

Who Should Pick the Winners of Climate Change?

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Article begins on next page

1 **Who Should Pick the Winners of Climate Change?**

2

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16 **Abstract**

17 Many conservation strategies identify a narrow subset of genotypes, species, or geographic
18 locations predicted to be favored under different scenarios of future climate change. But a focus
19 on predicted winners, which might not prove to be correct, risks undervaluing the balance of
20 biological diversity from which climate change winners could otherwise emerge. Drawing on
21 ecology, evolutionary biology, and portfolio theory, we propose a conservation approach
22 designed to promote adaptation that is less dependent on uncertain predictions about the identity
23 of winners and losers. By designing actions to facilitate numerous opportunities for selection
24 across biological and environmental conditions, we can allow nature to pick the winners and
25 increase the probability that ecosystems continue to provide services to humans and other
26 species.

27 **Managing Ecosystems for Adaptation**

28 Earth's ecosystems are in a period of rapid change during which organisms are experiencing
29 novel combinations of physical conditions, including altered temperature regimes, precipitation
30 patterns, and water chemistry. We are already seeing the consequences of these changes as the
31 earth begins a wholesale reshuffling of biological diversity. While the fields of ecology and
32 conservation biology are increasingly focused on documenting and understanding these changes,
33 there is a growing recognition of the need to make practical decisions about how ecosystems can
34 be managed so that they can continue to provide ecosystem services under a range of future
35 environmental conditions.

36

37 Populations and communities can adjust to novel environmental conditions through several
38 processes, including geographic range shifts towards more suitable habitats, changes in
39 demographic processes, physiological acclimatization, ecological reorganization, and natural
40 selection (see Glossary). In conservation biology, much attention has been paid to anticipating
41 range shifts by building latitudinal or elevational corridors to promote dispersal, sustain
42 demographic processes, and maintain community interactions [1,2], and to facilitating range
43 shifts through assisted colonization and migration [e.g., 3]. While these examples are promising,
44 they focus primarily on the spatial redistribution of target species. We argue that greater attention
45 needs to be given to managing for a more comprehensive consideration of adaptation, which we
46 define to include the processes of acclimatization, ecological reorganization, and genetically-
47 based evolution through natural selection.

48

49 **Predicting the Future Versus Managing for Uncertainty**

50 Many approaches to managing species in a changing climate are built on a predict-and-prescribe
51 paradigm, whereby conservation priorities are based on predictions of the responses of species
52 and communities to projected future environmental conditions. For example, assisted migration
53 relies on predictions about the future distribution of organisms that are themselves based on
54 predictions about the spatial distribution of future environmental conditions. Some predict-and-
55 prescribe approaches are beginning to include considerations of adaptation. For example, on
56 coral reefs there is a growing interest in creating ‘designer reefs’ [4] that might include
57 ‘supercorals’ which have been artificially selected or genetically engineered for traits that are
58 predicted to be more successful under future conditions of warmer and more acidic oceans [5].
59

60 One of the potential advantages of predict-and-prescribe approaches is that they offer a means of
61 prioritizing actions to favor a set of predicted environmental change winners in the form of focal
62 genotypes, species, habitats, and/or geographic locations. If the underlying predictions prove
63 correct, this approach could be quite efficient and successful, and it can provide a more
64 optimistic lens on the prevalent ‘doom and gloom’ forecasts of global change. However, by
65 choosing a relatively narrow set of predicted winners, this approach risks erosion of the overall
66 diversity within a system. Indeed, Schindler and Hilborn [6] have argued that predict-and-
67 prescribe approaches are inherently risky and might achieve only limited success because they
68 employ methods with intrinsically low predictive power, and typically consider only a narrow
69 range of potential outcomes. This can help to explain consistent surprises in ecosystem responses
70 to environmental change [7–9].

71

72 In financial markets, portfolio theory suggests that when faced with a variable and unpredictable
73 future, it is risky to invest in a small number of stocks based on a narrow set of predictions;
74 instead, the profitable response is to invest widely and maintain options for the expression of
75 unanticipated favorable outcomes [10–12]. If predict-and-prescribe approaches have proven too
76 risky for conserving monetary wealth, they are also likely to be risky for conserving ecosystems
77 in the face of global change, especially because there is high uncertainty about how climate
78 change impacts will play out at local scales, both in terms of environmental conditions and
79 species' responses [13].

80

81 Based on a synthesis of core concepts from ecology, evolutionary biology, and portfolio theory,
82 we describe an approach to natural resource management that focuses on creating adaptation
83 networks explicitly designed to generate ecological and evolutionary options that favor the
84 adaptive processes of acclimatization, natural selection, and ecological reorganization. By
85 prioritizing portfolios of biological and ecological combinations, management could increase the
86 probability that winning combinations can arise, persist, and spread during periods of
87 environmental change.

88

89 **Adaptation Networks: A Portfolio Approach to Managing Uncertainty**

90 Adaptation networks could be designed around a suite of spatially explicit management units
91 where actions are aimed at reducing local anthropogenic stressors. By reducing local stressors,
92 these management units might provide some immediate local adaptive benefits, including
93 increasing the probability of acclimatization, reducing mortality, and increasing reproductive
94 output [14]. When these management units are part of an adaptation network, they are more

95 likely to promote adaptation if they have (1) diverse portfolios, (2) connectivity between
96 populations, and (3) metapopulation conservation and risk mitigation.

97

98 ***Diverse Portfolios***

99 Evolutionary theory states that the amount of available variation is a key driver of adaptation
100 [15]. Therefore, the processes of natural selection and ecological reorganization will be more
101 likely to find successful combinations if they are operating on a diversity of genes, genotypes,
102 phenotypes, and species. Across a landscape of diverse conditions, selection and environmental
103 filtering can help maintain genetic and species diversity at metapopulation and metacommunity
104 scales by favoring particular alleles or species in certain environments [16,17]. Extreme
105 environments, where organisms might have already undergone local acclimatization and
106 selection for physiological tolerance, could be especially important for this purpose [18].
107 Managing for portfolio effects has been applied to reforestation, wetland habitat conservation,
108 and fisheries management under climate change [10,19,20], as well as reserve design to optimize
109 natural capital and social equity [21].

110

111 ***Connectivity Between Populations***

112 Given the rapid pace of environmental change, organisms have a small time window for
113 adaptation. Genetic adaptation is most likely to occur via selection on pre-existing genetic
114 variation rather than new mutations [22]. Therefore, the flow of genes between populations will
115 be integral to rapid adaptation because it increases genetic variation at a local scale [23,24].
116 While connectivity can also have a negative influence on population fitness—by swamping the
117 influence of an advantageous gene, or by transmitting deleterious mutations [25]—

118 environmental conditions are often strong enough to select and favor advantageous immigrants
119 over deleterious ones in what is called a phenotype-environment mismatch [26]. Even in
120 environments with high gene flow, substantial divergence of populations across environmental
121 gradients is common and can occur rapidly [16,18,27]. Therefore, we can expect that climate
122 change will provide strong selection pressure for advantageous combinations, even with high
123 levels of connectivity between populations [28]. Ensuring connectivity among populations will
124 also allow emergent areas of favorable environmental conditions to be colonized by surviving
125 populations through metapopulation rescue processes [29].

126

127 ***Metapopulation Conservation and Risk Mitigation***

128 Ensuring species are broadly distributed across a range of climate impacts will increase the
129 probability that different populations and communities will experience different environmental
130 conditions. Here, the objective is to promote weak or negative covariance in climate dynamics,
131 allowing the metacommunity to achieve stability despite variability in its individual components.
132 This is similar to optimizing financial portfolio performance through multiple investments
133 [30,31]. In addition, broad spatial representation of populations and communities is expected to
134 reduce the risk of metapopulation or metacommunity extinction from localized disturbances
135 (e.g., storms), and protect against demographic stochasticity, which could become increasingly
136 important as population sizes are reduced.

137

138 The combination of these three attributes creates an adaptation network that provides a diverse
139 portfolio of evolutionary and ecological opportunities to find viable matches between
140 biodiversity and future environmental conditions, without relying on predictions about winners

141 or the distribution of climate change impacts. By facilitating the underlying processes of change
142 through natural selection, acclimatization, and ecological reorganization, an adaptation network
143 explicitly assumes that some aspects of biological diversity will be lost and that some adaptations
144 will arise unexpectedly [32]. In this way, an adaptation network resembles a metacommunity or a
145 complex adaptive system: though individual communities or system elements might be
146 extirpated, the metacommunity and overall system remains viable [33,34].

147

148 **Coral reefs: A Case Study**

149 To illustrate how an adaptation network might be designed, consider the case of coral reefs.
150 Coral reefs are one of the ecosystems most threatened by global environmental change, including
151 ocean warming and acidification, as well as local stressors such as eutrophication and
152 overfishing [35]. Contemporary observations and future predictions indicate that reef-building
153 corals are experiencing steep population declines that threaten the foundation of these
154 ecosystems and the services they provide [36]. Without significant adaptation, there are good
155 reasons to question whether corals will be able to continue acting as the primary architect of reef
156 habitats in the coming century.

157

158 There is growing evidence that corals can adapt to changes over relatively short time-scales
159 through a variety of mechanisms that include symbiont shuffling, phenotypic plasticity,
160 acclimatization, and natural selection [18,37,38], and some evidence to suggest that corals and
161 their symbionts have already adapted to some warming since the onset of the Industrial
162 Revolution [39]. Though they are long-lived, corals have a diversity of genotypes and
163 phenotypes, as well as diversity in algal symbionts and microbial communities [16,40], which

164 provides a potential treasure trove of raw material for adaptation. For example, a single species
165 of coral can have a broad geographic range and populations spread across a mosaic of
166 environments, from exposed forereefs to sheltered, warm lagoons, with differences in gene
167 expression and allele frequencies between sites separated by tens of meters [41]. The impacts of
168 climate change on corals are also spatially variable, providing diverse opportunities for
169 biological and environmental matches and mismatches to occur [42,43].

170

171 Additionally, unlike many species, the ability of corals to respond to changing conditions
172 through geographical shifts (e.g., by changing latitudes or depth) might be limited. While corals
173 can exhibit rapid poleward movements toward cooler waters [44], higher latitudes have increased
174 acidification and unfavorable seasonal variations in temperature and light [45]. Similarly, while
175 tropical mesophotic reefs might have the capacity to act as depth refugia [46], deeper waters can
176 be unavailable to many coral species due to the light levels required for their photosynthetic algal
177 symbionts. Therefore, while some coral species might redistribute from one geographic region to
178 another or towards deeper reefs, the overall persistence of shallow coral reefs—and the
179 livelihoods of millions of people who depend on them—will require *in situ* adaptation to
180 changing environmental conditions [18,47]. Future conservation efforts on coral reefs could
181 build upon existing managed area networks (e.g., marine protected areas, locally managed
182 marine areas, ridge-to-reef watershed areas) by placing additional emphasis on the three
183 attributes of an adaptation network.

184

185 ***Diverse Portfolios of Corals***

186 To ensure a highly diverse portfolio, managed areas could be deliberately chosen to encompass a
187 range of environmental conditions—including extreme, variable, and stable environments—and
188 include representation of many habitat types (e.g., lagoonal reefs, reef slopes, mesophotic reefs)
189 under the expectation that phenotypic and genetic diversity are maximized across diverse
190 environments [41]. In contrast, most current approaches to spatial management of coral reefs
191 emphasize a disproportionate investment in ‘pristine’ areas, healthy reefs, and places that reduce
192 social conflicts (e.g, ‘residual reserves’ *sensu* [48]). In addition, there is a growing movement
193 toward protecting areas predicted to exhibit protective temperature trajectories, including climate
194 change refugia [43,49]. While these areas should continue to be included in management
195 portfolios, disturbed or impacted communities should also be considered for network inclusion
196 as these sites could harbor species and alleles that will facilitate future adaptation. For example,
197 these areas might contain weedier or more stress-tolerant species whose life histories enable
198 more rapid adaptation [50,51].

199

200 ***Connectivity Between Coral Populations***

201 To facilitate connectivity, management areas would be located sufficiently close to each other to
202 permit the exchange of genes via coral larvae. Not only will this allow new genetic information
203 to be acted upon by selection, connectivity will also allow populations to supply larvae to reefs
204 where environmental conditions have shifted to approximate those of source reefs, i.e.,
205 metapopulation and genetic rescue [52]. Furthermore, the unambiguously negative effects of
206 climate change on corals are expected to create strong selective forces [53], which might
207 counteract potential costs of connectivity, such as gene swamping across environments or the
208 immigration of maladaptive genes [17,25]. Operationalizing connectivity within the context of

209 portfolio effects requires consideration of larval dispersal potential and gene flow, and
210 recognition that future connectivity could differ from contemporary patterns [54,55]. Therefore,
211 intra-network spacing that promotes abundant connections between communities will be an
212 important attribute of adaptation networks that perform well under a wide range of future
213 scenarios.

214

215 ***Metapopulation Conservation and Risk Mitigation on Reefs***

216 Mitigating spatial risk would be accomplished by creating networks of managed areas at regional
217 scales that function as metacommunities distributed across a range of environmental regimes
218 [34]. The minimum size of such networks would be defined by the area required to ensure
219 representation and replication of a range of environmental conditions (including thermal regimes,
220 see [24]), and to reduce the risk that all populations could be extirpated by a single catastrophic
221 disturbance (e.g., tropical storm). The maximum size of networks would be informed by the
222 requirement that connectivity be able to facilitate adaptation to match rates of environmental
223 change (i.e., corals need to adapt to climate change at the scale of years and decades).

224

225 **Adaptation Networks in Practice**

226 While networks of well-managed areas designed to cope with the uncertainty of climate change
227 are not a novel concept [24], adaptation networks add a new dimension because they could be
228 explicitly designed to promote the likelihood of adaptation via acclimatization, ecological
229 reorganization, and natural selection [14,56]. Importantly, this strategy is in contrast to some
230 predict-and-prescribe approaches, which use narrower predictions to prioritize conservation
231 actions based on forecasts about specific locations or species that are more likely to be successful

232 under future conditions, thereby potentially reducing the regional diversity captured within
233 conservation areas. A priority for future conservation planning is to link adaptation networks into
234 regional MPA planning by identifying gaps in existing MPA networks where new management
235 actions should be prioritized to ensure networks include a diverse portfolio of interconnected
236 options for adaptation [47]. In some cases, for example where gene flow limits local adaptation
237 or facilitates the spread of maladaptive genes, more active intervention could be required for
238 successful adaptation [52,57].

239

240 Currently, we have enough information to start developing and implementing adaptation
241 networks for coral reefs and other biomes [30], and with the accelerating pace of environmental
242 change, we have little time to waste. Modeling techniques that are currently being applied to
243 problems like MPA network design or terrestrial conservation planning could be modified to
244 design adaptation networks. These models have the potential to address some key outstanding
245 questions (see Box 1), especially if they evaluate the potential advantages of alternative spatial
246 management designs against a range of scenarios of future environmental conditions, within risk
247 management and portfolio theory frameworks [37].

248

249 Implementing approaches to managing for adaptation will require regional leadership and
250 collaborations between conservation NGOs, academic researchers, governments, and local
251 stakeholders. In many cases, adaptation networks would likely span political boundaries,
252 requiring transnational conservation strategies and agreements [58]. New approaches to
253 conservation like these will require innovative policies that recognize the dynamic nature of
254 systems, facilitate inter-governmental cooperation, and create opportunities for faster adaptive

255 management [59,60]. For many ecosystems like coral reefs, conservation will likely continue to
256 occur at local scales, where national governments are less likely to play a substantial role in
257 natural resource management in the near term but could catalyze support for regional and
258 transnational conservation networks. A key challenge will be to align local incentives and efforts
259 with creation of regional adaptation networks that support ecosystem services while also
260 promoting adaptation.

261

262 **Concluding Remarks**

263 Climate change and local impacts are creating immense challenges for species in terrestrial and
264 aquatic ecosystems. We argue that the current focus of some climate adaptation approaches on
265 predict-and-prescribe conservation strategies might be insufficient for meeting these challenges
266 because they rely on inherently uncertain predictions to pick a small number of climate change
267 winners. Instead, a more successful approach will be to develop networks that embrace existing
268 portfolios of variability in environments, genotypes, species, and communities to provide a wide
269 diversity of options. In other words, we need to protect biological diversity and let nature pick
270 the winners.

271 **Glossary**

272 **Acclimatization:** a phenotypic response in which an organism adjusts to changes in its
273 environment by altering performance through primarily physiological mechanisms; does not
274 involve changes to genetic sequences.

275 **Adaptation:** the processes by which organisms and communities become better suited to
276 environmental conditions through a combination of acclimatization, natural selection, and
277 ecological reorganization (we recognize that the term adaptation has sometimes been more
278 narrowly defined in the literature, e.g., only for genetic adaptation, but we use it here as a broad-
279 sense term).

280 **Adaptation network:** a regional system of managed areas with attributes that promote
281 adaptation; attributes include high diversity, connectivity, and spatial risk mitigation.

282 **Ecological reorganization:** changes in species composition within communities in response to
283 changes in environmental conditions, which typically results in novel assemblages of species and
284 traits compared to historical baselines.

285 **Natural selection:** the process by which allele frequencies within a population change through
286 time as environmental conditions favor the survival and reproduction of organisms with certain
287 genotypes; also known as genetic adaptation.

288 **Predict-and-prescribe:** an approach to conservation and management that prioritizes the
289 protection of particular species, genotypes, and/or geographic locations based on predictions
290 about future environmental conditions and their effects on organisms.

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