior in

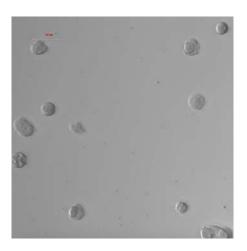
terms of cellular uptake. Such increase was most significant between 0.5 to 4h. Given the average particle size, it was highly unlikely that particles were transported through paracellular pathway (Li, Jiang, Xu, & Gu, 2015). Instead, enterocytes might have been the major route for the uptake of gliadin particles. Left column were fluorescence photos, while right column were optical ones.



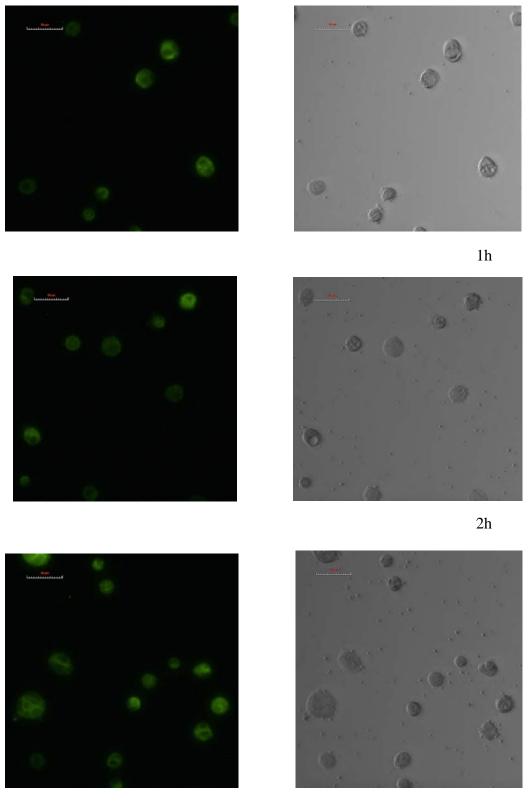


0h

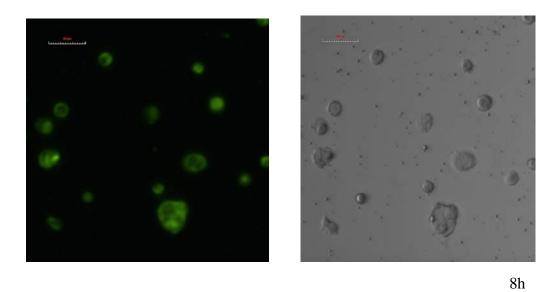




0.5h



4h



**Figure 6.4** Cellular uptake of final formulation: fluorescence

## **6.4 Conclusion**

Gliadin nanoparticle system had little or no cytotoxicity on Caco-2 cells. Raw PMF in DMSO had negligible cytotoxicity only when its concentration is 25  $\mu$ g/ml (66  $\mu$ M/L) or below. The PMF-loaded gliadin particles showed no significant toxicity on or beyond four-time dilution. The cellular uptake of the final formulation was time dependent, and was probably through enterocytes.

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