

ADAPTATIONS FOR FOLIVORY AND INSECTIVORY IN THE DIGESTIVE  
ENZYMES OF NON-HUMAN PRIMATES

by

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## ABSTRACT OF THE DISSERTATION

Adaptations for folivory and insectivory in the digestive enzymes of non-human primates

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Primates have a particularly diverse array of dietary ecologies, from exclusively insectivorous species to grass-eating monkeys, the primate digestive system has evolved in response to a multitude of pressures. Endogenous digestive enzymes are an important part of the dietary adaptations found in primates, but comparatively little research has sought to understand how primate digestive enzymes have adapted to the variety of challenges posed by primate foods. This dissertation examines the genes coding for the digestive enzymes lysozyme C (*LYZ*), pancreatic ribonuclease (*RNASE1*), and acidic mammalian chitinase (*CH1A*) in a comparative non-human primate sample ( $n = 7$ ,  $n = 25$ ,  $n = 35$ , respectively). Specifically, I investigate evidence for inter-specific variation and adaptive evolution in these digestive enzyme genes related to folivorous and insectivorous diets. Datasets were assembled through gene sequencing and genome mining, and evaluated with phylogenetic analyses, including PAML and RELAX.

My results show evidence for diet-related changes in pancreatic ribonuclease and acidic mammalian chitinase genes in primates. In the folivorous New World monkey *Alouatta palliata*, the *RNASE1* gene was duplicated twice and the daughter genes exhibit changes that are indicative of a reduced efficiency against double-stranded RNA,

suggesting a novel, and possibly digestive function. This had previously only been shown in colobine primates, but these findings suggest that in both foregut and caeco-colic fermenting primates pancreatic ribonuclease has convergently evolved a new role for digesting the products of microbial fermentation.

For acidic mammalian chitinase, results are consistent with the hypothesis that the enzyme is used for the digestion of insect exoskeletons. Early primates likely had three *CHIA* genes, in congruence with the theory that insects were an important component of the ancestral primate diet. Most extant primate species retain only one functional *CHIA* paralog. Exceptions include two colobine species (non-insectivorous), in which all *CHIA* genes have premature stop codons, and several New World monkey species that retain two functional genes. The more insectivorous species in the sample also have the largest number of functional *CHIA* genes, retaining three functional *CHIA* paralogs. *Tarsius syrichta*, the most insectivorous primate, has a total of five *CHIA* genes, two of which may be duplications specific to the tarsier lineage. Selection analyses indicate that *CHIA* genes are under more intense selection in species with higher insect consumption, as well as in smaller-bodied species (<500 g), providing molecular support for Kay's Threshold, a well-established component of primatological theory. *CHIA* genes are not subject to copy number variation in primates.

Overall, these results provide evidence that pancreatic ribonuclease and acidic mammalian chitinase are important digestive enzyme adaptations for folivorous howler monkeys and insectivorous primates, respectively. These proteins may provide crucial adaptive benefits by improving the digestion of foliage and insects, and thus increasing energy and amino acid returns to the animal.

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## **Chapter 1. Introduction**

### **Background**

All living organisms need to consume nutrients to grow, survive, and reproduce, making the successful acquisition of food resources a powerful selective pressure. However, acquiring food is only part of the challenge. While all animals spend much of their daily activity budget hunting, searching for, or otherwise procuring food, a large part of what is involved in overall nutrition occurs once the meal has been swallowed. Most nutritional components are too complex for immediate use and must be broken down into simpler compounds, which can then be absorbed by the body. This process is known as digestion and is catalyzed by enzymes that are either endogenous or produced by the host's microbial population (Stevens and Hume, 1995). Research shows that the nutritional value of food is partially constrained by the digestive abilities of the microbial community present in the host's gut, and that these microbes rapidly adapt to changes in diet and other environmental pressures (Graf et al., 2015). An accumulating body of evidence suggests that endogenously produced digestive enzymes also have been, and still are, common targets of natural selection, further cementing their crucial role in an organism's digestive system (Perry et al., 2007; Axelsson et al., 2013; Ranciaro et al., 2014).

Primates exhibit a particularly diverse array of dietary ecologies. From exclusively insectivorous species to grass-eating monkeys, the primate digestive system, including the enzymes contained therein, has evolved in response to a multitude of pressures. Recently, many research efforts have been focused on the gut microbiome, providing new insights into the interplay between diet and gut adaptation for a variety of

animals including human and non-human primates (Muegge et al., 2011; Yatsunen et al., 2012; Amato et al., 2013; Macdonald et al., 2013; O'Sullivan et al., 2013; Albenberg and Wu, 2014). These are exciting new findings, but to get a full picture of an animal's digestive adaptations, the gut microbiome and endogenously produced digestive enzymes should be viewed as complementary parts of a system. While the genes coding for digestive enzymes will not change as quickly as those of the microbiome, the variety of endogenous digestive enzymes within primates nevertheless constitutes a major adaptive strategy, and warrants special attention. Changes in the expression of digestive enzymes are important dietary adaptations that may allow an organism to exploit food sources that were previously difficult or impossible to digest. There is evidence that these changes can occur quite rapidly in evolutionary time (Itan et al., 2009) and may thus be an important factor that allows animals to carve out separate dietary niches in environments where several species are competing for food resources.

Both South America and Madagascar were likely populated by a small number of primates rafting from the African mainland (Fleagle and Gilbert, 2006). Upon arrival, these primates rapidly diversified and filled the available dietary niches (Rosenberger, 1992), evolving a suite of physiological, morphological, and behavioral characteristics to process their respective diets (Nash, 1986; Janson and Boinski, 1992; Kinzey, 1992; Norconk et al., 2009). Changes in digestive enzymes were likely part of this adaptive suite, as digestive enzyme adaptations are not just important for the ability to tolerate new food resources, but also to maximize the energy obtained from them. Especially in human evolution maximizing the energy extracted from foods may have been a crucial factor in fueling the growth of our large brains (Aiello and Wheeler, 1995). In non-human

primates, many species depend on relatively low-quality foods (e.g., leaves), which can only be digested efficiently with specific gut adaptations, such as foregut fermentation and/or special digestive enzymes, as I describe below.

Recent work on primate nutritional ecology has highlighted the many challenges primates face to meet not just overall energy requirements, but also to balance micronutrients and protein intake (Felton et al., 2009; Rothman et al., 2011; Johnson et al., 2013; Lambert and Rothman, 2015), all while dealing with fiber, tannins and toxins contained in foods (Simmen et al., 2012; Garber et al., 2015). The ability to meet nutritional goals depends in part on foraging decisions and the nutritional composition of the food item (Mertl-Millhollen et al., 2003; Amato and Garber, 2014; Garber et al., 2015). However, it is also constrained by the gut's capability to extract these nutrients, which is where digestive enzymes and digestive enzyme variation undoubtedly play a key role (Stevens and Hume, 1995; Perry et al., 2007; Axelsson et al., 2013; Karasov and Douglas, 2013).

## **Dissertation Organization**

My dissertation research focuses on the digestive enzymes lysozyme, pancreatic ribonuclease, and acidic mammalian chitinase. I use a comparative genetic approach in order to understand how these enzymes have evolved and how they differ in non-human primates with various diets.

In chapter 2, I investigate the enzymes lysozyme and pancreatic ribonuclease in the genome of the mantled howler monkey (*Alouatta palliata*). Lysozyme and pancreatic ribonuclease are two enzymes, originally involved in immune defense, that have evolved

new digestive functions in foregut fermenting animals, such as colobine monkeys and artiodactyl ruminants (Barnard, 1969; Jollès and Jollès, 1984; Stewart et al., 1987; Beintema, 1990; Swanson et al., 1991; Zhang, 2003; Cho et al., 2005; Zhang, 2006). These changes are adaptations for the digestion of an herbivorous or, in the case of colobines, folivorous diet (Stewart et al., 1987; Zhang, 2006). However, it has not been investigated whether non-foregut fermenting primates with a folivorous diet share any of these adaptations. I, therefore, analyze the genes coding for lysozyme and pancreatic ribonuclease in a newly assembled genome of *Alouatta palliata*, a folivorous New World primate with caeco-colic fermentation. The genome sequencing for this project was conducted in collaboration with Dr. Andrew Burrell and Dr. Todd Disotell of New York University.

In chapter 3, I present and analyze a comparative dataset of acidic mammalian chitinase gene sequences from 34 primate species. The enzyme acidic mammalian chitinase is of interest because insects are an important food resource for many primates, but the chitinous exoskeletons of arthropods have long been considered to be indigestible by the digestive enzymes of most mammals (Cork and Kenagy, 1989; Oftedal et al., 1991; Simunek and Bartonova, 2005; Strobel et al., 2013; Ohno et al., 2016). However, recently mice and insectivorous bats were found to produce the enzyme acidic mammalian chitinase and to use it to digest insect exoskeletons (Whitaker et al., 2004; Strobel et al., 2013; Ohno et al., 2016). To test whether non-human primates may share this adaptation for insectivory, I assemble a comparative genetic dataset of primate species with various levels of insect consumption here. Gene sequencing for this project



was done in collaboration with Morgan Chaney and Dr. Anthony Tosi of Kent State University.

In chapter 4, I test whether the acidic mammalian chitinase genes show evidence of copy number variation in non-human primates and whether any variation in copy number correlates with insect consumption. Numerous studies have provided evidence that gene copy number variation correlates with phenotypic variation (i.e. higher copy numbers correspond to increased expression of the protein and vice versa) (Hollox et al., 2003; Linzmeier and Ganz, 2006; Perry et al., 2006; 2007) and that phenotypic variation relating to digestive enzymes can strongly impact an organism's digestive abilities (Ingram et al., 2009; Mandel and Breslin, 2012). Therefore, I investigate here whether copy number variation is found in the digestive enzyme genes coding for acidic mammalian chitinase in a sample of primates with different levels of insect consumption.

A more complete understanding of inter- and intraspecific variation in primate digestive enzymes can provide insight into the evolution of dietary ecologies and dietary adaptations of primates past and present, as well as a better grasp of the digestive capabilities of different species.

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**Chapter 2. Duplication and convergent evolution of the pancreatic ribonuclease gene *RNASE1* in a non-colobine primate, the mantled howler monkey (*Alouatta palliata*)**

**Abstract**

Pancreatic ribonuclease (encoded by *RNASE1*) and lysozyme C (*LYZ*) are two enzymes, originally involved in immune defense, that have evolved new digestive functions in foregut fermenting animals, such as colobine monkeys and artiodactyl ruminants. In all colobines, *RNASE1* was duplicated at least once, and in some colobine species twice, with the daughter genes (*RNASE1B*, *RNASE1C*) evolving new digestive roles. Howler monkeys (*Alouatta* spp.) are the most folivorous of the New World monkeys but, lacking the sacculated stomachs of colobines, digest foliage using caeco-colic fermentation. In this study, I report on the *RNASE1* and *LYZ* genes in the mantled howler monkey (*Alouatta palliata*). The results indicate that the *RNASE1* gene was duplicated twice in *A. palliata*, leading to two daughter genes, *RNASE1B* and *RNASE1C*. While the parent gene (*RNASE1*) is conserved, *RNASE1B* and *RNASE1C* have multiple amino acid substitutions that are convergent with those found in the duplicated *RNASE1* genes of colobines. As in colobines, the duplicated RNases in *A. palliata* have lower isoelectric points, a lower charge, and changes that are indicative of a reduced efficiency against double-stranded RNA, suggesting a novel, and possibly digestive function. Howler monkey *LYZ* is conserved and does not share the substitutions found in the colobine and bovine sequences. These findings suggest that in both foregut and caeco-colic fermenting primates pancreatic ribonuclease has convergently evolved a new role for digesting the

products of microbial fermentation. Energy gains from the digestion of these products can be substantial, therefore, these duplicated proteins may be crucial digestive enzyme adaptations allowing howler monkeys to survive on a folivorous diet during times of fruit scarcity.

## Introduction

Howler monkeys (*Alouatta* spp.) are the only New World monkeys with a diet rich in leaves. While the amount of leaves consumed varies greatly between sites and populations (Garber et al., 2015), as well as across seasons (Milton, 1998), on average young and mature leaves make up half or more of the howler monkey diet (Glander, 1981; Milton, 1981; Chapman, 1987; Stoner, 1996; Williams-Guillén, 2003). Howler monkeys manage to persist on such a diet without the sacculated, foregut-fermenting stomachs found in folivorous Old World monkeys, the colobines. However, howler monkeys have a suite of other adaptations for a folivorous diet.

Adaptations for a leaf-rich diet include behavioral strategies, such as minimizing energy expenditure (Strier, 1992; Milton, 1998; Da Cunha and Byrne, 2006) and feeding preferentially on young leaves (Milton, 1979; Stoner, 1996; Amato and Garber, 2014) or leaves with a higher protein to fiber ratio (Glander, 1981), which are less tough and may be easier to digest (Matsuda et al., 2017). Howler monkeys are unique among platyrrhines in having routine trichromacy, a trait that has been proposed as an adaptation for detecting such young leaves (Dominy and Lucas, 2001; Lucas et al., 2003). Gut and digestive adaptations include very long gut transit times of approx. 20 hours (Milton et al., 1980; Espinosa-Gómez et al., 2013) and an enlarged caecum and colon (Chivers and Hladik, 1980).

These gut adaptations are important for folivorous mammals because the cell walls of plants are made of structural carbohydrates, cellulose and hemicellulose, that cannot be digested by the enzymes produced by vertebrates (Lambert, 1998). Instead, leaf-eating mammals rely on microbial fermentation to break down plant material in the



forestomach (foregut fermenters, like colobines) or in the large intestine (“hindgut” or caeco-colic fermenters) (Milton and McBee, 1983; Chivers and Langer, 1994; Lambert, 1998). The enlarged caecum and colon in howlers are important sites for microbial fermentation and are also enlarged in other folivorous or herbivorous caeco-colic fermenters, such as horses and elephants (Alexander, 1993). During microbial fermentation, the plant material is broken down by symbiotic bacteria found in the host’s gut. This process releases volatile fatty acids, which are easily absorbed (Kay and Davies, 1994) but the bacteria themselves are also digested by the host and are an important source of nitrogen (Barnard, 1969; Kay and Davies, 1994). Both ruminant artiodactyls and colobine monkeys have convergent digestive enzyme adaptations for the digestion of fermenting gut bacteria, but we do not know whether howler monkeys share any of these adaptations. Endogenous digestive enzymes are a crucial component of an animal’s digestive system and include important dietary adaptations (Janiak, 2016), such as the enzymes lysozyme and pancreatic ribonuclease.

#### ***RNase and lysozyme evolution in primates and ruminants***

The enzyme lysozyme is found in many vertebrates and invertebrates where it has an immunological function (Jollès and Jollès, 1984). In cows and colobines this enzyme exhibits convergent amino acid changes that allow lysozyme to function at a much lower pH, an adaptation for bacteriolytic activity in the acidic stomach fluid (Stewart et al., 1987; Swanson et al., 1991). Similarly, the pancreatic ribonuclease enzyme (RNase1), which has an original function in pathogen defense (Cho et al., 2005), has acquired a new digestive function in both colobines and ruminant artiodactyls (Barnard, 1969; Beintema, 1990; Zhang, 2003; 2006). In this case, the *RNASE1* gene

underwent one or more duplications, and the duplicated gene(s) (*RNASE1B* and *RNASE1C*, or alternatively *RNASE1 $\beta$*  and *RNASE1 $\gamma$* ) evolved a new function in digesting the nucleic acids of fermenting microbes found in the digestive system. These duplications and subsequent functional changes evolved independently in artiodactyl ruminants and colobines (Zhang, 2003). They may have also evolved separately in African and Asian colobines (Zhang, 2006; Yu et al., 2010), although this has been questioned by some studies (Schienman et al., 2006; Xu et al., 2009) and the evolutionary history of *RNASE1* duplications in colobines has not yet been completely resolved.

Significantly, the duplicated RNases of both ruminants and colobines have amino acid changes leading to changes of the isoelectric point (*pI*), optimal pH, and charge of the resulting protein. The digestive RNases (*RNase1B* and *RNase1C*) exhibit a lower *pI*, a lower optimal pH, and a decreased charge at pH 7.0 (Zhang, 2003; 2006) compared to the ancestral *RNase1*. Previous research has shown that the charge of pancreatic ribonuclease affects the enzyme's ability to degrade double-stranded RNA (Libonati et al., 1976). A high *pI* and positive charge is indicative of a ribonuclease that is involved in defense against pathogens, while a decrease in *pI* and charge suggest a novel function for the enzyme (Goo and Cho, 2013; Liu et al., 2014), as shown in the case of cows and colobines (Zhang, 2003).

#### ***RNase and lysozyme evolution in other mammals***

Duplications of the *RNASE1* gene have also been found in other mammal groups. In rodents, both rats (*Rattus* spp.) and guinea pigs (*Cavia* spp.) independently evolved two duplicated genes, *RNASE1B* and *RNASE1C*, from the ancestral *RNASE1* gene (Dubois et al., 2002; Goo and Cho, 2013; Lang et al., 2017). Whether these duplicated

genes remain involved in immune function or have acquired novel, possibly digestive, functions has not been determined. Lang and colleagues (2017) suggest that the high *pI* (9.66) of rat RNase1B and its expression in the spleen point to retention of the original immunological function, while rat RNase1C (*pI* = 7.71) may have acquired a novel function (Lang et al., 2017). Similar to cows and colobines, the duplicated ribonuclease in guinea pigs has a lower *pI* and decreased charge and is less effective at degrading double-stranded RNA than the ancestral enzyme, suggesting a novel and possibly digestive function (Libonati et al., 1976; Dubois et al., 2003). Like howler monkeys, guinea pigs are herbivorous animals with caeco-colic rather than foregut fermentation. This suggests that ribonuclease could have a function in the digestion of microbial fermentation products, regardless of the presence of a foregut.

*RNASE1* also underwent multiple duplications independently in two families of bats, the Vespertilionidae and Molossidae, but the duplicate proteins have high isoelectric points suggesting an immunological rather than dietary function (Xu et al., 2013). Seven *RNASE1* genes were found in *Myotis lucifugus*, an insectivorous Vesper bat (Goo and Cho, 2013). The authors propose that this may be an immunological adaptation, as the communal roosting behavior of these bats potentially increases their exposure to pathogens and RNase1 may improve their resilience to them (Goo and Cho, 2013). In the superfamily Musteloidea, a group that includes red pandas, weasels, raccoons, and skunks, *RNASE1* was duplicated independently in four families but the functional significance of these duplicates is not yet clear (Liu et al., 2014). As summarized here, *RNASE1* genes have an interesting history of duplications and functional diversification

in mammals, including for novel dietary functions in herbivorous foregut and caeco-colic fermenters.

### ***Present study***

The *RNASE1* gene(s) of folivorous foregut-fermenting primates (colobines) and many non-folivorous primates are now well characterized (Schienman et al., 2006; Zhang, 2006; Yu et al., 2010), but it is not clear whether folivorous caeco-colic fermenting primates, such as howler monkeys, share the digestive enzyme adaptations of colobines. In this study, I therefore investigated both the *RNASE1* and *LYZ* genes in the mantled howler monkey (*Alouatta palliata*), a leaf-eating primate with caeco-colic fermentation.

### ***Hypotheses and predictions***

I hypothesize that howler monkey RNase1 and lysozyme exhibit similar changes in charge and isoelectric point as the proteins in colobines, as adaptations for a folivorous diet. To find the predicted shared amino acid changes, I assembled a comparative dataset of *RNASE1* and *LYZ* gene sequences across primates and translated and aligned the coding sequences. To better understand the evolutionary history and selective pressures acting on *RNASE1*, I tested for positive/purifying selection and reconstruct the ancestral gene sequences. Finally, the *pI* and charge at pH 7.0 were calculated for both extant and ancestral sequences, in order to identify the predicted shared biochemical properties of the proteins in different species.

## **Methods**

### ***Genome mining***

To assemble a comparative dataset of pancreatic ribonuclease (*RNASE1*) and lysozyme (*LYZ*) gene, I mined primate genomes and gene sequences available on GenBank, as well as an unpublished draft genome assembly of the mantled howler monkey (*Alouatta palliata*). The *RNASE1* sequence from the common marmoset (*Callithrix jacchus*) reference genome and the *LYZ* sequence from the black-capped squirrel monkey (*Saimiri boliviensis*) reference genome were used as queries to run a BLASTN search (default search parameters) in the genome assembly of *Alouatta palliata*. Primate *RNASE1* sequences generated in previous studies (Zhang et al., 2002; Schienman et al., 2006; Zhang, 2006; Yu et al., 2010) were downloaded from the National Center for Biotechnology (NCBI). For a better understanding of the history of *RNASE1* in primates, especially in platyrrhines, the published reference genomes of *Aotus nancymae* (Anan\_1.0), *Cebus capucinus imitator* (Cebus\_imitator-1.0), *Microcebus murinus* (Mmur\_3.0), and *Tarsius syrichta* (Tarsius\_syrichta-2.0.1) were searched for *RNASE1* sequences using BLASTN with the same query and parameters as above. Primate sequences of *LYZ* generated in a previous study (Messier and Stewart, 1997) were retrieved from GenBank. The *LYZ* gene sequence found in the *Bos taurus* reference genome (Bos\_taurus\_UMD\_3.1.1) differed from the sequence reported in (Stewart et al., 1987). The sequence for *Bos taurus LYZC2* was used, because it was most similar and almost identical to the bovine lysozyme sequence reported in Stewart et al. (1987). A full list of sequences used in this study and their accession numbers are presented in Table 1.

### ***Sequence analyses***

Coding regions of all sequences for *RNASE1* (471 bp) and *LYZ* (447 bp) were translated using Geneious 9.1.8. Coding regions and translated amino acid sequences were aligned using the MAFFT alignment server (<http://mafft.cbrc.jp/alignment/server/>) and Geneious 9.1.8.

The following analyses were only done with data for *RNASE1*, because no evidence of duplication or convergence between *Alouatta* and colobines was found for *LYZ*.

Phylogenetic trees were constructed from both nucleotide and protein alignments of *RNASE1* with MrBayes and PHYML programs using the Hasegawa-Kishino-Yano (HKY) substitution model (Hasegawa et al., 1985) with a discrete Gamma distribution (+G). The nucleotide substitution model was chosen based on the Akaike Information Criterion (AIC) statistics calculated by the jModelTest program (webserver: <http://jmodeltest.org/>) (Darriba et al., 2012). In PHYML, 1000 bootstrap replicates were completed. Because these relatively short sequences did not resolve the primate phylogeny accurately, trees based on the best available primate phylogeny (Perelman et al., 2011) were used in CODEML analyses and ancestral sequence reconstructions.

I used site-specific and branch-site models in the program CODEML in the PAML package (Yang, 2007) to test for evidence of positive selection acting on the *RNASE1* gene in primates in general and in *Alouatta palliata* in particular. Site-specific models (M0 – null, M1a – nearly neutral selection, M2a – positive selection, M3 – discrete, M7 – beta, and M8 – beta &  $\omega > 1$ ) are used to determine if there is variation in the values of  $\omega$  across sites along the *RNASE1* alignment and to test for evidence of positively selected sites (Yang, 2007). Model fit is evaluated using likelihood ratio tests

(LRT). For branch-site models the duplicated *Alouatta RNASE1* genes (*RNASE1B* and *RNASE1C*) and the branch leading to them were designated as foreground branches, while all parent *RNASE1* genes were designated as background branches. In this model  $\omega$  is allowed to vary both between sites and across branches to determine if sites are under positive selection in the foreground branches (Yang, 2007). This alternative model is compared to the null model in which  $\omega$  is fixed at 1 and model fit is evaluated with a LRT.

#### ***Ancestral sequence reconstruction***

To better understand the evolutionary history of *RNASE1* in primates, ancestral sequences were reconstructed using the FastML webserver (<http://fastml.tau.ac.il/>) (Ashkenazy et al., 2012). The following running parameters were used: sequence type = codons, model of substitution = yang, use gamma distribution = yes and probability cutoff to prefer ancestral indel over character = 0.5.

#### ***Protein properties***

To compare the biochemical properties of proteins across species, the isoelectric points (*pI*) of lysozyme, extant *RNase1* and ancestral *RNase1* proteins were computed with ProteinCalculator v3.4 (<http://protecalc.sourceforge.net/>) and the Compute pI Tool on the ExPASy webserver ([http://web.expasy.org/compute\\_pi/](http://web.expasy.org/compute_pi/)). Estimates differed slightly between the two tools, so an average of the two values is presented here.

## **Results**

I was able to identify sequences in the *Alouatta palliata* draft genome putatively orthologous to the query *LYZ* and *RNASE1* gene sequences.

The *LYZ* nucleotide sequence of *A. palliata* was 96.42% and 96.20% identical to that of *Saimiri boliviensis* and *Callithrix jacchus*, respectively. The *LYZ* amino acid sequence was also very similar to those of other platyrrhines, being 91.22-91.89% identical. A protein alignment of the lysozyme C sequences from *A. palliata*, *S. boliviensis*, *C. jacchus*, *Saguinus oedipus*, *Papio anubis*, *Colobus guereza*, *Nasalis larvatus*, and the cow (*Bos taurus*) is shown in Figure 1. While colobines and cows have a number of convergent amino acid changes, these substitutions are not found in howler monkey lysozyme C (Fig. 1). Pairwise distances of lysozyme C amino acid sequences are shown in Table 2. As found in previous studies (Stewart et al., 1987), the two colobines (*C. guereza* and *N. larvatus*) have overall greater sequence similarity with the cow (111/148 amino acids, 75%) than another closely related catarrhine, *Papio anubis*, has with the cow (102/148 amino acids, 68.92%). The howler monkey, on the other hand, does not share the convergent changes found in the lysozyme sequences of colobines and cows and its sequence identity with these groups is comparable to those of other platyrrhines (Table 2). Like the other platyrrhines, the howler monkey shares 124-125/148 amino acids (83.78-84.46%) with the *LYZ* sequence of colobines and 102/148 amino acids (68.92%) with the *LYZ* sequence in cows.

The *RNASE1* BLAST search of the howler monkey draft genome returned three different *RNASE1*-like sequences that were 93.19-93.75% identical to the *Callithrix jacchus* query and 96.78-98.24% identical to each other. To exclude the possibility that these sequences were of another, closely related gene, I conducted BLAST searches with these sequences as queries against all published platyrrhine genomes. All searches only returned hits to *RNASE1* and no other platyrrhine genomes investigated here showed



evidence of a second *RNASE1*-like gene. Therefore, it is most likely that the additional *RNASE1*-like sequences found in the howler monkey genome are duplications of the ancestral *RNASE1* gene. I am going to refer to these two sequences as *RNASE1B* and *RNASE1C*.

Primate *RNASE1* genes generally appear to be conserved and lack premature stop codons in all species included here ( $n = 25$ ). The overall amino acid sequence divergence across *RNASE1* in these species was low (mean difference of 15.02 amino acids out of 145 total sites = 10.36%, SE over 1000 bootstrap replicates = 2.23). When including the duplicated *RNASE1* genes found in colobines and *Alouatta*, overall divergence only increased slightly ( $17.46/145 = 12.04\%$ , SE = 2.02).

Trees built from the coding region of *RNASE1* failed to accurately resolve the phylogenetic relationships of all primate species (Fig. 2). Different programs (MrBayes, PHYML) and approaches (neighbor-joining, maximum likelihood) gave different topologies and did not resolve the history of *RNASE1* duplications in colobines with confidence. This is likely due to the short size of (474 bp) and overall conservation of *RNASE1*. Sequence information for non-coding regions of *RNASE1* was not available for all species in my sample, so it was not possible to use a longer sequence to construct a phylogenetic tree.

Aligning all RNase1 protein sequences shows several convergent amino acid changes between the duplicated howler monkey and colobine genes, *RNASE1B* and *RNASE1C* (Fig. 3). These include a change from arginine to glutamine at site 32, a change from lysine to glutamic acid at site 35, and a change from arginine to tryptophan at site 68. Arginine and lysine are positively charged amino acids, while glutamic acid is

negatively charged, so these changes contribute to a change in the charge of the resulting protein. The isoelectric point ( $pI$ ) of RNase1 and the duplicated RNase1 proteins are shown in Figure 4. Compared to the high  $pI$  (8.29-9.13) and higher charge of parent RNase1 proteins, the duplicated proteins in colobines have a lower  $pI$  (6.26-8.29) (Fig. 4) and a reduced charge at pH 7.0 (Fig. 3). Likewise, the parent howler monkey RNase1 has a higher  $pI$  (8.29) and charge (3.9) than the duplicated howler proteins ( $pI$  = 6.75-6.76, charge = -0.1) (Fig. 3, Fig. 4).

Reconstruction of the ancestral *RNASE1* sequences showed that isoelectric points of RNase1 proteins are consistently high across the primate phylogeny, with the exception of the duplicated proteins in colobines and *Alouatta* (Fig. 5). Another exception is the RNase1 protein of the owl monkey (*Aotus nancymae*). While I found no evidence of a duplicated gene, the *Aotus RNASE1* sequence has two amino acid changes that are convergent with changes found in the *Alouatta RNASE1B* and *RNASE1C* sequences (K34E and R68W). Consistent with these changes, the  $pI$  of owl monkey RNase1 is lower (7.16) than any other non-duplicated RNase1 in primates (Fig. 3, Fig. 5).

The CODEML analyses of selective pressure did not provide evidence that any sites along *RNASE1* are positively selected (Site-specific M1 vs. M2:  $\Delta LRT = 0$ ,  $p = 1$ ; M7 vs. M8:  $\Delta LRT = 0.124$ ,  $p = 0.94$ ) or that the duplicated howler monkey *RNASE1B* and *RNASE1C* genes are under positive selection (Table 3). As in most functional proteins, the model of variable  $\omega$  values across sites was favored over the null model of a single  $\omega$  (M0 vs. M3:  $\Delta LRT = 73.274$ ,  $p < 0.00001$ ) and most sites in *RNASE1* appear to be under purifying selection (M3:  $\omega_1 = 0.047(24.83\%)$ ,  $\omega_2 = 0.047(42.57\%)$ ,  $\omega_3 = 0.807(32.60\%)$ ).

## Discussion

In many mammal groups *RNASE1* genes have a history of duplications and functional diversification. This study identified a previously unknown *RNASE1* duplication event in *Alouatta palliata* and found amino acid substitutions in the duplicated genes that are convergent with duplicated *RNASE1* genes in colobines and consistent with a new function in the digestive system. The howler monkey *LYZ* gene, however, was found to be conserved and not to have evolved changes consistent with a new role as a digestive enzyme.

While all other platyrrhine species studied so far only had one *RNASE1* gene (Zhang et al., 2002), three different *RNASE1*-like sequences were identified in the genome of *Alouatta palliata*. One sequence (*RNASE1*) retained a high *pI* and positive charge, while the two other sequences (*RNASE1B* and *RNASE1C*) had several amino acid changes (Fig. 3) that resulted in a reduction of the proteins' *pI* and charge (Fig. 3, Fig. 4). Such changes have also been found in *RNASE1* duplications in Asian and African colobine monkeys and artiodactyl ruminants (Zhang, 2003; Schienman et al., 2006; Zhang, 2006; Yu et al., 2010). Colobine RNase1B and RNase1C have up to nine amino acid changes that reduce the enzymes' effectiveness against double stranded RNA (Zhang et al., 2002) and howler monkey RNase1B and RNase1C share four (R32Q, K34E, R68W, D112E) and three (R32Q, K34E, R68W) of these substitutions, respectively (Fig. 3). It is therefore likely that the duplicated howler monkey proteins are not as effective against double-stranded RNA as the ancestral protein. Combined with the lowered *pI* and reduced charge, this supports the idea that these *RNASE1* duplications in

howler monkeys have diverged in function from the original, immunological role of the ancestral protein (Barnard, 1969; Libonati et al., 1976; Zhang et al., 2002; Goo and Cho, 2013; Liu et al., 2014). Zhang (2006) had previously calculated the probability of three or more parallel amino acid substitutions arising in two lineages by chance to be between 0.0001 and 0.0026 (Zhang, 2006). The more likely explanation is that the convergent substitutions in howler monkey and colobine *RNASE1B/C* arose due to shared selective pressures.

In colobines and ruminant artiodactyls the duplicated RNase1 proteins are thought to be adaptations for the digestion of bacteria, or short-chain fatty acids produced by these bacteria, that ferment leaves in the foregut of these (Beintema, 1990; Zhang, 2003). While howler monkeys do not have a sacculated forestomach like colobines and ruminants, they do rely on microbial fermentation in the caeco-colic region to break down the foliage they consume (Milton and McBee, 1983). The duplicated RNase1B and RNase1C may thus fill a role similar to the duplicated proteins in colobines and artiodactyl ruminants, by digesting the products of leaf-fermenting bacteria, such as short-chain fatty acids, in the caeco-colic region. In a study of fermentative digestion in *Alouatta palliata* the authors found that up to 31% of the monkeys' daily required energy may come from the digestion of fermentation end products (Milton and McBee, 1983). During times of fruit scarcity when the howler diet consists entirely of leaves, the ability to efficiently digest such products may therefore be crucial to their survival (Milton and McBee, 1983) and RNase1B and RNase1C enzymes may be a key factor ensuring digestive efficiency.

Unlike cows and colobines, howler monkeys did not have convergent amino acid changes in the *LYZ* gene (Fig. 1). Lysozyme is an enzyme found in many vertebrates and invertebrates and is thought to play a role in immune function (Jollès and Jollès, 1984). In cows and colobines, however, lysozyme exhibits convergent amino acid changes that allow the enzyme to function at a much lower pH, possibly as an adaptation for bacteriolytic activity in the acidic stomach fluid (Stewart et al., 1987; Swanson et al., 1991). In artiodactyl ruminants the *LYZ* gene underwent multiple duplications and some of the daughter genes acquired a novel digestive function (Irwin, 1995). Interestingly, in the colobines there is only a single *LYZ* gene that was adapted for a digestive function. In non-colobine primates, including howler monkeys, *LYZ* is conserved (Fig. 1, (Messier and Stewart, 1997)). It may be that the utility of lysozyme as a digestive enzyme is tied to foregut-fermentation, while ribonuclease can be adaptive for microbial fermentation both in the foregut, as well as in the “hindgut.” Some support for this is provided by a study of lysozyme in the only avian species with foregut-fermentation, the hoatzin (*Opisthocomus hoazin*), a leaf-eating bird from South America (Grajal et al., 1989). Despite arising from a different lysozyme gene family, a lysozyme expressed in the hoatzin stomach has biochemical properties and amino acid substitutions that are convergent with those found in artiodactyl ruminants and colobines (Kornegay et al., 1994). Another possible example of ribonuclease adaptation for a digestive purpose comes from the ancient DNA of the extinct subfossil lemur *Megaladapis* (Perry and colleagues, in prep). Based on studies of dental microwear and dental topography *Megaladapis* was likely folivorous (Scott et al., 2009; Godfrey et al., 2012) and its *RNASE1* gene shares several amino acid substitutions with the duplicated *RNASE1B* and *RNASE1C* genes of colobines and howler monkeys

(Perry and colleagues, in prep). Since no extant lemurs have a colobine-like digestive system (Lambert, 1998), it is most likely that *Megaladapis* relied on caeco-colic fermentation to digest leaves, like howler monkeys.

While there is a possibility that *RNASE1* was only duplicated once in howler monkeys and *RNASE1B* and *RNASE1C* are only different alleles of the same gene, this is unlikely due to the quality of the *Alouatta palliata* genome assembly. Additionally, even a single duplication of *RNASE1* with the amino acid substitutions observed here would provide important evidence of convergent evolution between howler monkeys and colobines. A bigger limitation of the current study is that data are lacking on where in the howler monkey body *RNASE1*, *RNASE1B*, and *RNASE1C* are expressed. Finding that *RNASE1B* and *RNASE1C* are expressed in the howler caecum and/or colon would provide strong evidence that these proteins have been repurposed as digestive enzymes. Future expression studies should therefore be a priority. The role of *RNASE1* in owl monkeys (*Aotus* spp.) likewise deserves additional study. While there was no evidence of a gene duplication, *A. nancymaae* *RNASE1* shared two amino acid substitutions with howler and colobine *RNASE1B/C* and consequently had a lower *pI* than RNase1 in other species (Fig. 3, Fig. 5).

## Conclusion

The *RNASE1* gene family has a history of duplications and functional divergence in many mammals, including the colobine primates (Zhang, 2003; Schienman et al., 2006; Zhang, 2006; Yu et al., 2010; Goo and Cho, 2013; Xu et al., 2013; Liu et al., 2014; Lang et al., 2017). Here I present evidence that *RNASE1* has also been duplicated in a non-colobine primate, the mantled howler monkey (*Alouatta palliata*), and that the

duplicated genes (*RNASEIB*, *RNASEIC*) have biochemical properties and amino acid substitutions that are convergent with those found in foregut-fermenting primates. These proteins may therefore be used for an analogous function in howler monkeys, digesting the products of microbial fermentation in the caeco-colic region, a potentially substantial source of energy (Milton and McBee, 1983). Along with behavioral and morphological adaptations, these duplicated proteins may be crucial digestive enzyme adaptations allowing howler monkeys to survive on a folivorous diet during times of fruit scarcity.

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Table 2.1. Sequences used in this study with NCBI accession numbers.

Gene	Species	NCBI Accession Number/Location
<i>RNASE1</i>	<i>Alouatta palliata</i>	TBD
	<i>Aotus nancymae</i>	NW_012163535.1 [8186684-8187154]
	<i>Ateles geoffroyi</i>	AF449639.1
	<i>Callithrix jacchus</i>	NC_013905.1 [45071412-45071882]
	<i>Cebus capucinus</i>	NW_016107398.1 [302123-302593]
	<i>Chlorocebus aethiops</i>	AF449635.1
	<i>Colobus guereza</i>	DQ516063.1
	<i>Gorilla gorilla</i>	AF449629.1
	<i>Homo sapiens</i>	NC_000014.9 [20801598-20802069]
	<i>Lagothrix lagotricha</i>	AF449640.1
	<i>Lemur catta</i>	AF449641.1
	<i>Macaca mulatta</i>	AF449632.1
	<i>Macaca nemestrina</i>	AF449633.1
	<i>Miopithecus talapoin</i>	AF449636.1
	<i>Nasalis larvatus</i>	DQ494879.1
	<i>Nomascus leucogenys</i>	AF449631.1
	<i>Pan troglodytes</i>	AF449628.1
	<i>Papio hamadryas</i>	AF449634.1
	<i>Pongo pygmaeus</i>	DQ494868.1
	<i>Procolobus badius</i>	DQ494875.1
	<i>Pygathrix nemaeus</i>	AF449642.1
	<i>Rhinopithecus bieti</i>	GQ334693.1
	<i>Saguinus oedipus</i>	AF449638.1
	<i>Saimiri sciureus</i>	AF449637.1
	<i>Tarsius syrichta</i>	NW_007023513.1 [17837-18307]

<i>RNASE1B</i>	<i>Alouatta palliata</i>	TBD
	<i>Colobus guereza</i>	DQ516064.1
	<i>Nasalis larvatus</i>	DQ494863.1
	<i>Pygathrix nemaeus</i>	AF449643.1
	<i>Procolobus badius</i>	DQ494873.1
	<i>Rhinopithecus bieti</i>	GQ334696.1
<i>RNASE1C</i>	<i>Alouatta palliata</i>	TBD
	<i>Colobus guereza</i>	DQ516065.1
	<i>Procolobus badius</i>	DQ494874.1
<i>LYZ</i>	<i>Alouatta palliata</i>	TBD
	<i>Callithrix jacchus</i>	U76923.1
	<i>Colobus guereza</i>	U76916.1
	<i>Nasalis larvatus</i>	AH004928.2
	<i>Papio anubis</i>	U76919.1
	<i>Saguinus oedipus</i>	U76922.1
	<i>Saimiri boliviensis</i>	NW_003943629.1 [1119538-1124395]
<i>LYZ2</i>	<i>Bos taurus</i>	NM_180999.1

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Table 2.2. Pairwise identity (%) of lysozyme C (LYZ) amino acid sequences

	<i>Bos taurus LYZ2C</i>	<i>Colobus guereza LYZ</i>	<i>Nasalis larvatus LYZ</i>	<i>Papio anubis LYZ</i>	<i>Alouatta palliata LYZ</i>	<i>Callithrix jacchus LYZ</i>	<i>Saguinus oedipus LYZ</i>
<i>Colobus guereza LYZ</i>	75.00						
<i>Nasalis larvatus LYZ</i>	75.00	95.95					
<i>Papio anubis LYZ</i>	68.92	91.22	91.22				
<i>Alouatta palliata LYZ</i>	68.92	84.46	83.78	86.49			
<i>Callithrix jacchus LYZ</i>	68.92	86.49	84.46	89.86	91.89		
<i>Saguinus oedipus LYZ</i>	68.24	85.81	83.78	89.19	91.22	97.97	
<i>Saimiri boliviensis LYZ</i>	68.92	86.49	84.46	89.86	91.22	97.97	97.3

Table 2.3. Results of CODEML analyses for primate *RNASE1* and duplicated sequences (n = 28).

Model	InL	$\Delta$ LRT	<i>p</i>
<i>Site-specific model</i>			
M0	-1898.31271	73.274	< 0.00001
M3	-1861.675721		
M1	-1862.385569	0	1
M2	-1862.385569		
M7	-1862.469421	0.124	0.940
M8	-1862.40745		
<i>Branch-site model</i>			
<i>A. palliata RNASE1B &amp; C</i>			
M0	-1862.165721	0	1
MA	-1862.165721		



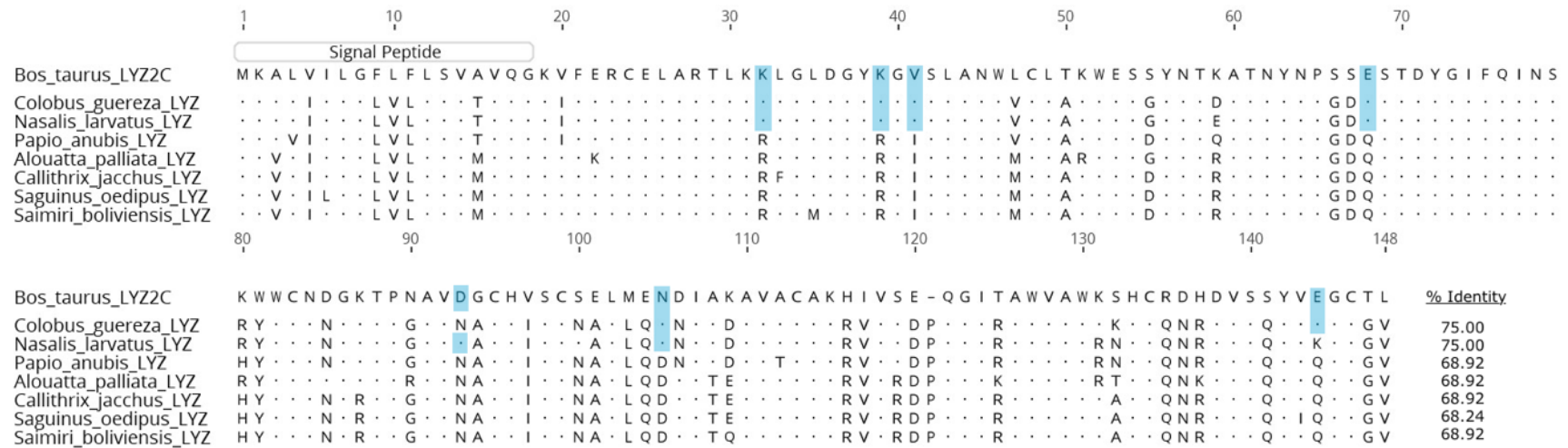
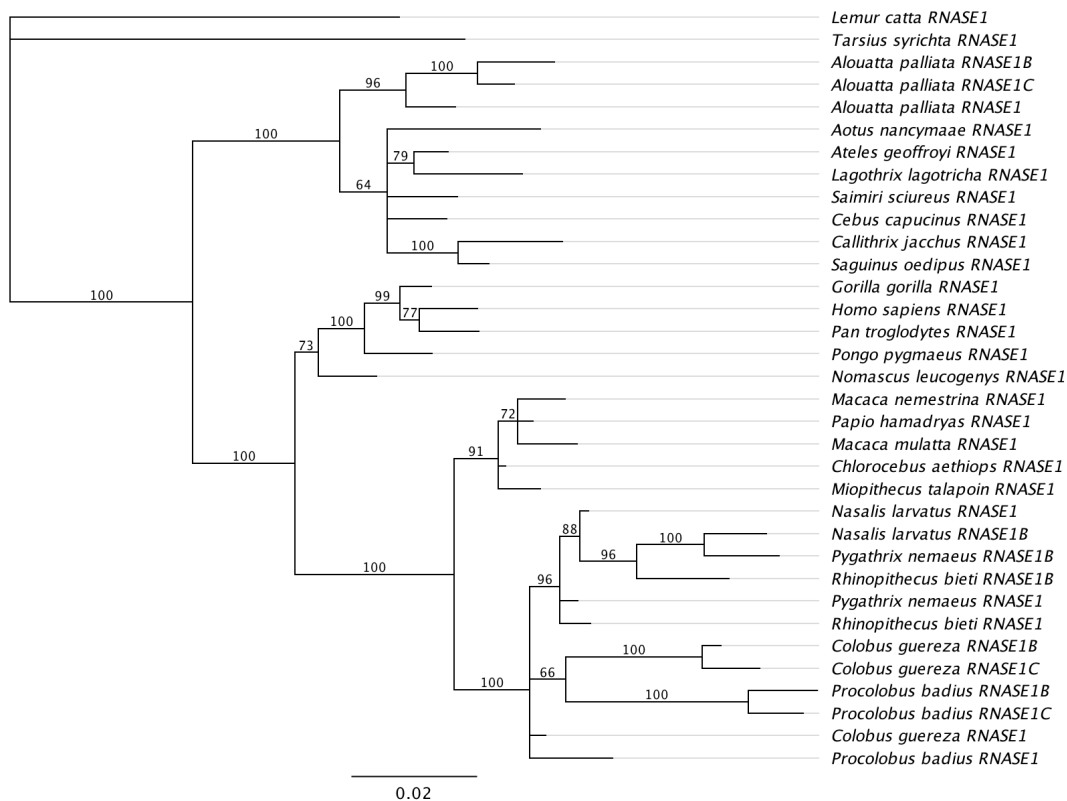


Fig. 2.1. Primate *LYZ* protein sequences aligned to cow (*Bos taurus*) reference sequence. Convergent amino acid changes between cow and colobines are highlighted. Percent identity to the cow reference sequence is indicated in the bottom right.

a)



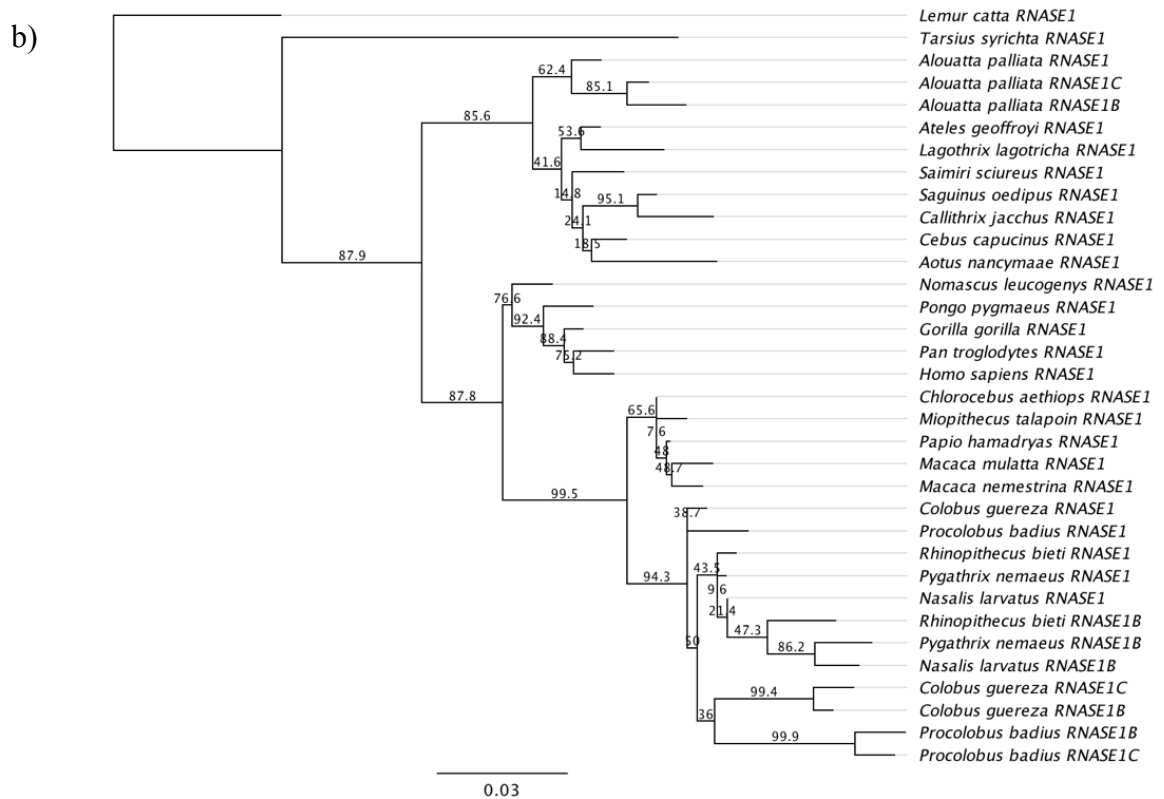


Fig 2.2. Phylogenies of primates based on coding sequences (474 bp) of *RNASE1* and duplications. Trees were built using a a) maximum-likelihood method with PHYML (Guindon et al., 2010) and a b) Bayesian approach with MrBayes (Huelsenbeck and Ronquist, 2001). Branch labels indicate a) bootstrap support in percent (1000 replicates) and b) the posterior probability in percent. Scale bars indicate rate of substitutions per site.

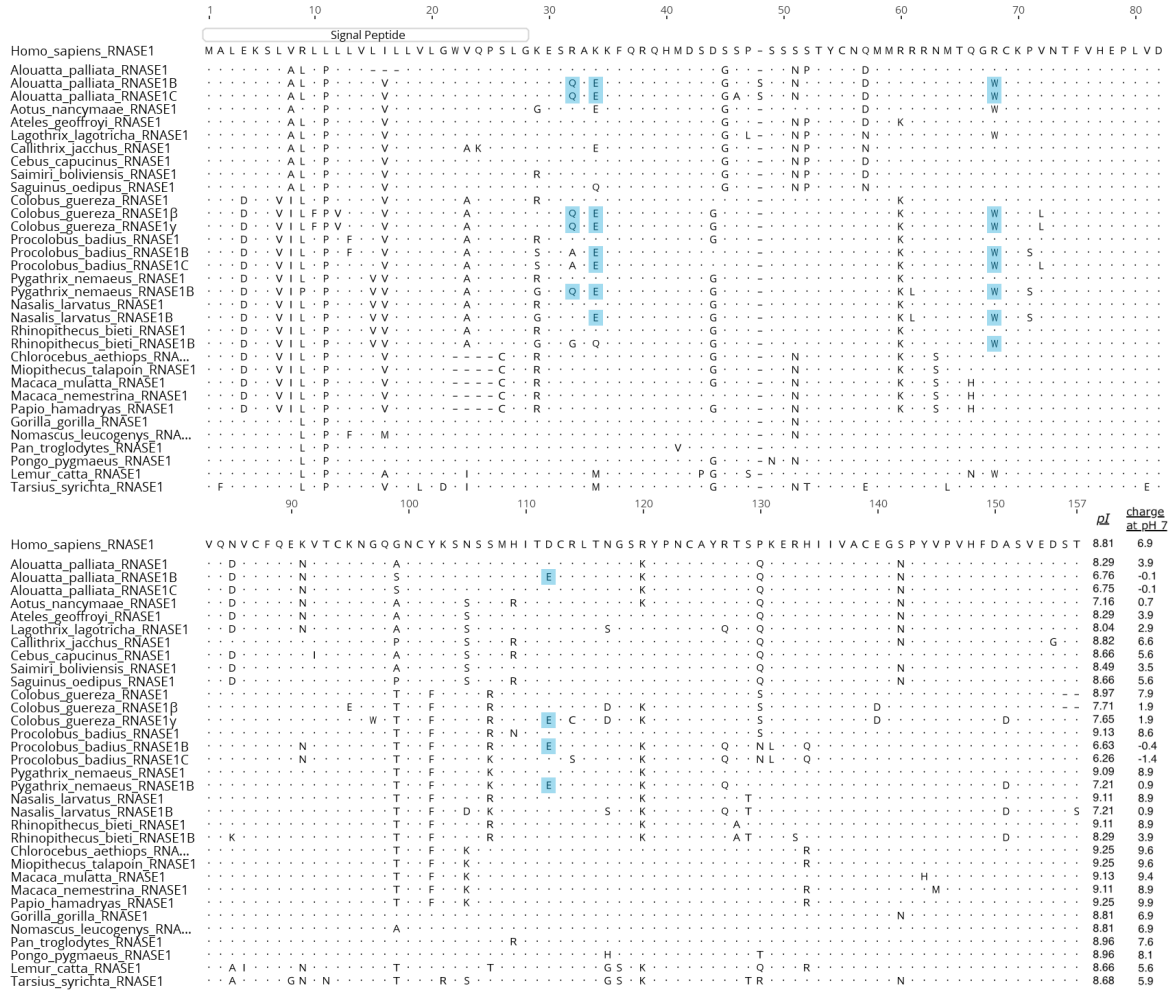


Fig. 2.3. Primate *RNASE1*, *RNASE1B*, and *RNASE1C* sequences aligned to human (*Homo sapiens*) reference sequence. Convergent amino acid changes between duplicated genes (*RNASE1B* and *RNASE1C*) in *Alouatta palliata* and colobines are highlighted. Average isoelectric point (*pI*) and charge at pH 7.0 are shown in the bottom right. *PI* and charge calculated with ProteinCalculator v3.4 (<http://protpcalc.sourceforge.net/>) and ExPASy Compute pI tool ([http://web.expasy.org/compute\\_pi/](http://web.expasy.org/compute_pi/)).

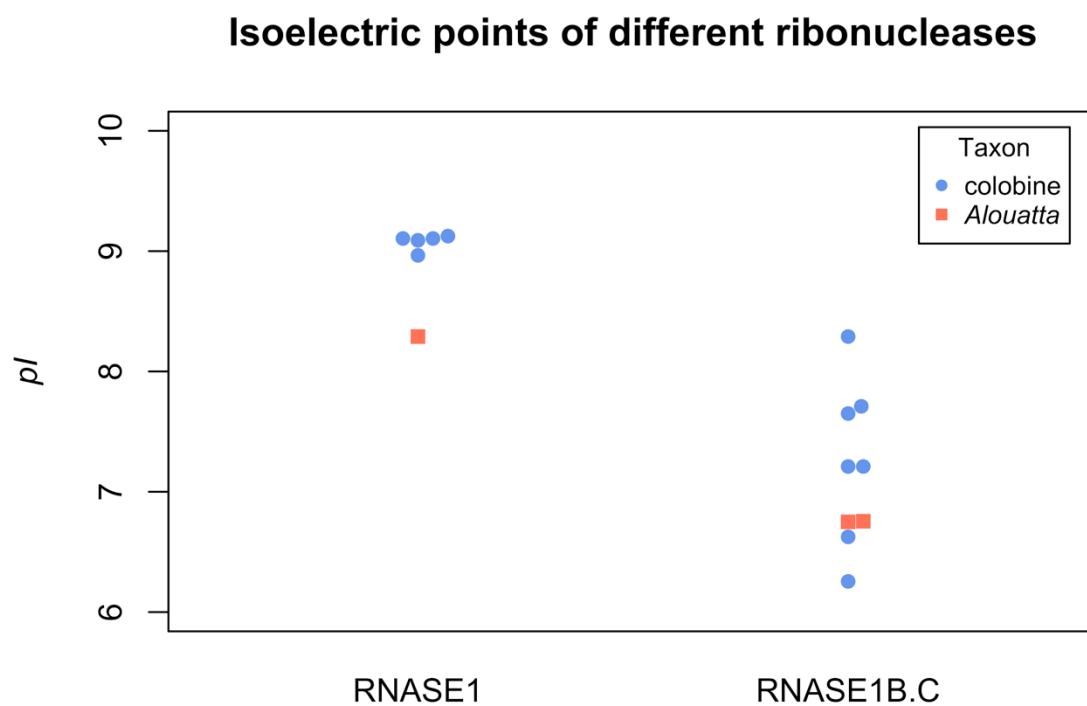


Fig. 2.4. Computed isoelectric points ( $pI$ ) of RNase1 and the duplicated proteins RNase1B and RNase1C in colobines and *Alouatta palliata*.

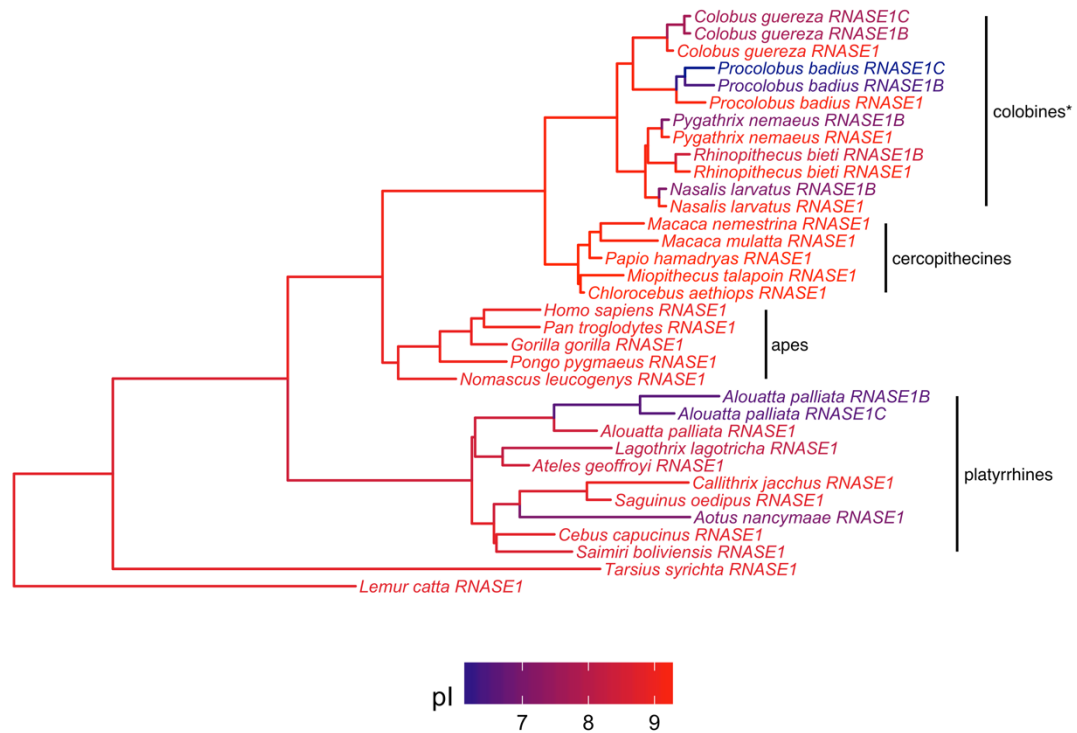


Fig. 2.5. Evolutionary relationships and isoelectric point ( $pI$ ) of the proteins encoded by *RNASE1*, *RNASE1B*, and *RNASE1C* in primates. Branches are colored based on computed  $pI$  of extant primate protein sequences and reconstructed ancestral protein sequences.

\*The colobine clade as shown here likely does not reflect the true evolutionary history of *RNASE1* and gene duplications. Because the evolutionary history of the *RNASE1* genes in colobines has not been fully resolved, the colobine genes are grouped by species here to illustrate the differences in  $pI$  between the parent and daughter proteins.

### **Chapter 3. Evolution of the acidic mammalian chitinase gene family (*CHIA*) is related to body mass and insectivory in primates**

#### **Abstract**

Insects are an important food resource for many primates, but the chitinous exoskeletons of arthropods have long been considered to be indigestible by the digestive enzymes of most mammals. However, recently mice and insectivorous bats were found to produce the enzyme acidic mammalian chitinase (AMCase) to digest insect exoskeletons. Here, we report on the gene *CHIA* and its paralogs, which encode AMCase, in a comparative nonhuman primate sample. Our results show that early primates likely had three *CHIA* genes, suggesting that insects were an important component of the ancestral primate diet. With some exceptions, most extant primate species retain only one functional *CHIA* paralog. The exceptions include two colobine species, in which all *CHIA* genes have premature stop codons, and several New World monkey species that retain two functional genes. The most insectivorous species in our sample also have the largest number of functional *CHIA* genes. *Tupaia chinensis* and *Otolemur garnettii* retain three functional *CHIA* paralogs, while *Tarsius syrichta* has a total of five, two of which may be duplications specific to the tarsier lineage. Selection analyses indicate that *CHIA* genes are under more intense selection in species with higher insect consumption, as well as in smaller-bodied species (<500 g), providing molecular support for Kay's Threshold, a well-established component of primatological theory. These findings suggest that primates, like mice and insectivorous bats, may use the enzyme AMCase to digest the chitin in insect exoskeletons, providing potentially significant nutritional benefits.

## Introduction

All primates include some insects in their diet, whether through accidental consumption or through active insectivory (Raubenheimer and Rothman, 2013). Degree of insectivory in living primates ranges from nearly exclusive (e. g., *Tarsius* spp.) to complementary (e. g., the Callitrichidae) to supplemental, such as in the great apes (McGrew, 2001). While insects are a significant source of energy and protein for many living primates, this is especially true for small-bodied primates (Kay, 1984). Due to their sparse distribution in most environments, insects are usually energetically costly to find and catch, making it difficult for a large-bodied primate to fill their nutritional demands solely with insects (Raubenheimer and Rothman, 2013). Small-bodied primates have relatively higher metabolic requirements per unit body mass, but are small enough that the insects they catch suffice to meet their nutritional needs (Fleagle, 2013). A classic concept in primatology, Kay's Threshold (Kay, 1984), suggests that only species below 500 g will be insectivores, while only species above this weight will be folivorous (Fig. 1). Among frugivorous primates, those that are smaller ( $\leq 1$  kg) will typically rely on insects as their source of protein, while those that are larger will use leaves (Gingerich, 1980; Kay, 1984; Fleagle, 2013) (Fig. 1). Social insects, including ants and termites, represent an exception to this rule because they occur as clumped resources across time and space and can be efficiently preyed upon by larger primates (Isbell, 1998). In the case of termites, extractive foraging tools are often used (Goodall, 1986; McGrew, 1992; van Schaik, 2003; Souto et al., 2011). While the nutrient composition of insects varies widely, geometric analyses show that insects eaten by non-human primates tend to have high protein-to-fat ratios and are important sources of minerals that may not otherwise be



included in the diet (Raubenheimer and Rothman, 2013), making them a valuable resource for extant primates and a possible driving force in primate evolution.

The Visual Predation Hypothesis posits that insect predation was the adaptive pressure leading to the evolution of the primate visual system and other morphological features (Cartmill, 1972; 1992; 2012). A suite of adaptations is associated with insectivorous primates, including molars with crests that are used to masticate insect exoskeletons (Kay, 1975), simple guts with low stomach-to-small intestine ratios (Chivers and Hladik, 1980), relatively larger home ranges (Clutton Brock and Harvey, 1980) and small body size (Kay, 1984). The primate visual system and grasping hands have also been suggested as adaptations for preying on insects (Cartmill, 1972; 1992; 2012).

Despite the high nutritional value of insects, there are drawbacks to consuming them. One such drawback is that they are often protected by exoskeletons, which are made up of the structural carbohydrate chitin (Finke, 2007). Chitin makes up between 2-20% of an insect's drymatter and is considered to be indigestible by most primates, unless their digestive systems contain chitinolytic enzymes (Rothman et al., 2014). Given the digestive challenges posed by chitinous exoskeletons (Strait and Vincent, 1998; Rothman et al., 2014), paired with potentially significant energy and amino acid returns if they are digested (Finke, 2007; Rothman et al., 2014), endogenously producing such a chitinolytic enzyme could have important adaptive benefits for insectivorous primates, complementing the dental, behavioral, and morphological adaptations discussed above.

Indeed, mice and insectivorous bats have been shown to digest chitin using an enzyme produced in the stomach called acidic mammalian chitinase (AMCase) (Whitaker

et al., 2004; Strobel et al., 2013; Ohno et al., 2016). This chitinolytic digestive enzyme is produced in the gastric chief cells, where other digestive enzymes are also secreted (Strobel et al., 2013; Ohno et al., 2016). Studies in mice further showed that AMCase is resistant to degradation by the proteases found in the stomach, such as pepsin C, trypsin and chymotrypsin, and breaks down chitin in the presence of these enzymes, as well as at an acidic pH (Ohno et al., 2016). Even though chitinolytic activity has also been observed in the gastric juices of two primates (*Perodicticus potto* and *Cebus capucinus*) (Cornelius et al., 1976; Jeuniaux and Cornelius, 1978), it was long believed that primates (and most other mammals) did not produce such an enzyme and could not digest chitin (Cork and Kenagy, 1989; Oftedal et al., 1991; Simunek and Bartonova, 2005; Strobel et al., 2013; Ohno et al., 2016). Instead, it was thought that insect-eating primates had fast gut-transit times to quickly pass indigestible exoskeletons (Gaulin, 1979; Milton, 1984). More recently, one study found that some primates harbor chitin-digesting microbes (Macdonald et al., 2013) and another study identified an acidic mammalian chitinase in macaques (*Macaca fascicularis*) that is expressed in the stomach and effectively digests chitin at an acidic pH (Krykbaev et al., 2010). However, because macaques are not very insectivorous and in humans AMCase has been associated with type-2 immune response, such as asthma, allergies, eye diseases, and parasite defense (Zhu et al., 2004; Reese et al., 2007; Musumeci et al., 2009; Bucolo et al., 2011; Muzzarelli et al., 2012; Vannella et al., 2016), any potential benefit of AMCase for insectivorous primates remains unresolved.

AMCase is encoded by the gene *CH1A* or one of its paralogs. In primates, two functional *CH1A* genes have been identified (Krykbaev et al., 2010): *hCH1A* and *mCH1A*.

While *hCHIA* remains functional in humans, *mCHIA* has a premature stop codon. In macaques, this is reversed and *mCHIA* is functional, while *hCHIA* has a premature stop codon (Krykbaev et al., 2010).

Here, we present data on the acidic mammalian chitinase gene (*CHIA*) in a large comparative sample of nonhuman primates ( $n = 34$ ) and one treeshrew (*Tupaia chinensis*). Primates are an order of mammals with over 230 species that have a range of different dietary ecologies (Groves, 2001). Across primates, insect consumption varies from virtually 0% (e.g., colobine monkeys) to almost 100% (*Tarsius* spp.), making them an ideal group for a comparative study of dietary adaptations associated with insectivory.

### ***Hypotheses and Predictions***

We hypothesize that production of AMCase is a digestive enzyme adaptation for insectivory and investigate this by comparing the paralogous *CHIA* gene sequences across primates with different levels of insectivory. We predict that primates that routinely consume at least some insects will retain a functional *CHIA* sequence, while *CHIA* pseudogenes will only be found in primates that do not feed on insects. We also test the strength of selection on *CHIA* as a function of insect consumption, predicting that the selection pressure acting on *CHIA* genes is stronger in primates with increased insect intake. Finally, we further explicate the relationship between insectivory and body size, as proposed by Kay's threshold (Kay, 1984), predicting that selective pressures acting on *CHIA* genes are stronger in smaller-bodied primates.

## Methods

In this study, we collected and analyzed sequence data on the *CHIA* paralogs of 34 primate species from 27 genera with varying levels of insect consumption (Table 1). We mined the published genomes of 22 primates and one treeshrew (*Tupaia chinensis*) for *CHIA*-like sequences using BLAST and sequenced the *CHIA* genes in additional primate species that do not have publicly available genomes. DNA samples for *Callicebus moloch*, *Callithrix jacchus*, *Saguinus fuscicollis*, and *Saimiri sciureus* were obtained from Coriell Biorepositories (see suppl. Table 1). Dr. George Perry provided extracted DNA from *Sapajus apella*. Extracted DNA from *Erythrocebus patas* was provided by Dr. Todd Disotell. DNA for the following samples was provided by one of the collaborators (Anthony J. Tosi): *Allenopithecus nigroviridis*, *Allochrocebus lhoesti*, *Cercopithecus mitis*, *Chlorocebus aethiops*, *Colobus guereza kikuyensis*, and *Miopithecus ogouensis*.

### Genome Mining

We conducted BLAST searches against the whole-genome sequences of the following taxa, using the *Macaca fascicularis CHIA* gene sequences (Krykbaev et al., 2010) as queries: *Callithrix jacchus*, *Saimiri boliviensis*, *Aotus nancymae*, *Cebus capucinus imitator*, *Cercocebus atys*, *Mandrillus leucophaeus*, *Papio anubis*, *Macaca mulatta*, *Macaca nemestrina*, *Chlorocebus sabaeus*, *Nasalis larvatus*, *Rhinopithecus roxellana*, *Rhinopithecus bieti*, *Colobus angolensis*, *Gorilla gorilla gorilla*, *Pan paniscus*, *Pan troglodytes*, *Pongo abelii*, *Nomascus leucogenys*, *Tarsius syrichta*, *Microcebus murinus*, *Otolemur garnettii*, and *Tupaia chinensis* whole-genome sequences (GenBank accession numbers in Supplementary Table 1).

### ***Amplification, Sequencing, and Assembly***

We designed PCR primers for the amplification of each of the 11 exons of both *CHIA* paralogs (*mCHIA*, *hCHIA*) in Old World and New World monkeys. We first tried to find regions that were conserved across a wide range of primates, including both platyrrhines and catarrhines, however, it was difficult to find intron regions that were suitable as PCR primer sites. Separate sets of PCR primers therefore had to be designed for catarrhine species and for platyrrhine species for most exons (suppl. Table 2). After aligning the *CHIA* sequences of multiple catarrhines (*Macaca fascicularis*, *Mandrillus leucophaeus*, *Nasalis larvatus*, *Cercocebus atys*, *Papio anubis*, *Rhinopithecus roxellana*, *Chlorocebus sabaeus*) and platyrrhines (*Saimiri boliviensis*, *Callithrix jacchus*, *Aotus nancymae*), ambiguities in the consensus sequence were masked and primers were designed using Primer3 (<http://primer3.ut.ee/>).

PCRs were carried out with one of two commercial kits. One kit was the Qiagen Fast Cycling PCR Kit MasterMix, which was used following manufacturer's protocols, but with a proportionally reduced volume of 15  $\mu$ l. Reactions were run at 95°C for 5 min followed by 35 cycles of 96°C for 15 sec, 56-59°C for 15 sec, and 68°C for 35 sec, and a final step at 72°C for 7 min. PCR products were purified with exonuclease I and shrimp alkaline phosphatase (ExoSAP) or, when gels showed the amplification of nontarget sequences (i.e. multiple bands), with the Qiagen QIAquick Gel Extraction Kit. Purified PCR products were sequenced directly on the Applied Biosystems 3500 Genetic Analyzer using the Applied Biosystems BigDye Terminator v3.1 Cycle Sequencing Kit and the same primers as used for PCR. Alternatively, PCRs were carried out in 25  $\mu$ l with the Promega GoTaq G2 Master Mix and run under the following conditions: 95° for 2

min followed by 35-40 cycles of 95° for 20 sec, 55°-60° for 25 sec, and 72° for 30-60 sec. These cycles were followed by a final extension phase at 72° for 5 min. Some of the exons of the *hCHIA* gene were difficult to amplify in *Co. guereza*, *Ce. mitis*, *A. lhoesti*, *Ch. aethiops*, *M. ogouensis*, and *Al. nigroviridis*. For these taxa, we used a long range PCR approach with Promega GoTaq Long PCR Master Mix to amplify the entire *hCHIA* gene (8-9 kb) for these species under the following thermal cycling conditions: 95° for 2 min followed by 35 cycles of 95° for 20 sec, 57° for 25 sec, and 65° for 18 min. These long-range reactions were followed by a final extension phase of 72° for 10 min. These whole-gene products were then cleaned up using Millipore Microcon centrifugal filters by spinning the products at 500 rcf for 4 min, and then spinning the inverted filter to recover only dsDNA at 1000 rcf for 3 min. We then diluted the cleaned-up DNA concentrate with 10 µl of nuclease-free water. This step was taken in order to quantitate the DNA so that we could use an appropriate amount of these products for subsequent nested PCRs, which targeted the problematic exons mentioned above. The nested products were sequenced directly at the Molecular Cloning Laboratories (San Francisco, CA) on an ABI 3730XL sequencer.

Reads were assembled, mapped to the *Macaca fascicularis* reference sequence, concatenated into coding sequences, and translated using Geneious v. 9.1.8. Coding regions and translated amino acid sequences were aligned with MAFFT alignment server v. 7.

### ***Sequence Analyses***

Sequences were visually inspected for frameshift mutations causing premature stop codons; these were considered to be *CHIA* pseudogenes. To determine whether full-

length *CHIA* sequences were likely coding for a functional enzyme, we inspected the translated amino acid sequences for conservation of the catalytic site motif and chitin-binding domain, signatures of functional chitinases (Tjoelker et al., 2000; Krykbaev et al., 2010).

We looked for evidence of positive selection acting on sites along both *CHIA* genes in primates with the CODEML program in the PAML package (Yang, 2007). We used site-specific models (M0 – null, M1a – nearly neutral selection, M2a – positive selection, M3 – discrete, M7 – beta, and M8 – beta &  $\omega > 1$ ) to determine if there is variation in the ratios of nonsynonymous to synonymous nucleotide substitutions (dN/dS or  $\omega$ ) across sites along the *mCHIA* and *hCHIA* alignments and to test for evidence of positively selected sites (Yang, 2007). Model fit was evaluated using likelihood ratio tests (LRT). The software program RELAX, which is part of the HyPhy package, tests for relaxed versus intensified selection in a codon-based phylogenetic framework (Wertheim et al., 2015). Given two sets of branches in a phylogeny (foreground and background, or test and reference branches), RELAX tests whether selection is intensified or relaxed in one set versus the other. To do this, RELAX uses a branch-site evolutionary (BS-REL) model to estimate the distribution of  $\omega$  for each of the two branch sets and then compares this distribution using two models. In the null model the selection intensity parameter  $k$  is constrained to 1, causing the  $\omega$  distribution to be the same on both test and reference branches, while under the alternative model  $k$  is allowed to vary. If the latter model is a significantly better fit (as determined by a LRT), this suggests that selection on the test branches is either relaxed ( $k < 1$ ) or intensified ( $k > 1$ ) compared to the reference branches (Wertheim et al., 2015). In addition to the null and alternative models, the

partitioned exploratory model can provide a quantitative measure of selection patterns in the test and reference branches. The partitioned exploratory model is a less constrained model that allows the proportion of sites in each category of  $\omega$  to vary between test and reference branches. Here we used RELAX to test whether selection was relaxed or intensified along any of the branches in our phylogeny as a function of either insect consumption or body size. Specifically, we hypothesized that selection on *CHIA* genes would be relaxed in lineages with a) pseudogenizing mutations, b) below average insect consumption, and c) body size above Kay's threshold (500 g).

### ***Phenotypic Data***

Data on insect consumption and body size were taken from the literature. Most of the data on body size came from (Smith and Jungers, 1997) and only measures from wild specimens were used. To avoid skews introduced by large adult males in sexually dimorphic species we only used adult female body weight measures for all species. Average adult female body weight for each species is presented in Table 1. Species-specific information on average annual insect consumption was taken from studies of wild primates, the results of many of which are collected in (Campbell et al., 2011). Annual averages of insect consumption used in our analyses are presented in Table 1, while detailed information on all data used to calculate these averages is given in Supplementary Table 3. Species were classified as relatively more or less insectivorous depending on whether their average annual insect consumption was higher or lower than the average annual insect consumption across all species included in our sample (16.58%). Where possible we used data from (1) studies that covered at least 12 months to account for seasonal variation in insect consumption, and (2) multiple studies for each



species to account for inter-population differences. The diets of a few of the species included here have not been studied in the wild; in these cases, we used data from a closely related species where available (*Saimiri boliviensis*, *Aotus nancymae*), or where unavailable (*Mandrillus leucophaeus*) left the branch “unclassified” in the RELAX analyses involving dietary data.

## Results

We successfully sequenced two *CHIA* genes in 12 primate species for which whole-genome sequences are not available. The sequences we generated for *Callithrix jacchus* contained numerous differences to the reference genome sequence. Since our sequences were more parsimonious in the comparative context of our study, both compared to sequences generated by us and the whole-genome sequences of closely related species, we believe that our sequences most likely accurate. All sequences have been deposited in GenBank (accession numbers in Supplementary Table 1). We further found both homologous sequences (*mCHIA* and *hCHIA*) in the 23 genomes we surveyed, with the exception of *Microcebus murinus* in which we could only positively identify one complete *CHIA* sequence (*hCHIA*). In the tarsier (*Tarsius syrichta*), galago (*Otolemur garnettii*), and Chinese treeshrew (*Tupaia chinensis*) genomes we identified more than two *CHIA* sequences. Both the galago and the Chinese treeshrew genomes had a third *CHIA* gene sequence (*CHIA3*), while the tarsier genome included three additional *CHIA* sequences (*CHIA3*, *CHIA4*, *CHIA5*) for a total of five homologous genes.

Phylogenetic trees generated from an alignment of the coding sequences (Fig. 2) show a deep split between the *CHIA* genes, indicating that *mCHIA* and *hCHIA* (and likely *CHIA3*) arose in a duplication event that was ancestral to primates and treeshrews and are not independently duplicated genes. While we did not find complete *CHIA3* sequences in any primates other than the tarsier and galago, partial sequences were identified in some genomes.

### ***CHIA Pseudogenizations***

While all species except the mouse lemur (*Microcebus murinus*) had two complete *CHIA* sequences, one of these sequences often contained frameshift-causing indels or nonsense mutations leading to premature stop codons and likely rendering the gene nonfunctional. As a result, most primates only retain one full-length, and likely functional *CHIA* paralog (Fig. 3). With some exceptions, *hCHIA* remains functional only in apes, while only *mCHIA* is functional in most monkeys. Two species in our data set did not retain any functional *CHIA* genes. In *Rhinopithecus bieti* and *Nasalis larvatus* both the *mCHIA* and *hCHIA* sequences contained premature stop codons (Fig. 3, Fig. 4a). Several New World primates, on the other hand, retained full-length sequences of both *mCHIA* and *hCHIA*, including *Callithrix jacchus*, *Cebus capucinus*, *Saimiri boliviensis*, *S. sciureus*, and *Saguinus fuscicollis* (Fig. 3, fig. 4b). All three *CHIA* sequences in the galago and treeshrew, and all five sequences in the tarsier were free from any indels or premature stop codons (Fig. 3, Fig. 4c-d).

Interestingly, across our sample of primate species (n = 34), premature stop codons were independently introduced into the *hCHIA* or *mCHIA* sequences numerous times, through frameshift or nonsense mutations at various sites along the sequence. The

*mCHIA* gene lost function independently at least six times in primates: three times in the apes and three times in the colobine monkeys (Fig. 3). Premature stop codons in the *hCHIA* gene arose at least seven times: three times in New World monkeys, twice in colobine monkeys, and two (possibly three) times in cercopithecine monkeys (Fig. 3), a subfamily that includes the tribes Cercopithecini and Papionini (Table 1). The Papionini (*Macaca* spp, *Mandrillus leucophaeus*, *Cercocebus atys*, and *Papio anubis*) share a deletion in exon 8 that causes a frameshift and premature stop codon, while most of the Cercopithecini (*Cercopithecus mitis*, *Allenopithecus nigroviridis*, *Allochrocebus lhoesti*, *Erythrocebus patas*, and *Chlorocebus* spp.) share a frameshifting deletion and premature stop codon at the beginning of exon 11. Even though *Miopithecus ogouensis* is considered to be part of the tribe Cercopithecini (Tosi et al., 2002; 2005), this species shares the exon 8 deletion with the Papionini and lacks the exon 11 deletion characteristic of its tribe (Fig. 3). Exons 8 and 11 were sequenced again in another lab, using a different *Miopithecus* sample, confirming these results. At this time, it is unclear what accounts for this pattern. Possible explanations include polymorphism in the common ancestor of the Cercopithecini and Papionini, or ancient hybridization.

### ***Signatures of Catalytically Active Chitinases***

In all of the full-length *CHIA* amino acid sequences we found that the signatures of catalytically active chitinases were conserved (Fig. 5): these have a conserved glutamate and the consensus sequence DXXDXDXE at the active site (Synstad et al., 2004; Krykbaev et al., 2010). In addition, catalytically active chitinases further have a chitin-binding domain at the C-terminus, containing six cysteines, which are essential for attaching the enzyme to the chitin (Tjoelker et al., 2000). All of the full-length *CHIA*

sequences in our study had conserved chitin-binding domains that contained all six cysteines (Fig. 5).

### ***CODEML Analyses***

Results of the CODEML analysis for *mCHIA* suggested that all sites in this gene are under purifying or neutral selection (Table 2). Comparison of the models M0 and M3 falsified the null hypothesis that the same dN/dS ratio ( $\omega$ ) applies to all sites of the *mCHIA* gene ( $\chi^2 = 79.54$ ;  $df = 4$ ;  $P < 0.00001$ ); however, this result is expected for most functional proteins. Interestingly, CODEML estimated all three discrete  $\omega$  groups below 1.00, and it placed 75.5% of sites into categories that have a dN/dS ratio of 0.09. Comparison of models M1a and M2a ( $\chi^2 = 0$ ,  $df = 2$ ,  $P = 1$ ) and models M7 and M8 ( $\chi^2 = 2.85$ ,  $df = 2$ ,  $P = 0.24$ ) both failed to support any hypothesis of positive selection. Overall, these models indicate that most *mCHIA* codons appear to be under purifying selection with a smaller number of sites under neutral selection (16-19%, Table 2).

Results for the same analyses for the *hCHIA* gene indicated that a small number of sites (1.0-1.5%) may be subject to positive selection (Table 2). Both the comparison of models M1a and M2a ( $\chi^2 = 8.70$ ,  $df = 2$ ,  $P = 0.013$ ) and of models M7 and M8 ( $\chi^2 = 14.76$ ,  $df = 2$ ,  $P = 0.001$ ) favored the hypothesis that sites in *hCHIA* are under positive selection over the null hypothesis. Bayes Empirical Bayes analysis indicated two sites that had a significant probability of being under positive selection, 36P and 62Q (Table 2). As with the *mCHIA* gene, the majority of sites in *hCHIA* appear to be under purifying selection.

### ***RELAX Analyses***

While CODEML results suggested that most sites in *mCHIA* and *hCHIA* are under purifying selection, we also tested whether the strength of purifying selection acting on these sites varies across different branches of our phylogeny using the program RELAX. For *hCHIA*, RELAX results supported the hypothesis that selection was relaxed in species in which the gene has become pseudogenized ( $k = 0.02$ ,  $P = 0$ ,  $LR = 74.26$ , table 3). Compared to branches in which *hCHIA* remains functional, the  $\omega$  values of pseudogene branches were shifted towards neutrality ( $\omega = 1$ ) indicating relaxed selection in these species (Fig. 6a). Branch specific inferences of the selection intensity parameter ( $k$ ) under the General Descriptive model in RELAX showed that the branches under more intense selection are ones with functional *hCHIA* genes, such as *Saimiri sciureus* ( $k = 1.99$ ), *Otolemur garnettii* ( $k = 2.07$ ), *Tupaia chinensis* ( $k = 2.47$ ), and *Cebus capucinus* ( $k = 4.74$ ) (Fig. 7a). These species also have some of the highest insect intakes in our sample (Table 1). Results of a RELAX test including only functional *hCHIA* sequences supported the hypothesis that *hCHIA* is under more intense selection in species with higher insect consumption than in species with lower insect consumption ( $k = 0.20$ ,  $P < 0.001$ ,  $LR = 26.6$ , table 3). The  $\omega$  values of species with lower insect consumption were shifted toward neutrality compared to those of species with higher insect intake (Fig. 6b), but the majority of sites remained below  $\omega = 1$  (0.165, 78%). We found similar results for our test of Kay's threshold (Fig. 6c). Selection on *hCHIA* was relaxed in species with body weights above this 500 g threshold ( $k = 0.67$ ,  $LR = 7.68$ ,  $P = 0.006$ , table 3).

The initial RELAX results for *mCHIA* suggested a more complex pattern. The RELAX test comparing pseudogene branches to branches with functional *mCHIA* genes was significant for selection intensification ( $k = 1.88$ ,  $P < 0.001$ ) acting on pseudogene

branches. However, the  $\omega$  distributions of the best-fitting model, Partitioned Exploratory ( $LR = 53.24$ ,  $P = <0.001$ , table 3), suggested that most sites (99.89%) of *mCHIA* pseudogenes are shifted toward neutrality (0.88-0.981) compared to most sites (97%) of functional genes ( $\omega = 0.23$ -0.04). Only a very small number of *hCHIA* pseudogene sites (0.11%) were pushed far above neutrality ( $\omega = 325$ ) (Fig. 6d). Similarly, the RELAX test comparing *mCHIA* between species with high and low insect consumption indicated intensified selection on branches with lower insect intake ( $k = 2.06$ ,  $LR = 17.50$ ,  $P < 0.001$ , Table 3), but the best-fitting model, Partitioned Exploratory (Fig. 6e), suggested a pattern of relaxation. When comparing species above and below Kay's threshold, RELAX results reject the hypothesis that *mCHIA* is under relaxed selection in larger-bodied species, suggesting that they are instead under intensified selection ( $k = 3.81$ ,  $LR = 20.8$ ,  $P < 0.001$ , Table 3) with  $\omega$  values shifted away from neutrality (Fig. 6e).

Interestingly, branch specific inferences of  $k$  under the General Descriptive model show that three branches in our *mCHIA* sample that were part of the reference branches in all three RELAX tests have very strong selective regimes, two extremely so (Fig. 7b). *Otolemur garnettii* ( $k = 3.89$ ), *Tarsius syrichta* ( $k = 34.59$ ), and *Tupaia chinensis* ( $k = 50$ ) all appear to be under intense selection. It is likely that these extreme values and the long branch lengths observed in these species were biasing the RELAX test (Pond SLK, pers. comm.). We therefore ran these models again, leaving the *O. garnettii*, *T. syrichta*, and *Tu. chinensis* branches "unclassified" to exclude them from the hypothesis test and eliminate any bias contributed by these outliers. In support of our predictions, the RELAX test indicated that *mCHIA* pseudogene branches are under relaxed selection compared to branches with functional genes ( $k = 0.58$ ,  $LR = 15.08$ ,  $P < 0.001$ ), and that

selection on *mCHIA* is relaxed in species with lower insect consumption ( $k = 0.42$ ,  $LR = 11.78$ ,  $P < 0.001$ ). The model comparing selection between smaller-bodied and larger-bodied species could not be retested, because only two species below 500 g remained in our sample after removing the aforementioned species.

## Discussion

Here we present the first comparative study of *CHIA* genes in primates, including species with a variety of dietary ecologies and different levels of insectivory. Our findings are consistent with the hypothesis that primates produce acidic mammalian chitinase (AMCase) as a digestive enzyme for the breakdown of insect exoskeletons and that this enzyme is under more intense selection in more insectivorous and smaller-bodied primates.

We have identified a number of homologs of the *CHIA* gene in primates, *mCHIA*, *hCHIA*, *CHIA3*, *CHIA4*, and *CHIA5*. Three of these, *mCHIA*, *hCHIA*, and *CHIA3* were previously identified by (Krykbaev et al., 2010) and are most likely ancestral to the Euarchonta, as they are also found in the treeshrew (*Tupaia chinensis*) (Fig. 2, fig. 3). Because we could only identify a complete and putatively functional sequence for *CHIA3* in the treeshrew, the galago (*Otolemur garnettii*), and the tarsier (*Tarsius syrichta*) (Fig. 4), we conclude that *CHIA3* was likely lost early in the anthropoid lineage (Fig. 3). The additional two genes are only found in the tarsier (*T. syrichta*), the most insectivorous of all primates (Table 1, (Gursky, 2011)). These genes likely arose as duplications after the tarsier lineage split from the anthropoids between 60-70 MYA (Pozzi et al., 2014; Di Fiore et al., 2015; Kistler et al., 2015), but it is unclear which of the three ancestral *CHIA*

genes gave rise to *CHIA4* and *CHIA5*, as they are most similar to each other and equidistant from tarsier *mCHIA*, *hCHIA*, and *CHIA3* (Fig. 2).

With the exception of *Microcebus murinus* (see Future Directions), the genes *mCHIA* and *hCHIA* are found in all species in our sample, but show a pattern of independent pseudogenization events that is consistent with our hypothesis that acidic mammalian chitinase is a digestive enzyme evolved and retained for insectivory. Most primates include at least some insects in their diet (Raubenheimer and Rothman, 2013) and we find that most primates have one functional *CHIA* gene (Fig. 3). Only two species in our sample did not retain any functional *CHIA* genes, the black snub-nosed monkey (*Rhinopithecus bieti*) and the proboscis monkey (*Nasalis larvatus*) (Fig. 3, Fig. 4a). Both of these monkeys are colobines, a subfamily of primates that are highly folivorous and generally do not include insects in their diet (Table 1). Further, the only species in which more than one *CHIA* gene remained functional are species with above-average levels of insectivory. This includes some of the New World monkeys, which are generally both smaller-bodied and more reliant on insects than Old World monkeys (Gaulin, 1979; Terborgh, 1983). In the common marmoset (*Callithrix jacchus*), saddleback tamarin (*Saguinus fuscicollis*), the common and Bolivian squirrel monkeys (*Saimiri sciureus* and *S. boliviensis*, respectively), and the white-faced capuchin (*Cebus capucinus*) (Fig. 4b), both *mCHIA* and *hCHIA* were free of premature stop codons and were conserved in the catalytic site and chitin-binding domain (Fig. 5).

Finally, the most insectivorous primate species in our sample are also the species with the greatest number of (putatively) functional *CHIA* genes, the galago and tarsier having three and five functional *CHIA* paralogs, respectively (Fig. 4c-d). Tarsiers are the



only primates that are entirely faunivorous (Gursky, 2011), feeding mostly on arthropods and sometimes small vertebrates (Niemitz, 1984; Anon, 2015). While there are no gene expression data for the tarsier digestive system, it is noteworthy that, despite consuming arthropods almost exclusively, tarsier feces do not contain visible insect exoskeletons. Instead, their feces are described as “powder-like” (Gursky S, personal communication), suggesting that the exoskeletons ingested by the tarsier are broken down completely during gut transit. Therefore, these unique *CHIA* duplications are likely to be important digestive adaptations.

The primates with more than one functional *CHIA* gene are not just more insectivorous but are also among the smaller species in our sample (Table 1), in accordance with what is predicted by Kay’s threshold (Fig. 1). Because it is costly for small-bodied primates to fill their relatively smaller guts with indigestible bulk, such as leaves (Gaulin, 1979; Fleagle, 2013), the ability to efficiently digest insect exoskeletons using AMCase might be an especially valuable adaptation for these species. The results of our selection analyses show that *CHIA* genes are under intensified purifying selection in more insectivorous species (Fig. 7a, b) and also partially support the hypothesis that selection on *CHIA* genes is relaxed in larger-bodied primates (Fig. 6c). While the initial *mCHIA* RELAX test did not find support for this hypothesis (Fig. 6e) and had inconsistent results for the other two tests (pseudogenes vs. functional genes [Fig. 6c], above-average vs. below-average insectivory [Fig. 6d]) it is worth noting that, in accordance with our predictions, *mCHIA* appears to be under extreme selection in the three most insectivorous species (*Tupaia chinensis*, *Tarsius syrichta*, and *Otolemur garnettii*). Further, it is likely that the extremely high *k* values and long branch lengths of

these lineages (Fig. 7b) masked other selective patterns across the alignment and biased the RELAX model (Pond, personal communication). This is supported by the results of additional analyses in which *Tu. chinensis*, *T. syrichta*, and *O. garnettii* were left “unclassified” and not included in the reference branches. Here, we found support for the hypotheses that *mCHIA* is under relaxed selection in (1) pseudogene branches and (2) less insectivorous species.

Our results may also shed some light on primate origins. Two commonly cited and competing hypotheses to explain the evolution of primate features, such as the visual system and grasping hands, are the Visual Predation Hypothesis (Cartmill, 1972; 1992; 2012) and the Terminal Branch Feeding Hypothesis (Sussman, 1991). According to the former, primate vision and hands evolved to facilitate the predation on insects, while the latter proposes that primate features evolved to allow for the exploitation of angiosperms at the ends of terminal branches. While these two hypotheses do not have to be mutually exclusive, our results show that early primates likely had three *CHIA* genes, suggesting that insects were an important component of the ancestral primate diet.

### ***Limitations and Future Directions***

We were unable to confirm complete *CHIA* sequences in most of the currently available lemur genomes. In the mouse lemur (*Microcebus murinus*) genome we identified the full *hCHIA* sequence and found partial sequences of one, or possibly two, similar genes. However, we could not identify all exons of these additional genes with confidence, an issue that was also encountered with other lemur genomes. We therefore chose to focus on other taxa, but look forward to revisiting the evolutionary history of chitinase genes in the strepsirrhines as additional high-quality genomes become available.

One limitation of the current study is the available data on primate diets. During our review of the literature, we encountered many inconsistencies in the ways that data on food intake are collected, making it difficult to compare levels of insect consumption across species and even populations. In addition, identifying and observing insect-feeding is notoriously difficult (Frazão, 1991), so it is very likely that insect consumption in many primates, and especially arboreal primates, is underestimated.

Finally, without gene expression data for primate digestive systems, we cannot be certain whether the various *CHIA* genes are actually expressed in the stomachs of all primates. Krykbaev and colleagues report that *mCHIA* is highly expressed in the stomach of *Macaca fascicularis*, but expression data for humans suggests that the role of *hCHIA* may be more complicated. While some studies show that *hCHIA* is expressed in the human stomach (Boot et al., 2005; Krykbaev et al., 2010), there is disagreement over whether the gene actually translates into a *functional* chitinase in the stomach. One study found that chitinolytic activity in the gastric juice was present in 80% of their participants, but absent in the other 20% (Paoletti et al., 2007), while another study failed to detect any evidence of chitinase in the human digestive system (Goto et al., 2003). It is plausible that this may be due to dietary difference across human populations and invites further study in groups with long histories of insect consumption.

## **Conclusion**

Even though several studies from the 1970s suggested that primates have chitinolytic enzymes (Cornelius et al., 1976; Jeuniaux and Cornelius, 1978; Kay and Sheine, 1979), the notion that chitin was indigestible by the endogenous digestive enzymes of primates and other mammals has persisted (Cork and Kenagy, 1989; Oftedal

et al., 1991; Simunek and Bartonova, 2005; Strobel et al., 2013; Ohno et al., 2016). Here we present evidence that suggests insect-eating primates share an adaptation found in insectivorous bats (Vespertilionidae) and mice (*Mus musculus*) (Strobel et al., 2013; Ohno et al., 2016) and use the enzyme acidic mammalian chitinase to digest the chitin in insect exoskeletons. The efficient digestion of insect exoskeletons is likely to have important adaptive benefits for all insect-eating primates, through the potentially significant energy and amino acid returns from the digestion of the polysaccharide chitin (Finke, 2007; Rothman et al., 2014) and, for small-bodied primates, by reducing the amount of indigestible bulk in their guts.

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Table 3.1. Species included in this study with annual average insect consumption and average body weight of adult females in grams.<sup>1</sup>

<b>Species</b>	<b>Common name</b>	<b>Average insect consumption (%)</b>	<b>Average body weight (adult female, in g)</b>
<i>Aotus nancymae</i>	Nancy Ma's night/owl monkey	<15	780
<i>Callicebus moloch</i>	Red-bellied titi monkey	12	956
<i>Callithrix jacchus</i>	Common marmoset	7.2	381
<i>Saguinus fuscicollis</i>	Saddleback tamarin	28.3	358
<i>Cebus capucinus</i>	White-faced capuchin	31.4	2540
<i>Saimiri boliviensis</i>	Black-capped squirrel monkey	no wild data	711
<i>Saimiri sciureus</i>	Common squirrel monkey	53.4	662
<i>Sapajus apella</i>	Tufted capuchin	32.6	2520
<i>Allenopithecus nigroviridis</i>	Allen's swamp monkey	9	3180
<i>Allochrocebus lhoesti</i>	L'Hoest's monkey	8.8	3450
<i>Cercocebus atys</i>	Sooty mangabey	26	6200
<i>Cercopithecus mitis</i>	Blue monkey	17.5	4250
<i>Chlorocebus aethiops</i>	Grivet	15.4	2980
<i>Chlorocebus sabaeus</i>	Green monkey	15.4	3300
<i>Erythrocebus patas</i>	Patas monkey	23.5	6500
<i>Macaca fascicularis</i>	Long-tailed macaque	4.1	3590
<i>Macaca mulatta</i>	Rhesus macaque	0	5370
<i>Macaca nemestrina</i>	Pig-tailed macaque	12.2	6500
<i>Mandrillus leucophaeus</i>	Drill	no wild data	12500

<i>Miopithecus talapoin</i>	Talapoin	35	1120
<i>Papio anubis</i>	Olive baboon	2	13300
<i>Colobus angolensis</i>	Tanzanian black&white colobus	0	6935
<i>Colobus guereza</i>	Guereza	0	8550
<i>Nasalis larvatus</i>	Proboscis monkey	0	9820
<i>Rhinopithecus bieti</i>	Black snub-nosed monkey	0	9960
<i>Rhinopithecus roxellana</i>	Golden snub-nosed monkey	0	11600
<i>Nomascus leucogenys</i>	Northern white-cheeked gibbon	4	7320
<i>Gorilla gorilla gorilla</i>	Western lowland gorilla	7.7	71500
<i>Pan paniscus</i>	Bonobo	2	33200
<i>Pan troglodytes</i>	Common chimpanzee	6.4	41600
<i>Pongo abelii</i>	Sumatran orangutan	11.1	35600
<i>Tarsius syrichta</i>	Philippine tarsier	90	117
<i>Microcebus murinus</i>	Gray mouse lemur	8	63
<i>Otolemur garnettii</i>	Northern greater galago	50	734
<i>Tupaia chinensis</i>	Northern treeshrew	<50	200

<sup>1</sup>Primate body weight data from (Smith and Jungers, 1997); only data from wild primates were used. *Tupaia chinensis* data from PanTHERIA (Jones et al., 2009). Detailed dietary data and references can be found in Supplementary Table 3

Table 3.2. CodeML results<sup>1</sup>

Gene	Model	ln(L)	Parameter estimates	Test	LR	p-value	positively selected sites
<i>mCHIA</i>	M0	-5377.772	$\omega = 0.251, k = 3.835$				
	M1a	-5338.362	$k = 3.907;$ $\omega_0 = 0.114$ (81.12%); $\omega_1 = 1.00$ (18.87%)				
	M2a	-5338.362	$k = 3.907;$ $\omega_0 = 0.114$ (81.12%); $\omega_1 = 1.00$ (13.69%); $\omega_2 = 1.00$ (5.19%)	M1-M2	0		
	M3	-5338.001	$k = 3.861;$ $\omega_0 = 0.1$ (77.85%); $\omega_1 = 0.354$ (0.0002%); $\omega_2 = 0.866$ (22.14%)	M0-M3	79.542	< 0.001	
	M7	-5339.595	$k = 3.830, \alpha = 0.348; \beta = 0.965$				
	M8	-5338.170	$k = 3.872, \alpha = 1.341; \beta = 8.405;$ $p_0 = 0.841; \omega_s = 1.00$ (15.89%)	M7-M8	2.851	0.240	

<i>hCHIA</i>	M0	-6138.723	$\omega = 0.320, k = 4.132$				
	M1a	-6079.211	$k = 4.177;$ $\omega 0 = 0.140$ (77.26%); $\omega 1 = 1.00$ (22.74%)				
	M2a	-6074.863	$k = 4.283;$ $\omega 0 = 0.146$ (77.36%); $\omega 1 = 1.00$ (21.68%); $\omega 2 = 4.327$ (0.96%)	M1-M2	8.696	0.013	
	M3	-6074.675	$k = 4.219;$ $\omega 0 = 0.00$ (31.07%); $\omega 1 = 0.345$ (60.07%) ; $\omega 2 = 1.639$ (8.86%)	M0-M3	128.09	< 0.001	
	M7	-6081.171	$k = 4.160, \alpha = 0.369; \beta = 0.758$				
	M8	-6073.789	$k = 4.235, \alpha = 0.457; \beta = 1.025;$ $p0 = 0.985; \omega = 3.503$ (1.52%)	M7-M8	14.763	0.001	36P(0.953*), 62Q(0.971*), 164R(0.915), 280H(0.941)

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<sup>1</sup>According to our hypothesis-testing framework, no *mCHIA* sites were found to be under positive selection; the vast majority (>75%) appear to be under purifying selection. Regarding *hCHIA*, models assuming positive selection outperformed null models, with 1.0-1.5% of sites found to be under positive selection.

Table 3.3. RELAX results.<sup>2</sup>

Gene	Test Branches	Reference Branches	Model	log L	AIC <sub>c</sub>	LR	p-value
<i>mCHIA</i>	Pseudogenes	Functional genes	Null	-5892.80	11956.49		
			Alternative	-5876.86	11926.63	31.88	<0.001
			Partitioned	-5866.18	11913.36	53.24	<0.001
			Exploratory				
	Below average insect consumption	Above average insect consumption	Null	-4778.17	9691.10		
			Alternative	-4769.42	9675.61	17.50	<0.001
			Partitioned	-4764.26	9673.38	27.82	<0.001
			Exploratory				
	Above Kay's threshold	Below Kay's threshold	Null	-4778.17	9691.10		
			Alternative	-4767.75	9672.29	20.84	<0.001
			Partitioned	-4765.17	9675.22	26.00	<0.001
			Exploratory				

<i>hCHIA</i>	Pseudogenes	Functional genes	Null	-6824.57	13820.01		
			Alternative	-6787.44	13747.78	74.26	<0.001
			Partitioned	-6787.46	13755.90	74.22	<0.001
			Exploratory				
	Below average insect consumption	Above average insect consumption	Null	-4795.67	9685.95		
			Alternative	-4782.37	9661.39	26.60	<0.001
			Partitioned	-4781.84	9668.44	27.66	<0.001
			Exploratory				
	Above Kay's threshold	Below Kay's threshold	Null	-4795.68	9685.98		
			Alternative	-4791.84	9680.33	7.68	0.006
			Partitioned	-4788.79	9682.33	13.78	0.017
			Exploratory				

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<sup>2</sup>Model-fits of null, alternative, and partitioned exploratory models inferred by the program RELAX (Wertheim et al., 2015). For each *CHIA* paralog, selective patterns were compared between species with (1) pseudogenes and functional genes, (2) below and above average insect consumption, and (3) body weights above and below Kay's threshold (500 g).



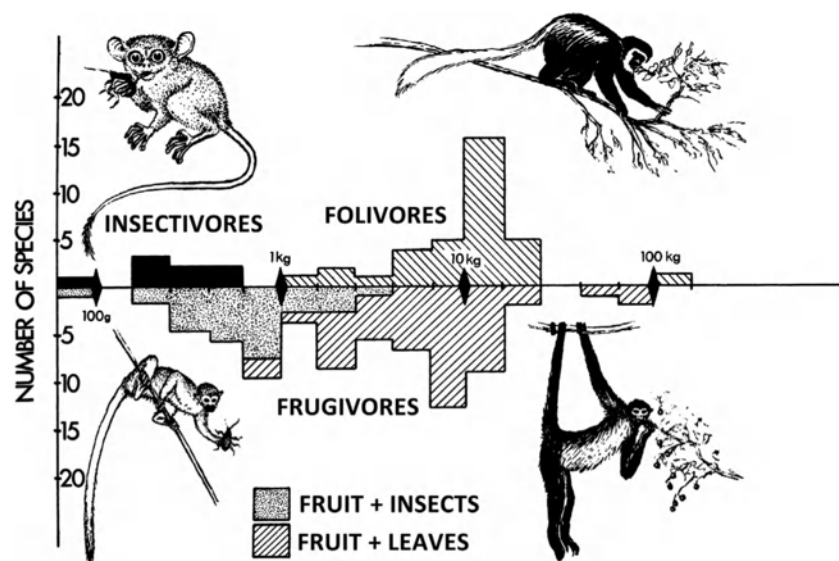


Figure 3.1. Correlation between primate diets and body size. Based on Kay (1984), modified in Fleagle (2013), reproduced with permission.

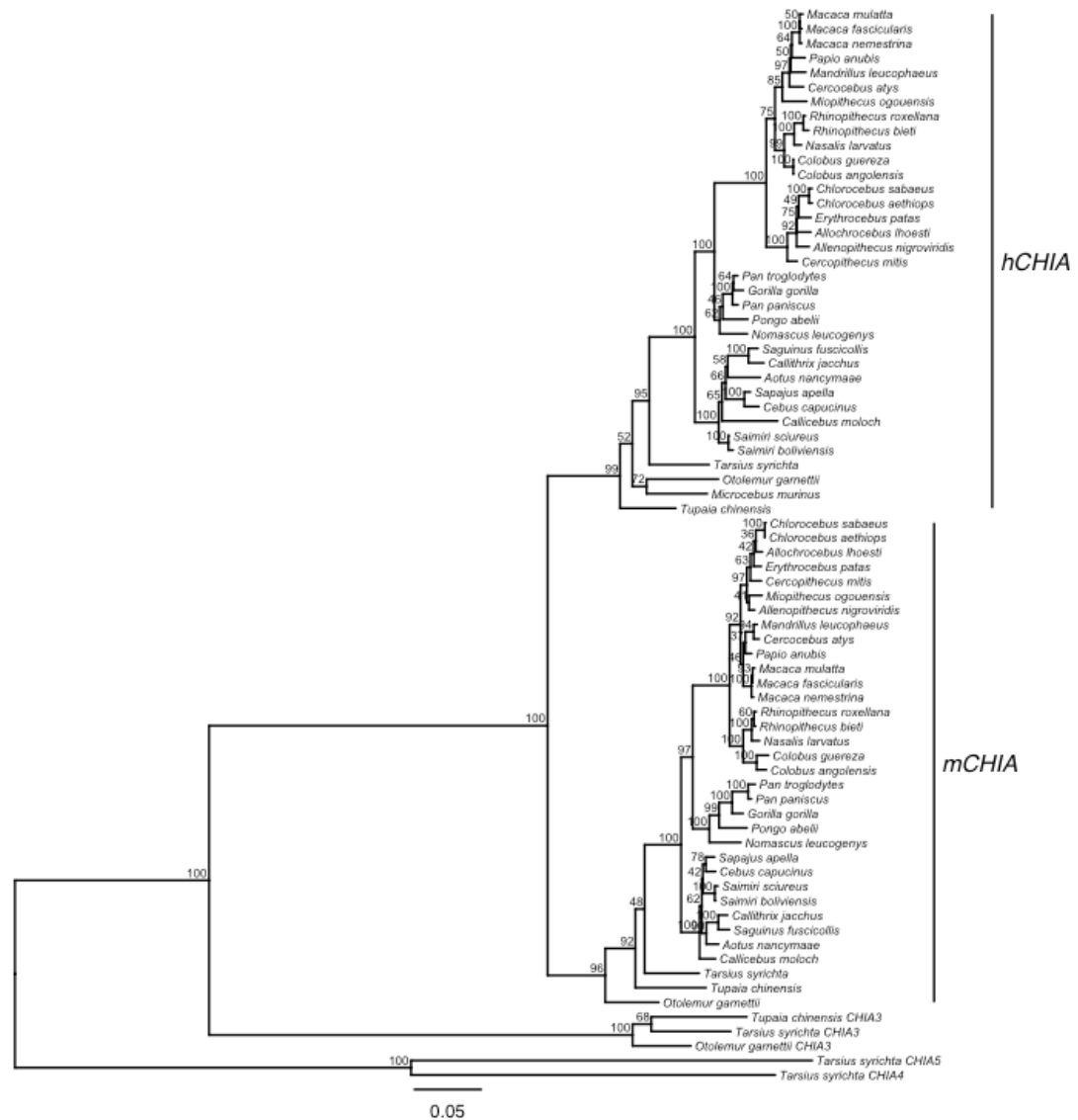


Figure 3.2. Evolutionary relationships of the *CHIA* genes in primates. The tree was inferred with PhyML (Guindon et al., 2010) using the HKY85 nucleotide substitution model. Node labels indicate percent bootstrap support (1000 replicates) and branches are scaled by number of substitutions per site. Tree is rooted at the midpoint. Because this tree is based only on the *CHIA* loci, not all relationships are resolved in a way that is consistent with primate phylogeny. Notably, the placements of *Tupaia chinensis* *mCHIA*

and *CHIA3*, and Old World monkey *hCHIA* do not reflect the likely *organismal* relationships among some taxa.

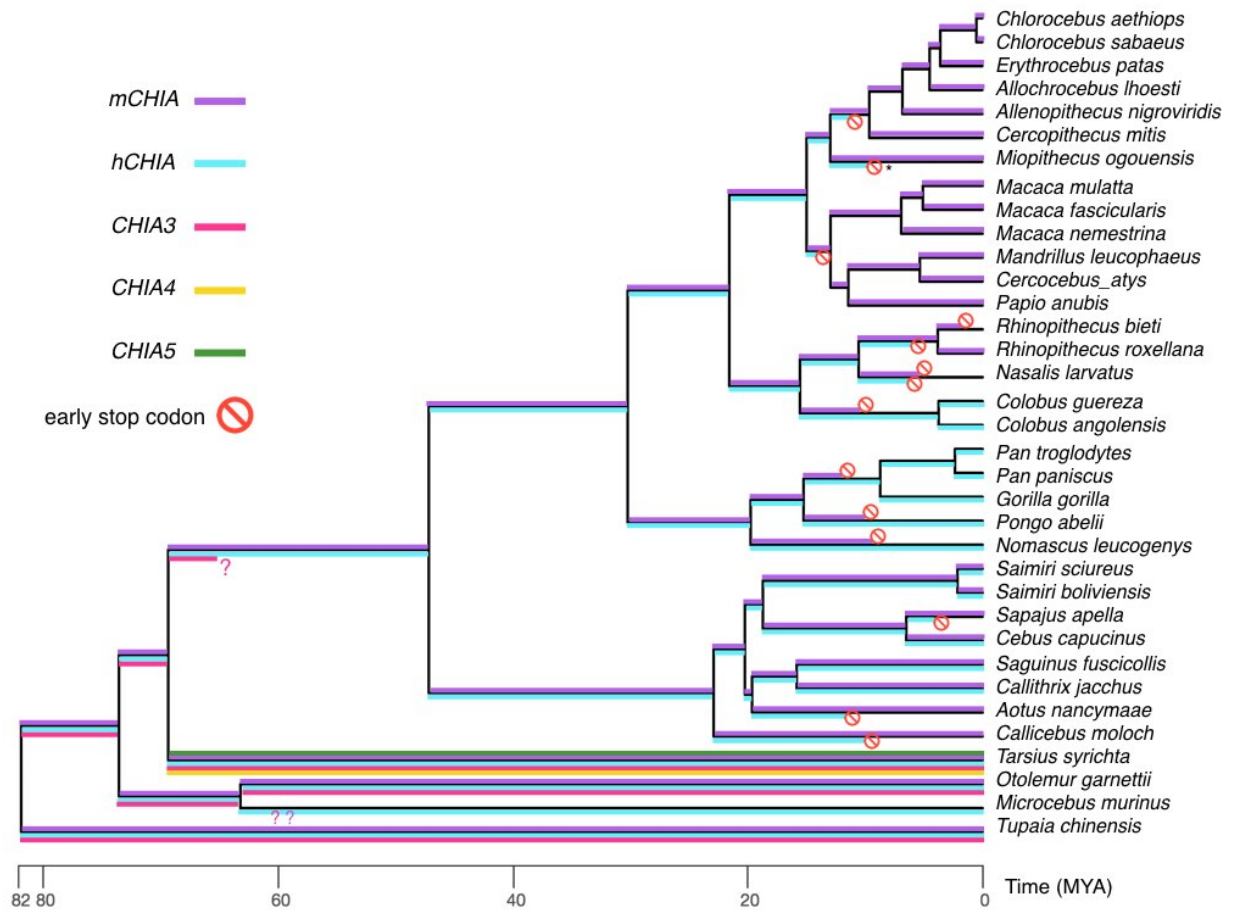


Figure 3.3. Evolutionary relationships as inferred from *CHIA* sequences, including timing of *CHIA* pseudogenization events. \*The pseudogenizing mutation found in the *Miopithecus ogouensis* *hCHIA* sequence is the same as the one found in the Papionini (*Macaca* spp., *Papio* spp, etc.), but is not found in the other Cercopithecini. It is unclear what accounts for this unexpected pattern, but possible explanations include ancestral polymorphism or ancient hybridization.

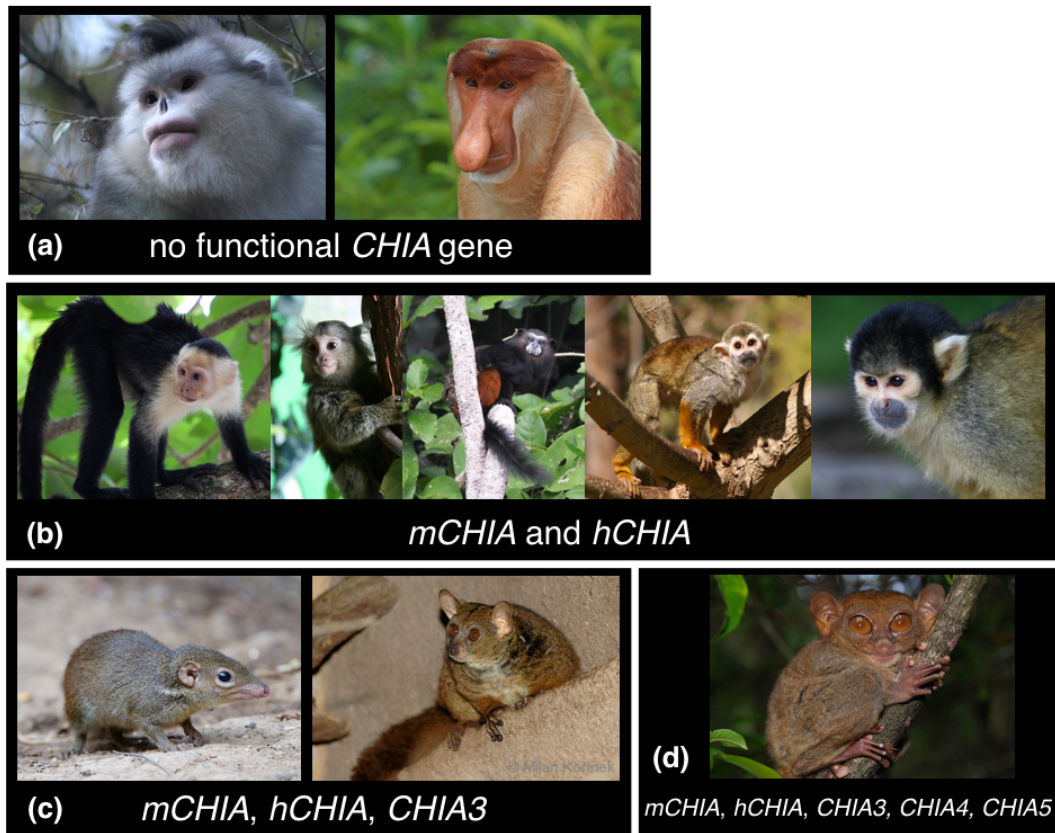


Fig. 3.4. Most primate species have one full-length *CHIA* sequence, with some exceptions. (a) In the colobine monkeys *Rhinopithecus bieti* and *Nasalis larvatus* both *mCHIA* and *hCHIA* sequences have a premature stop codon. (b) In some New World monkeys (in order: *Cebus capucinus*, *Callithrix jacchus*, *Saguinus fuscicollis*, *Saimiri sciureus*, *S. boliviensis*) both *mCHIA* and *hCHIA* are full-length. (c) The tree shrew (*Tupaia chinensis*) and the Northern greater galago (*Otolemur garnettii*), two insectivores, have three full-length *CHIA* sequences, while (d) the tarsier (*Tarsius syrichta*), the most insectivorous of all primates, has a total of five *CHIA* genes. Photos by (in order) Israel Didham (with pers. permission), Charles J. Sharp, Steven G. Johnson, Manfred Werner, Marie de Carne, Dave Pape, Julie Langford, JJ Harrison, Milan

Kořínek (with pers. permission), and Pierre Fidenci; reproduced with permission via Wikimedia Commons unless otherwise noted.

	134	141	440	490
<i>Callithrix jacchus</i> hCHIA	DGLDFDWE	FCA	---GKANGLYPMANNRNFNCLNGVAYQQNCQAGLVFDTS	CDCCNWA
<i>Saguinus fuscicollis</i> hCHIA	DGLDFDWE	FCA	---GKANGLYPMANNRNFNCLNGVAYQQNCQAGLVFDTS	CDCCNWA
<i>Cebus capucinus</i> hCHIA	DGLDFDWE	FCA	---GKANGLYPVANNRNFNCLNGVYQQNCQAGLVFDTS	CDCCNWA
<i>Saimiri boliviensis</i> hCHIA	DGLDFDWE	FCA	---GKANGLYPVANNRNFNCLNGVYQQNCQAGLVFDTS	CDCCNWA
<i>Saimiri sciureus</i> hCHIA	DGLDFDWE	FCA	---GKANGLYPVANNRNFNCLNGVYQQNCQAGLVFDTS	CDCCNWA
<i>Gorilla gorilla</i> hCHIA	DGLDFDWE	FCA	---GRANGLYPVANNRNFNCLNGVYQQNCQAGLVFDTS	CDCCNWA
<i>Pan troglodytes</i> hCHIA	DGLDFDWE	FCA	---GRANGLYPVANNRNFNCLNGVYQQNCQAGLVFDTS	CDCCNWA
<i>Pan paniscus</i> hCHIA	DGLDFDWE	FCA	---GRANGLYPVANNRNFNCLNGVYQQNCQAGLVFDTS	CDCCNWA
<i>Nomascus leucogenys</i> hCHIA	DGLDFDWE	FCA	---GKANGLYPVANNRNFNCLNGVYQQNCQAGLVFDTS	CDCCNWA
<i>Pongo abelii</i> hCHIA	DGLDFDWE	FCA	---GKANGLYPVANNRNFNCLNGVYQQNCQAGLVFDTS	CDCCNWA
<i>Microcebus murinus</i> hCHIA	DGLDFDWE	FCA	---GKANGLYPVANNRNFNCLNGVYQQNCQAGLVFDTS	CDCCNWA
<i>Otolemur garnettii</i> hCHIA	DGLDFDWE	FCA	---GKANGLYPVANNRNFNCLNGVYQQNCQAGLVFDTS	CDCCNWT
<i>Tarsius syrichta</i> hCHIA	DGLDFDWE	FCA	---GKANGLYPVANNRNFNCLNGVYQQNCQAGLVFDTS	CDCCNWA
<i>Tupaia chinensis</i> hCHIA	DGLDFDWE	FCA	---GKANGLYPVANNRNFNCLNGVYQQNCQAGLVFDTS	CDCCNWA
<i>Colobus angolensis</i> hCHIA	DGLDLDE	FCA	---DKANGLYPVANNRNFNCLNGVYQQNCQAGLVFDTS	CDCCNRT
<i>Colobus guereza</i> hCHIA	DGLDLDE	FCA	---DKANGLYPVANNRNFNCLNGVYQQNCQAGLVFDTS	CDCCNRT
<i>Allenopithecus nigroviridis</i> mCHIA	DGLDLDE	FCA	---NKANGLYPDPNDKNAFYNCANGKTFIQHCQAGLVFEAS	SCCCSW
<i>Cercopithecus mitis</i> mCHIA	DGLDFDWE	FCA	---NKADGLYPDPNDKNAFYNCANGKTFIQHCQAGLVFEAS	SCCCSW
<i>Chlorocebus aethiops</i> mCHIA	DGLDFDWE	FCA	---NKADGLYPDPNDKNAFYNCANGKTFIQHCQAGLVFEAS	SCCCSW
<i>Chlorocebus sabaues</i> mCHIA	DGLDFDWE	FCA	---NKADGLYPDPNDKNAFYNCANGKTFIQHCQAGLVFEAS	SCCCSW
<i>Erythrocebus patas</i> mCHIA	DGLDFDWE	FCA	---NKADGLYPDPNDKNAFYNCANGKTFIQHCQAGLVFEAS	SCCCSW
<i>Allochocebus lhosti</i> mCHIA	DGLDFDWE	FCA	---NKADGLYPDPNDKNAFYNCANGKTFIQHCQAGLVFEAS	SCCCSW
<i>Miopithecus ogouensis</i> mCHIA	DGLDFDWE	FCA	---NKANGLYPDPNDKNAFYNCANGKTFIQHCQAGLVFEAS	SCCCSW
<i>Macaca fascicularis</i> mCHIA	DGLDFDWE	FCA	---NKANGLYPDPNDKNAFYNCANGKTFIQHCQAGLVFEAS	SCCCSW
<i>Macaca mulatta</i> mCHIA	DGLDFDWE	FCA	---NKANGLYPDPNDKNAFYNCANGKTFIQHCQAGLVFEAS	SCCCSW
<i>Macaca nemestrina</i> mCHIA	DGLDFDWE	FCA	---NKANGLYPDPNDKNAFYNCANGKTFIQHCQAGLVFEAS	SCCCSW
<i>Papio anubis</i> mCHIA	DGLDFDWE	FCA	---NKANGLYPDPNDKNAFYNCANGKTFIQHCQAGLVFEAS	SCCCSW
<i>Cercocebus atys</i> mCHIA	DGLDYDWE	FCA	---NKANGLYPDPNDKNAFYNCANGKTFIQHCQAGLVFEAS	SCCCSW
<i>Mandrillus leucophaeus</i> mCHIA	DGLDYDWE	FCA	---NKANGLYPDPNDKNAFYNCANGKTFIQHCQAGLVFEAS	SCCCSW
<i>Rhinopithecus roxellana</i> mCHIA	DGLDFDWE	FCA	---NKARGLYPDPNDKNAFYNCANGKTFIQHCQAGLVFEAS	SCCCSW
<i>Aotus nancymae</i> mCHIA	DGLDFDWE	FCA	---NRASGLYPDPNDKNAFYNCANGKTFIQHCQAGLVFEAS	SCCCNW
<i>Cebus capucinus</i> mCHIA	DGLDFDWE	FCA	---NRASGLYPDPNDKNAFYNCANGKTFIQHCQAGLVFEAS	SCCCNW
<i>Saimiri boliviensis</i> mCHIA	DGLDFDWE	FCA	---NRASGLYPDPNDKNAFYNCANGKTFIQHCQAGLVFEAS	SCCCNW
<i>Saimiri sciureus</i> mCHIA	DGLDFDWE	FCA	---NRASGLYPDPNDKNAFYNCANGKTFIQHCQAGLVFEAS	SCCCNW
<i>Sapajus apella</i> mCHIA	DGLDFDWE	FCA	---NRASGLYPDPNDKNAFYNCANGKTFIQHCQAGLVFEAS	SCCCNW
<i>Calliobus moloch</i> mCHIA	DGLDFDWE	FCA	---NRASGLYPDPNDKNAFYNCANGKTFIQHCQAGLVFEAS	SCCCNW
<i>Saguinus fuscicollis</i> mCHIA	DGLDFDWE	FCA	---NRASGLYPDPNDKNAFYNCANGKTFIQHCQAGLVFEAS	SCCCSW
<i>Callithrix jacchus</i> mCHIA	DGLDFDWE	FCA	---NRASGLYPDPNDKNAFYNCANGKTFIQHCQAGLVFEAS	SCCCSW
<i>Otolemur garnettii</i> mCHIA	DGLDFDWE	FCA	---NRASGLYPDPNDKNAFYNCANGKTFIQHCQAGLVFEAS	SCCCNW
<i>Tarsius syrichta</i> mCHIA	DGLDFDWE	FCA	---NRASGLYPDPNDKNAFYNCANGKTFIQHCQAGLVFEAS	SCCCNW
<i>Tupaia chinensis</i> mCHIA	DGLDFDWE	FCA	---NRASGLYPDPNDKNAFYNCANGKTFIQHCQAGLVFEAS	SCCCNW
<i>Otolemur garnettii</i> CHIA3	DGLDIDE	FCA	---GKSNGLYPSPTSKRAFYNCVNGHTYEEACQAGLVFDTS	SCCCNWA
<i>Tupaia chinensis</i> CHIA3	DGLDIDE	FCA	---GKSNGLYPSPTSKRAFYNCVNGHTYEEACQAGLVFDTS	SCCCNWA
<i>Tarsius syrichta</i> CHIA3	DGLDIDE	FCA	---GKSNGLYPSPTSKRAFYNCVNGHTYEEACQAGLVFDTS	SCCCNWA
<i>Tarsius syrichta</i> CHIA4	DGLDIDE	FCE	---GKADGIYGDPPDPSPRFFECANGNTVAKRCAEGLVFDPS	CKCCNWP
<i>Tarsius syrichta</i> CHIA5	DGLDIDE	FCA	---GKADGIYGDPPDPSPRFFECANGNTVAKRCAEGLVFDPS	CKCCNWP
	DXDXDXE	*	*	* **

Figure 3.5. Partial amino acid sequence alignment of functional *CHIA* genes. The conserved motif for the chitinase catalytic site (DXDXDXE) from residue 134-141 and the chitin-binding domain from residue 440-490 are shown. The cysteines in the chitin-binding domain are highlighted.

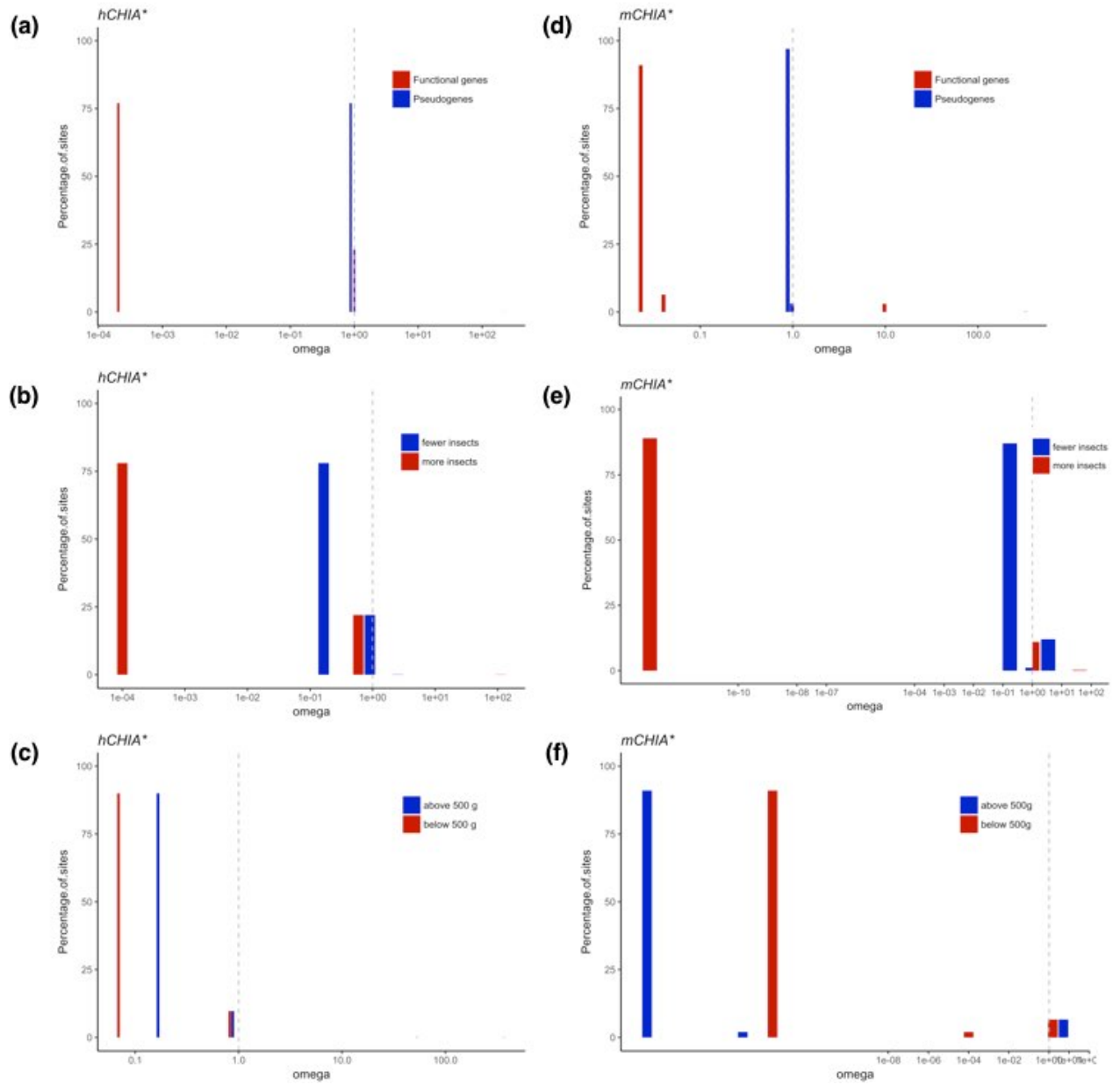
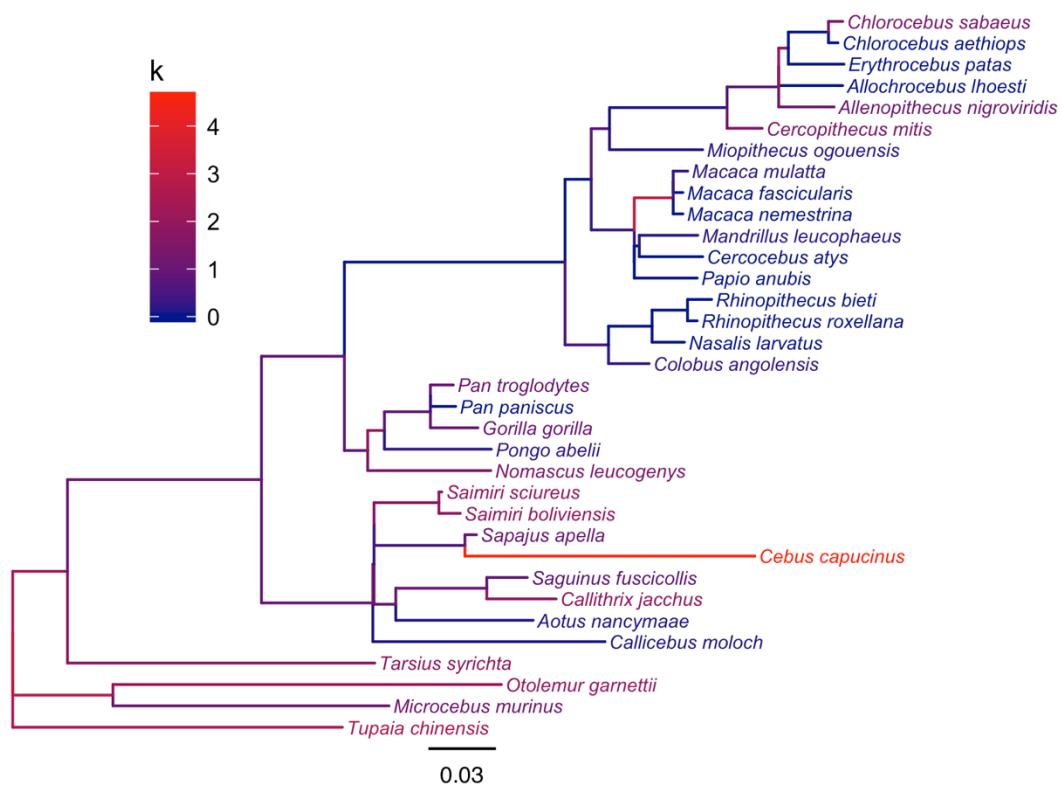


Figure 3.6. Patterns of natural selection across *mCHIA* and *hCHIA*. The best fitting model (as determined by  $AIC_c$ ) for each RELAX analysis is shown. Three  $\omega$  parameters and the percentage of sites they represent are plotted for test (blue) and reference (red) branches. The vertical gray and dashed line at  $\omega = 1$  indicates neutral evolution. Asterisks indicate significant differences ( $p < 0.05$ ) between test and reference branches.



(a)



(b)

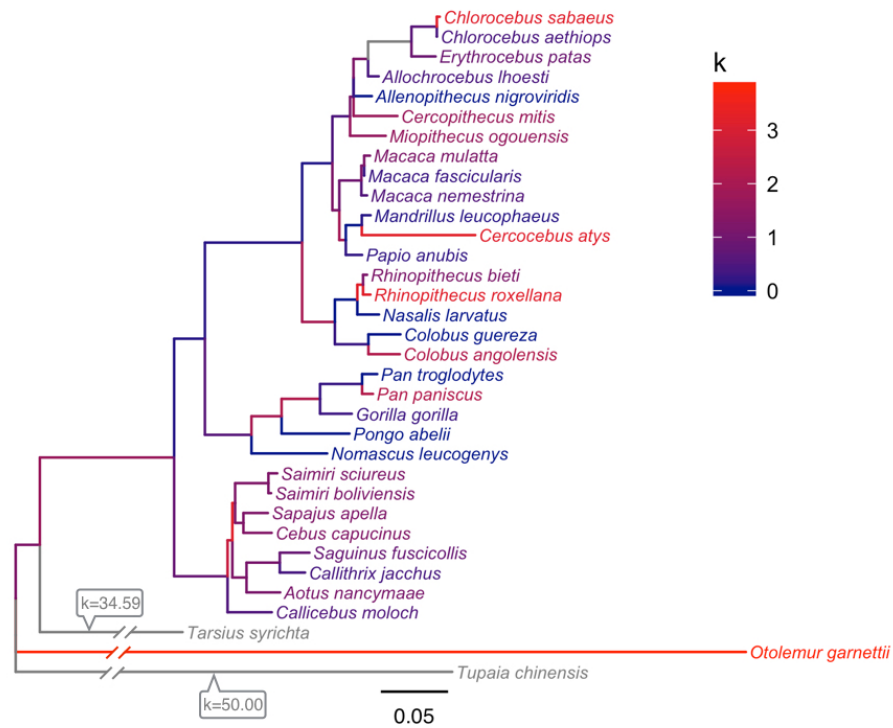


Figure 3.7. Branch specific relaxation parameters inferred for (a) *hCHIA* and (b) *mCHIA* genes under the General Descriptive model in RELAX (Wertheim et al., 2015). Branches are colored based on the selection intensity parameter  $k$ . A higher  $k$  value (red) indicates intensified selection, while a lower  $k$  value (blue) indicates relaxed selection. Scale bars indicate number of substitutions per site. Very long branches were truncated (indicated by breaks) to avoid obscuring the variation present in the remaining branches. Branches with extremely high  $k$  values are highlighted in gray.

## **Chapter 4. Investigating copy number variation in the acidic mammalian chitinase gene family (*CH1A*) in New World and Old World monkeys**

### **Abstract**

Copy number variation may be the most common form of structural genetic variation in the genome and numerous studies have shown that gene copy number variation correlates with phenotypic variation, where higher copy numbers correspond to increased expression of the protein and vice versa. Examples include some digestive enzyme genes, where variation in copy numbers and protein expression may be related to dietary differences. Increasing the expression of a digestive enzyme through higher gene copy numbers may thus be a useful mechanism for altering an organism's digestive capabilities. This study investigates copy number variation in genes coding for acidic mammalian chitinase, a chitinolytic digestive enzyme that may be used for the digestion of insect exoskeletons, in non-human primates with varying levels of insect consumption. Copy number variation was assessed with the QuantStudio 3D digital PCR platform, in a comparative sample of Old World and New World primate species. Contrary to predictions, no evidence of copy number variation was found and all species tested had two gene copies per diploid genome. These findings suggest that if acidic mammalian chitinase expression varies according to insect consumption in primates, it may be up- or downregulated through another mechanism.

## Introduction

Copy number variation (CNV) is a form of structural variation in the genome, in which a genomic region is present in higher or lower numbers compared to a reference genome or another species' genome (Stankiewicz and Lupski, 2010; Clop et al., 2012). Research into CNVs has emerged over the course of the past 10 years, as cheaper next-generation sequencing methods and other techniques have allowed for the identification of these structural variants on a broader scale (Clop et al., 2012). While it is still unclear how common CNVs are, some research suggests that it might be the most common form of structural genetic variation, being found in up to 13% of the human genome (Stankiewicz and Lupski, 2010). Some work shows an accelerated rate of CNV in the great apes (Cheng et al., 2005; Marques-Bonet et al., 2009), possibly affecting 16% of hominid genome (Sudmant et al., 2013). Little is known about CNV in the genomes of primates outside of the great apes, and the phenotypic or functional effects of many CNVs are not yet understood (Zarrei et al., 2015).

Numerous studies have provided evidence that gene copy number variation correlates with phenotypic variation (i.e. higher copy numbers correspond to increased expression of the protein and vice versa) (Hollox et al., 2003; Linzmeier and Ganz, 2006; Perry et al., 2006; 2007) and some CNVs have been associated with diseases and developmental disorders in humans (Pinto et al., 2010; Jacquemont et al., 2011; Malhotra and Sebat, 2012; Cantsilieris and White, 2013). The  $\beta$ -defensin gene family is involved in immune function, host defense, and pigmentation, and is subject to CNV across mammals (Hardwick et al., 2011; Bickhart et al., 2012; Leonard et al., 2012; Wang et al., 2013). Interestingly, research on the  $\beta$ -defensin-2 gene in humans and macaques (*Macaca*

*mulatta*) suggests that this CNV evolved convergently, rather than from a common ancestor (Ottolini et al., 2014). What the precise adaptive benefits of CNV at this locus are remains unknown, but the authors speculate that it may confer benefits for group-living animals or help during the colonization of novel environments (Ottolini et al., 2014). Work on the genomes of domestic animals has described some of the phenotypic effects of CNV. For example, different CNVs cause various coat color phenotypes in sheep, goats, and pigs (Giuffra et al., 1999; Fontanesi et al., 2009; 2011) and are related to the timing of feather growth (Elferink et al., 2008) and comb size (Wright et al., 2009) in chickens.

Copy number variation has also been implicated in digestive enzyme expression, as discussed below. Previous research indicates that there is variation in the types and amounts of digestive enzymes that are secreted among primates (and other mammals), and that the driving selective pressure on this variation is diet (Zhang et al., 2002; Axelsson et al., 2013; Behringer et al., 2013). Phenotypic variation relating to digestive enzymes can strongly impact an organism's digestive abilities (Ingram et al., 2009; Mandel and Breslin, 2012), which in humans is illustrated most famously through the example of lactase persistence (Tishkoff et al., 2007). While in some human populations a large percentage of individuals (up to 95%) continue to produce the digestive enzyme lactase throughout adulthood, in many other populations lactase production ceases after childhood, causing the inability to digest lactose, the main carbohydrate in milk (Itan et al., 2009). The ability to digest lactose in adulthood has evolved independently several times (Enattah et al., 2007; Tishkoff et al., 2007; Enattah et al., 2008), and a shared trait of the populations that exhibit lactase persistence is that they have traditionally been

pastoralists with a long history of dairying, suggesting that this is a dietary adaptation to facilitate the consumption of fresh milk (Tishkoff et al., 2007; Itan et al., 2009; 2010; Schlebusch et al., 2013).

While variation in the lactase persistence phenotype is due to single nucleotide polymorphisms (SNPs) within the promoter region (Tishkoff et al., 2007; Ranciaro et al., 2014), CNV has been found in other digestive enzyme genes. The enzyme pepsin A hydrolyzes the peptide bonds of proteins, creating smaller chains of amino acids that can then be further digested by the enzymes trypsin, chymotrypsin, and elastase (Stevens and Hume, 1995). Pepsin A has been shown to be highly polymorphic at both the protein level and the genetic level in various primates. While humans have a cluster of three genes that are known to be present in variable copy numbers (Taggart et al., 1985), the other great apes may exhibit even greater variation than humans. Narita and colleagues (2000) purified numerous forms of the pepsin A precursor, pepsinogen A, from the gastric mucosa of a gibbon (*Hylobates lar*), an orangutan (*Pongo pygmaeus*), a gorilla (*Gorilla gorilla*), and a chimpanzee (*Pan troglodytes*). The number of pepsinogen A types found ranged from seven in the gorilla, to eight in the gibbon, thirteen in the chimpanzee, and fourteen in the orangutan (Narita et al., 2000). The genetic basis of this variation has not been resolved yet, but one study suggests that in orangutans pepsin A is encoded by two different genes, that may have three and five copies, respectively (Narita et al., 2010).

Human populations with high-starch diets produce higher levels of salivary amylase, an enzyme that digests starch, than populations that do not commonly include starch in their diets (Perry et al., 2007). This increased secretion correlates with a larger

number of copies of the salivary amylase gene, *AMY1* (Perry et al., 2007; Mandel et al., 2010). Notably, a relationship between amylase secretion and gene copy number has also been identified in dogs. The secretion of pancreatic amylase is variable and positively correlates with high copy numbers of the *AMY2* gene in dogs, but not in wolves, which only have the standard two copies (Axelsson et al., 2013). It is possible that this change allowed dogs to digest potentially starch-rich food scraps provided by humans (Axelsson et al., 2013). Further evidence that increased salivary amylase may be an adaptation for a high-starch diet comes from a more recent study linking *AMY1* gene copy numbers to a change in the sensory perception of starch – individuals with higher copy numbers and higher salivary amylase levels perceived oral starch viscosity to decrease more rapidly during mastication than individuals with low copy numbers (Mandel et al., 2010).

This work suggests that increasing the expression of a digestive enzyme through higher copy numbers may be a useful mechanism for altering an organism's digestive capabilities, and provides an incentive for exploring CNV in other digestive enzyme genes, such as the acidic mammalian chitinase genes (*CHIA*). The *CHIA* genes, *hCHIA* and *mCHIA*, encode the chitinolytic enzyme acidic mammalian chitinase (AMCase) in primates (Janiak et al., in review, Chapter 3). The enzyme AMCase is produced in the stomach (Krykbaev et al., 2010; Strobel et al., 2013; Ohno et al., 2016) and previous work on mice and insectivorous bats has shown that it is used for the digestion of chitinous insect exoskeletons (Strobel et al., 2013; Ohno et al., 2016). In primates, most species retain at least one functional *CHIA* gene, while some of the more insectivorous species have between two and five functional *CHIA* paralogs. The only species in which all *CHIA* paralogs have been pseudogenized are some of the folivorous colobine monkeys

(*Rhinopithecus bieti*, *Nasalis larvatus*), which are not known to feed on insects (Janiak et al., in review, Chapter 3). However, there is also significant variation in insect consumption across species that share the same *CHIA* genotype, such as the cercopithecines, in which only *mCHIA* remains functional. Likewise, platyrrhines, in some of which both *mCHIA* and *hCHIA* remain functional, vary in their average insect intake (Table 1). For example, insects reportedly make up only 7.20% of the diet of the common marmoset (*Callithrix jacchus*), while it is 53.38% for the common squirrel monkey (*Saimiri sciureus*) (Table 1). Another platyrrhine, the tufted capuchin monkey (*Sapajus apella*) only retains one functional *CHIA* gene, despite an average insect consumption of over 30% (Table 1). It is, therefore, possible that in primate species with higher insect consumption, the production of AMCase is increased via higher copy numbers of the *mCHIA* or *hCHIA* genes, similar to what has been found for salivary amylase and the *AMY1* gene (Perry et al., 2007).

### ***Hypotheses and Predictions***

This study investigates the potential of CNV at the *CHIA* locus in non-human primates, hypothesizing that *CHIA* copy number correlates with level of insectivory. I predict that primates with relatively more insectivorous diets (*Erythrocebus patas*, *Miopithecus talapoin*, *Macaca nigra*, *Saguinus fuscicollis*, *Saimiri sciureus*, and *Sapajus apella*) have higher copy numbers of the *mCHIA* gene and the *hCHIA* gene (only in species with a functional *hCHIA* sequence) than primates that are relatively less insectivorous (*Callicebus moloch*, *Callithrix jacchus*, *Macaca mulatta*, *Macaca nemestrina*).



## Methods

### *Samples and sample preparation*

High-quality DNA samples from seven non-human primate species were obtained from Coriell Biorepositories: *Callithrix jacchus*, *Callicebus moloch*, *Saguinus fuscicollis*, *Saimiri sciureus*, *Macaca mulatta*, *Macaca nemestrina*, and *Macaca nigra*. Dr. George Perry (Penn State University) provided extracted DNA from *Sapajus apella* and Dr. Todd Disotell (New York University) provided extracted DNA from *Erythrocebus patas* and *Miopithecus talapoin*. All DNA samples were quantified using the Qubit dsDNA BR assay kit (Invitrogen) and a Qubit 2.0 fluorometer (Invitrogen). Samples were diluted with water to a final concentration of 10 ng/μl.

### *Assay design*

In order to design primers for use across multiple species, I identified a conserved region around exons 9 and 10 of the *mCHIA* and *hCHIA* genes. To design specific primers, I aligned the *mCHIA* exon 9 and 10 sequences, including the intronic region, of 13 catarrhine primates (*Chlorocebus aethiops*, *C. sabaues*, *Allenopithecus nigroviridis*, *Cercocebus atys*, *Colobus guereza*, *Allochrocebus lhoesti*, *Macaca fascicularis*, *M. nemestrina*, *M. mulatta*, *Miopithecus ogouensis*, *Cercopithecus mitis*, *Papio anubis*, *Rhinopithecus roxellana*) and, separately, of four platyrrhine primates (*Aotus nancymae*, *Callithrix jacchus*, *Cebus capucinus*, *Saimiri boliviensis*). The corresponding region of the *hCHIA* gene was likewise aligned for *Callithrix jacchus*, *Saimiri sciureus*, and *Saguinus fuscicollis*. All sequences were either available via reference genomes on GenBank or had been generated de novo for another study (Janiak, Chaney & Tosi, in review). Any bases that were not identical across all sequences in the alignment were

masked in the consensus sequence by replacing the corresponding nucleotide with 'N.'

The masked consensus sequences were entered in the Custom TaqMan Assay Design Tool (ThermoFisher Scientific) and submitted to the TaqMan design pipeline. The design algorithms are proprietary and assay sequences are not shared by ThermoFisher until the assay is purchased. I, therefore, had to rely on the ThermoFisher technical assistance staff to conduct primer BLASTs of the assays proposed by the Custom TaqMan Assay Design Tool against primate reference genomes to ensure that the assays were specific to the region of interest and would not amplify other, unrelated, loci. Primer sequences and assay IDs are listed in Table 2. Primer and probe locations along the gene sequence are shown in Figure 1.

The RNase P TaqMan copy number reference assay (ThermoFisher) was used as the reference assay in all experiments. This assay detects the ribonuclease P (RNase P) RNA component H1 gene, *RPPH1*; a gene with known copy number of two copies per diploid genome. Including a reference assay for a gene with known copy number is necessary to determine the number of copies of the gene of interest. The measures for the gene of interest are normalized to those of obtained for the reference gene to determine the number of gene copies present per diploid genome.

### ***3D Digital PCR***

Copy number variation was assessed using the QuantStudio 3D Digital PCR system, with QuantStudio 3D Digital PCR 20K chips and QuantStudio 3D Digital PCR Master Mix. Reactions were prepared in a total volume of 34.8  $\mu$ l containing 17.4  $\mu$ l Master Mix, 1.74  $\mu$ l custom assay, 1.74  $\mu$ l RNase P assay, 1.92  $\mu$ l water, and 12  $\mu$ l template (10 ng/ $\mu$ l). This super mix was incubated at room temperature for 15 minutes

prior to being loaded onto the chips. All reactions were run in duplicate with 14.5 µl of the super mix loaded onto each chip. Chips were loaded and sealed according to the manufacturer's protocol and run under the following conditions: 96°C for 10 minutes, followed by 39 cycles of 60°C for 2 minutes, 98°C for 30 seconds, and 60°C for 2 minutes. Chips remained at 10°C until reading. The chips were read on the QuantStudio3D Digital PCR Instrument and analyzed with QuantStudio 3D Analysis Suite Cloud Software. The number of gene copies per diploid genome (CN) was calculated as follows:

$$CN = 2 \left( \frac{\text{Copies per } \mu\text{l } CHIA}{\text{Copies per } \mu\text{l } RNASE P} \right)$$

The measure of copies/microliter for the custom assay, *mCHIA* or *hCHIA* in this case, was divided by the copies/microliter measured by the reference assay, RNase P, and multiplied by 2 to arrive at the total number of copies per diploid genome.

## Results

The *mCHIA* and *hCHIA* TaqMan assays successfully amplified the loci of interest in all species included in the study. Absolute numbers amplified by the custom and reference assays ranged from 224.21-1961.60 copies/microliter (Table 3). Measurements for the numbers of copies per microliter and per reaction were very variable between species, but remained stable within species across the different assays (Fig. 2, Fig. 3). There was no difference in the ratio of copies/microliter detected by the custom assay versus copies/microliters detected by the RNase P reference assay for any of the samples (Fig. 2, Fig. 3). For all species in the study, the total number of copies calculated for *mCHIA* and *hCHIA* was closest to two copies per diploid genome (Fig. 4).

## Discussion

This study investigated copy number variation in the *CHIA* gene family in a comparative sample of non-human primate species with varying levels of insect consumption. I predicted that primates with relatively more insectivorous diets would have higher copy numbers of the *CHIA* genes, *mCHIA* and *hCHIA*. Contrary to this prediction, the results do not show evidence of CNV in the primate *mCHIA* and *hCHIA* genes and suggest that all primates, regardless of insect consumption, have only two gene copies per diploid genome (Fig. 4).

Given the variation in insect consumption observed across primate species (Raubenheimer and Rothman, 2013), it would be expected to see this variation reflected in the expression of primate digestive enzymes. The evolution of the AMCase gene family, *CHIA*, does broadly correlate with patterns of insectivory across primates (Janiak et al., in review, Chapter 3). While some folivorous primates with no active insect consumption do not retain any functional *CHIA* paralogs and most primates only retain one, some of the more insectivorous primates, such as some platyrrhines, the bushbaby (*Otolemur garnettii*), and the tarsier (*Tarsius syrichta*) have two, three, or five functional paralogs, respectively. However, across the large number of primates that share the genotype of one functional *CHIA* paralog, such as the Old World monkeys, there is still notable variation in insect consumption (Janiak et al., in review, Table 1). Even though previous work on other enzymes suggests that higher copy numbers may be a useful mechanism for increasing the expression of a digestive enzyme (Perry et al., 2007;

Mandel et al., 2010; Mandel and Breslin, 2012; Axelsson et al., 2013), my results show that this is not the case for AMCase.

One possible explanation for this negative result is that an increase in AMCase expression may not be necessary for some of the species in my sample. According to the Jarman-Bell principle, an animal's nutritional requirements negatively correlate with body size (Bell, 1971; Jarman, 1974). Larger-bodied animals, while needing an absolutely larger amount of energy, have a relatively lower nutrient and energy requirement compared to smaller-bodied animals, meaning that they can afford to subsist on poorer quality, but abundant, foods. Smaller-bodied animals, on the other hand, require less energy in absolute terms, but need to focus on high-quality foods to meet their relatively higher nutrient demands (Bell, 1971; Jarman, 1974). Hence, the ability to collect and consume a large amount of food is the main challenge for large-bodied animals, whereas for smaller-bodied animals it is the efficient and quick extraction of nutrients from their foods (Gaulin, 1979).

Insects are a high-quality food (Raubenheimer et al., 2014; Rothman et al., 2014), but with the exception of social insects, they are not abundantly distributed across the environment, and their chitinous exoskeletons may pose a digestive challenge (Strait and Vincent, 1998; Rothman et al., 2014). Hence, smaller primates are expected to rely heavily on insects, as long as they can effectively cope with their exoskeletons, while insectivory in larger primates should focus on social insects, like ants and termites (Gaulin, 1979; Kay, 1984; Isbell, 1998; Fleagle, 2013). The finding that all cercopithecines, regardless of insect consumption, have only one functional *CHIA* paralog, *mCHIA*, and no CNV may, therefore, be consistent with primatological theory

(Gaulin, 1979). Patas monkeys (*Erythrocebus patas*) and crested black macaques (*Macaca nigra*) are among the Old World monkeys with the highest average insect consumption, but adult female body weight averages 6500 g and 5470 g, respectively (Smith and Jungers, 1997). This is well above the 500 g threshold associated with insectivorous primates (Gingerich, 1980; Kay, 1984; Fleagle, 2013) and maximally efficient digestion of their insect prey is likely to be less important for these cercopithecines than for a smaller primate (Gaulin, 1979). Even the talapoin (*Miopithecus talapoin*), which is the smallest Old World monkey (Fleagle, 2013) at 1120 g (Smith and Jungers, 1997), is above this threshold and larger than many New World monkeys. The amount of AMCase expressed from a single *CHIA* paralog may thus be sufficient, even for more insectivorous Old World monkeys.

New World monkeys tend to be both more insectivorous and smaller-bodied than Old World monkeys (Gaulin, 1979; Fleagle, 2013). In line with what is predicted by the Jarman-Bell principle and Kay's threshold (Bell, 1971; Jarman, 1974; Gingerich, 1980; Kay, 1984), previous work showed that some species retain two functional *CHIA* paralogs, theoretically doubling their ability to digest insect exoskeletons with AMCase (Janiak et al., in review, Chapter 3). Generally, those platyrrhine species that do not retain the second *CHIA* paralog also tend to be less insectivorous (Janiak et al., in review, Chapter 3). One exception to this is the tufted capuchin monkey (*Sapajus apella*), which retains only one functional *CHIA* gene, *mCHIA*, despite a fairly insectivorous diet (Table 1). Results of the CNV analyses show that this is not made up for by additional copies of the *mCHIA* gene (Fig. 2, Fig. 4). Possibly, this is due to the tufted capuchins comparatively large body size. Adult females weigh on average 2520 g, much more than

similarly insectivorous platyrrhine species, such as the common squirrel monkey (*Saimiri sciureus*, 662 g) or the saddle-back tamarin (*Saguinus fuscicollis*, 358 g) (Smith and Jungers, 1997), which retain two functional *CHIA* paralogs (Janiak et al., in review, Chapter 3). It should be noted, however, that the closely-related white-faced capuchin (*Cebus capucinus*) retains two functional *CHIA* paralogs (Janiak et al., in review, Chapter 3), despite having similar levels of insect consumption (Chapman and Fedigan, 1990; Rose, 1994; McCabe and Fedigan, 2007; Mallott, 2016) and being almost identical in size to *Sapajus apella* (Smith and Jungers, 1997). What causes this difference in genotype is currently unclear.

Measurements of copies per microliter varied widely across the different samples included in the study, despite efforts to standardize the amount of DNA used in each reaction (Table 3, Fig. 2, Fig. 3). This is likely due to errors during the quantification or dilution process. Stock DNA samples were mixed carefully, by slowly pipetting up and down, rather than vortexing, to avoid shearing the DNA. However, insufficient mixing of DNA samples prior to pipetting may have led to erroneous Qubit quantification readings, affecting downstream dilutions, or inconsistent amounts of DNA may have been added to the reaction dilutions. That said, the variation in DNA quantity observed across samples does not call into question the overall results, since measures of copies/ $\mu$ l were consistent across duplicate reactions using the same sample (supplementary Table 4).

While the results of this study suggest that the *CHIA* genes in primates are not subject to CNV, it is plausible that AMCase enzyme expression is up- or downregulated through another mechanism. Future studies should investigate differences in *CHIA* promoter regions, mRNA expression in primate stomach tissues, and functional effects of

polymorphisms in *CHIA* paralogs. Previous work showed that there are small inter-species differences in the *mCHIA* and *hCHIA* sequences, from single nucleotide polymorphisms to multi-basepair insertions and deletions. What effects, if any, these changes have on the resulting protein and its functionality is unclear at this point, but remains an avenue for future research.

## **Conclusion**

I investigated copy number variation in the *CHIA* paralogs, *mCHIA* and *hCHIA*, in Old World and New World primate species with varying levels of insect consumption. Contrary to the hypothesis, there was no evidence that the *CHIA* genes had higher copy numbers in more insectivorous primate species. All primate species included in this study had two gene copies per diploid genome, suggesting that there is no CNV in primate *CHIA* genes.



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Table 4.1. Average annual insect consumption for species included in this study.

Species	Percent insects	Site	Reference	Average insect consumption
<i>Callicebus moloch (brunneus)</i>	17	Manu, Peru	(Wright, 1985)	12
	11	Tambopata, Peru	(Crandlemire-Sacco, 1988)	
	8	Los Amigos, Peru	(Lawrence, 2007)	
<i>Callithrix jacchus</i>	5.4	Joao Pessoa, Brazil	(Alonso and Langguth, 1989)	7.2
	9	Nisia Floresta, Brazil	(Digby et al., 2011)	
<i>Erythrocebus patas</i>	35	Segera, Kenya	(Isbell, 1998)	23.5
	12	Kala Maloue, Cameroon	(Nakagawa, 1989)	
<i>Macaca mulatta</i>	0	Murree Hills, Pakistan	(Goldstein and Richard, 1989)	0
<i>Macaca nemestrina</i>	12.2	Pasoh, Malaysia	(Caldecott, 1986)	12.2
<i>Macaca nigra</i>	32.1	Sulawesi, Indonesia	(O'Brien and Kinnaird, 1997)	32.1
<i>Miopithecus ogouensis/talapoin</i>	35	Mankokou, Gabon	(Gautier-Hion, 1988)	35



<i>Saguinus fuscicollis</i>	5.8	Quebrada Bl., Peru	(Knogge and Heymann, 2003)	28.3
	53.1	Rio Blanco, Peru	(Garber, 1988)	
	26	Pando, Bolivia	(Porter, 2001)	
<i>Saimiri sciureus</i>	45	Gunma, Brazil	(Lima and Ferrari, 2003)	53.38
	61.75	Ananim, Brazil	(Stone, 2007)	
<i>Sapajus apella</i>	40.3	El Rey, Brazil	(Brown and Zunino, 1990)	32.6
	24.9	Iguazu, Brazil	(Brown and Zunino, 1990)	

Table 4.2. Primer and probe sequences used in TaqMan assays.

Gene	Taxon	Assay	Sequence
<i>hCHIA</i>	Platyrrhines	hCHIA_NW_CD47VWA_F	ATGGTCTGGGCCATTGATCTG
		hCHIA_NW_CD47VWA_R	CCTTCTTCAGGGTGGAGATTAGG
		hCHIA_NW_CD47VWA_M	ATGACTTCACTGGCACTTTCT
<i>mCHIA</i>	Catarrhines	CHIA_OWM_CDGZE6W_F	GAGTGGCTTGGATATGATAACACCAA
		CHIA_OWM_CDGZE6W_R	GGGAACATGCTCACAGGCA
		CHIA_OWM_CDGZE6W_M	ACACAGTCTACCTTGATTTGGAAACT
	Platyrrhines	CHIA_NWM_CDPRJ2G_F	AATTATTACAGGCTGATTGGTTAAAGA
		CHIA_NWM_CDPRJ2G_R	AGGGAATTTTCCTTGGTTGCAGAA
		CHIA_NWM_CDPRJ2G_M	CCATTGACTTGGATGATTTAC

Table 4.3. QuantStudio 3D results for custom assays, *mCHIA* and *hCHIA*, and RNase P reference assay.

Gene	Species	custom assay		reference assay	
		Copies/ $\mu$ l	CI Copies/ $\mu$ l	Copies/ $\mu$ l	CI Copies/ $\mu$ l
<i>mCHIA</i>	<i>Saimiri sciureus</i>	1042.00	1027.2 -- 1057	1061.80	1046.8 -- 1077
	<i>Sapajus apella</i>	1580.40	1559.7 -- 1601.4	1603.50	1582.5 -- 1624.8
	<i>Saguinus fuscicollis</i>	294.63	287.82 -- 301.61	285.11	278.42 -- 291.96
	<i>Callicebus moloch</i>	567.50	557.47 -- 577.71	579.61	569.45 -- 589.95
	<i>Callithrix jacchus</i>	1637.90	1616.5 -- 1659.5	1606.60	1585.7 -- 1627.9
	<i>Erythrocebus patas</i>	1961.60	1940.5 -- 1982.9	1864.50	1844.0 -- 1885.3
	<i>Macaca nigra</i>	580.39	570.18 -- 590.77	591.48	581.16 -- 601.99
	<i>Macaca mulatta</i>	231.92	225.95 -- 238.05	224.21	218.35 -- 230.23
	<i>Macaca nemestrina</i>	1376.60	1358.1 -- 1395.4	1402.30	1383.5 -- 1421.3
	<i>Miopithecus talapoin</i>	1547.50	1527.4 -- 1567.9	1567.00	1546.6 -- 1587.6
<i>hCHIA</i>	<i>Saimiri sciureus</i>	1116.40	1100.8 -- 1132.2	1119.00	1103.3 -- 1134.8
	<i>Saguinus fuscicollis</i>	313.86	306.88 -- 321.01	308.76	301.84 -- 315.84
	<i>Callithrix jacchus</i>	1345.00	1326.8 -- 1363.5	1348.20	1329.9 -- 1366.7



Figure 4.1. Locations of primers and probes along the *mCHIA* and *hCHIA* gene.

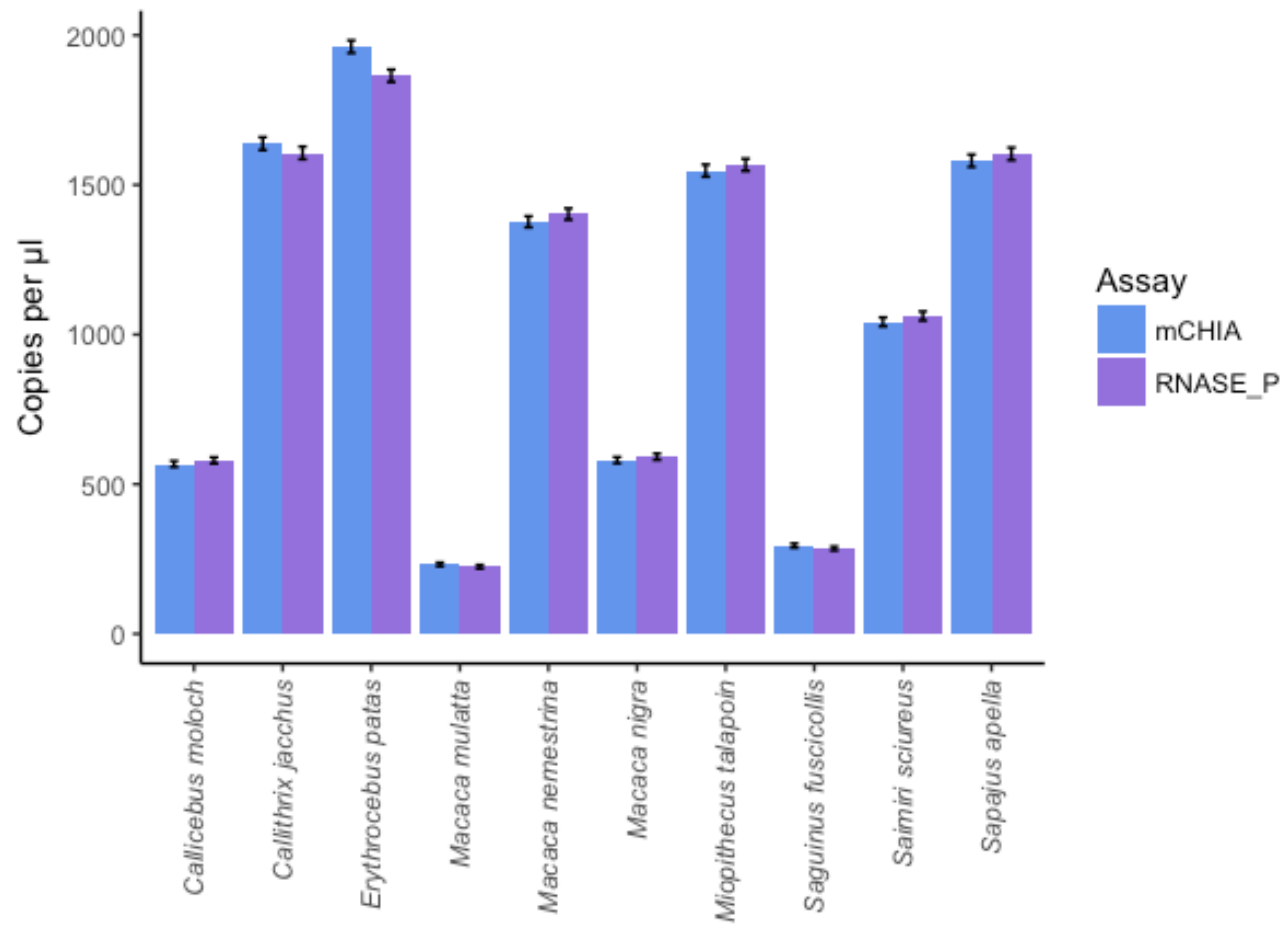


Figure 4.2. Copies per microliter measured for *mCHIA* and reference assays.

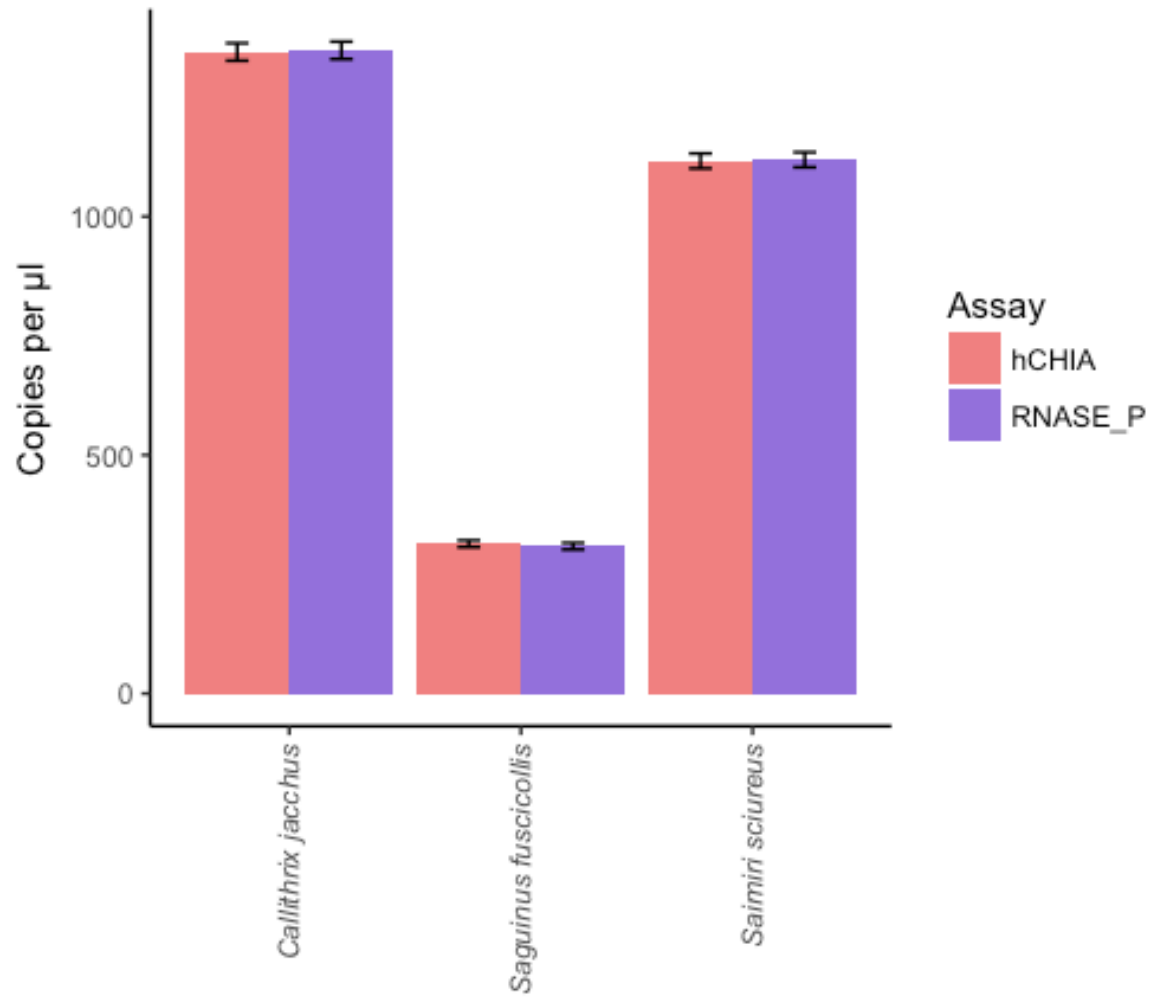


Figure 4.3. Copies per microliter measured for *hCHIA* and reference assays.

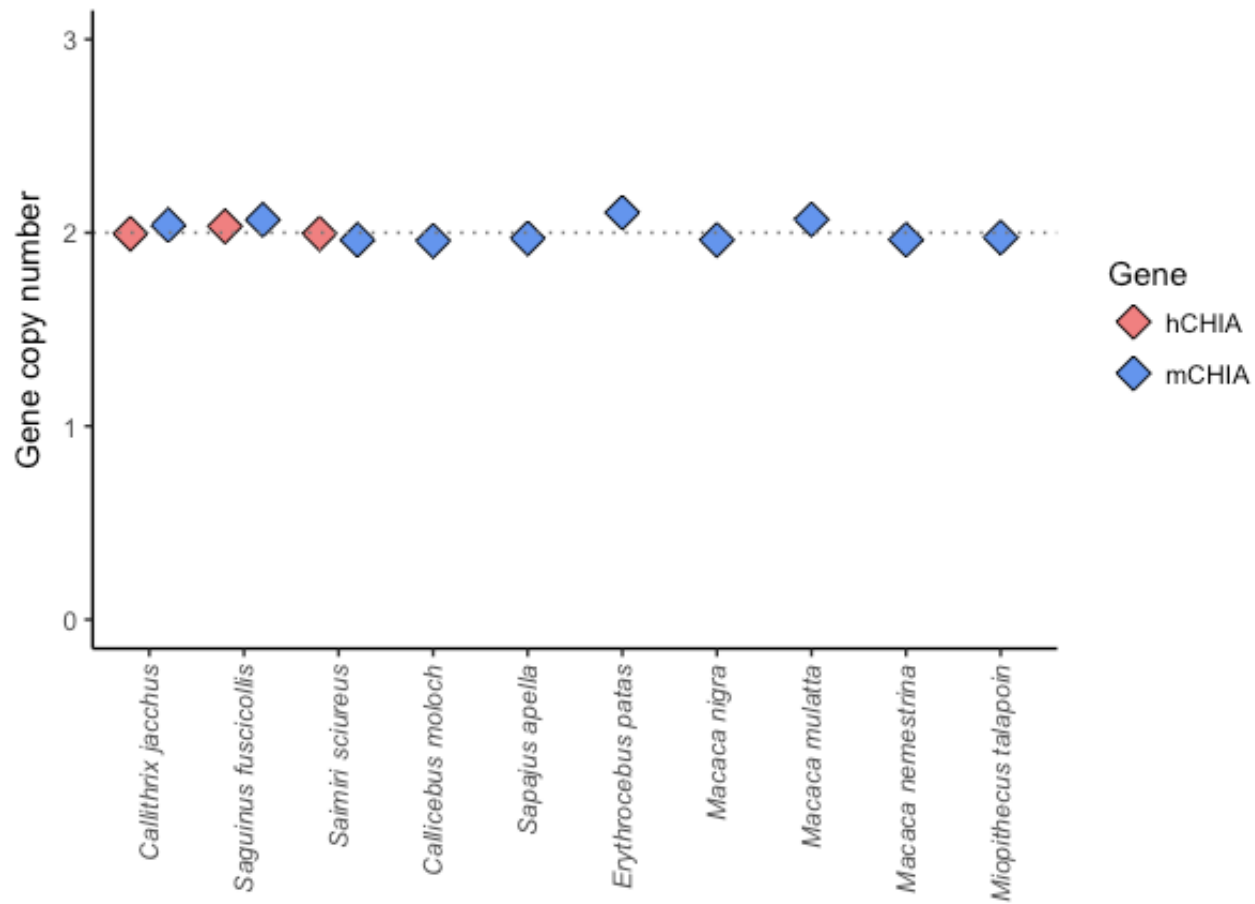


Figure 4.4. Relative copy number of *mCHIA* and *hCHIA* per diploid genome. Based on comparison with the RNase P reference assay, which detects *RPPH1*, a gene with a known number of copies per diploid genome.

## Chapter 5. Conclusion

### Summary and Conclusions

Digestive enzymes play a crucial role in an organism's digestive system and cannot be overlooked when discussing an animal's suite of dietary adaptations (Stevens and Hume, 1995; Zhang, 2006; Perry et al., 2007; Tishkoff et al., 2007; Axelsson et al., 2013; Ranciaro et al., 2014; Janiak, 2016). Some primate foods present digestive challenges, such as leaves, which may contain fiber, tannins and toxins (Simmen et al., 2012; Garber et al., 2015), or insects, whose exoskeletons are made up of chitin (Finke, 2007; Raubenheimer and Rothman, 2013). As primates diversified and adapted to available dietary niches (Rosenberger, 1992), changes in digestive enzymes allowed them to both tolerate new food resources and maximize the energy obtained from them (Stevens and Hume, 1995; Karasov and Douglas, 2013).

In chapter 2, I show that the pancreatic ribonuclease gene, *RNASE1*, has been duplicated in a non-colobine primate, the mantled howler monkey (*Alouatta palliata*), and that the duplicated genes (*RNASE1B*, *RNASE1C*) have biochemical properties and amino acid substitutions that are convergent with those found in foregut-fermenting primates and ruminants (Zhang et al., 2002; Zhang, 2003a; b; Schienman et al., 2006; Zhang, 2006; Yu et al., 2010). These proteins may therefore be used for an analogous function in howler monkeys, digesting the products of microbial fermentation in the caeco-colic region, a potentially substantial source of energy (Milton and McBee, 1983). Along with behavioral and morphological adaptations, these duplicated proteins may be crucial digestive enzyme adaptations allowing howler monkeys to survive on a folivorous diet during times of fruit scarcity.



Chapter 3 presents the first large, comparative dataset on the acidic mammalian chitinase gene family (*CHIA*) in primates. The notion that chitin was indigestible by the endogenous digestive enzymes of primates and other mammals has persisted (Cork and Kenagy, 1989; Oftedal et al., 1991; Simunek and Bartonova, 2005; Strobel et al., 2013; Ohno et al., 2016), despite several studies from the 1970s that suggested that primates have chitinolytic enzymes (Cornelius et al., 1976; Jeuniaux and Cornelius, 1978; Kay and Sheine, 1979). The work in chapter 3 provides evidence to suggest that insect-eating primates share an adaptation found in insectivorous bats (*Vespertilionidae*) and mice (*Mus musculus*) (Strobel et al., 2013; Ohno et al., 2016) and use the enzyme acidic mammalian chitinase to digest the chitin in insect exoskeletons. While most primates retain one functional *CHIA* paralog, more insectivorous and smaller-bodied species have between two and five functional paralogs. The efficient digestion of insect exoskeletons is likely to have important adaptive benefits for all insect-eating primates, through the potentially significant energy and amino acid returns from the digestion of the polysaccharide chitin (Finke, 2007; Rothman et al., 2014) and, for small-bodied primates, by reducing the amount of indigestible bulk in their guts.

To further investigate dietary adaptations for insectivory in the acidic mammalian chitinase genes, chapter 4 focuses on copy number variation in the *CHIA* paralogs. Copy number variation is a common form of structural genetic variation (Stankiewicz and Lupski, 2010; Clop et al., 2012; Sudmant et al., 2013). Higher gene copy numbers have been found to correlate with increased expression of the protein (Hollox et al., 2003; Linzmeier and Ganz, 2006; Perry et al., 2007) and have phenotypic effects (Giuffra et al.,

1999; Elferink et al., 2008; Fontanesi et al., 2009; Wright et al., 2009; Fontanesi et al., 2011). This includes digestive enzymes, such as salivary and pancreatic amylase (Perry et al., 2007; Mandel et al., 2010; Mandel and Breslin, 2012; Axelsson et al., 2013) and pepsin A (Taggart et al., 1985; Narita et al., 2010). However, contrary to the hypothesis, there is no evidence that the *CHIA* genes had higher copy numbers in more insectivorous primate species. All primate species included in this study had two gene copies per diploid genome, suggesting that there is no CNV in primate *CHIA* genes (Chapter 4). However, the expression of acidic mammalian chitinase may be regulated via other mechanisms to correlate with insect consumption in primates.

### **Future Directions**

Future research is needed to fully understand digestive adaptations in primates and other mammals. Without gene expression data for primate digestive tracts, we cannot be certain where the genes investigated here (*RNASE1*, *RNASE1B*, *RNASE1C*, *CHIA*) are expressed and whether they are expressed in the stomach or intestines of primates. One study has found that *mCHIA* is expressed in the stomach of *Macaca fascicularis* (Krykbaev et al., 2010), but data from other primates are not available. It would be especially important to know how the various *CHIA* paralogs are expressed in species that retain more than one functional gene. The tarsier (*Tarsius syrichta*) is most intriguing in this regard, because it is the only primate that is exclusively faunivorous, consuming mostly insects (Gursky, 2007; 2011), and it has five (presumably) functional *CHIA* paralogs.

My work has identified inter-specific variation in *CHIA* sequences, ranging from single nucleotide polymorphisms to larger indels, but it is not clear what effects, if any, this variation has on the functionality of the acidic mammalian chitinase protein. Likewise, changes in the biochemical properties of the howler monkey *RNASE1B* and *RNASE1C* genes are inferred based on the results of previous experiments (Zhang, 2003b; 2006), but need to be confirmed. Cloning the different *CHIA* and *RNASE1* genes into expression vectors to study their effects on appropriate substrates *in vitro* is a clear avenue for future research (Zhang, 2006; Carrigan et al., 2015).

A larger comparative context of acidic mammalian chitinase evolution could further elucidate its role as a digestive enzyme adaptation for insectivory in mammals. The order Chiroptera exhibits exceptionally great dietary diversity, with species variably feeding on blood, insects, small vertebrates, nectar, fruit, or pollen (Schondube et al., 2001). Bats and primates share a gene (or genes) for acidic mammalian chitinase and appear to have a shared adaptation for the digestion of insect exoskeletons (Strobel et al., 2013). However, non-insectivorous species of both orders may have likewise lost the enzyme convergently. Vampire bats, fruit bats, and folivorous monkeys would provide a useful study for convergent evolution in this case.

Finally, I have started a project to investigate the expression of acidic mammalian chitinase in the saliva of insectivorous primates. Salivary enzymes are the first step in enzymatic digestion (Stevens and Hume, 1995), may correlate with diet (Lambert, 1998; Perry et al., 2007; Janiak, 2016), and affect our perception of food (Mandel et al., 2010). Mice have been shown to express chitinase in their saliva (Goto et al., 2003), but it is

unknown whether insectivorous primates, such as bushbabies or tarsiers, share this adaptation.

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## APPENDICES

Appendix A. Supplementary Table 1. Samples and genomes used in Chapter 3.

Species	Sample ID/Source	WGS accession number	GenBank accession number
<i>Allenopithecus nigroviridis</i>	Dr. Anthony Tosi		TBD
<i>Allochrocebus lhoesti</i>	Dr. Anthony Tosi		TBD
<i>Aotus nancymae</i>		JYKP000000000.2	
<i>Callicebus moloch</i>	NG06115, Coriell Biorepository		TBD
<i>Callithrix jacchus</i>			TBD
<i>Callithrix jacchus</i>		ACFV000000000.1	
<i>Cebus capucinus imitator</i>		LVWQ000000000.1	
<i>Cercocebus atys</i>		JZLG000000000.1	
<i>Cercopithecus mitis</i>	Dr. Anthony Tosi		TBD
<i>Chlorocebus aethiops</i>	Dr. Anthony Tosi		TBD
<i>Chlorocebus sabaeus</i>		AQIB000000000.1	
<i>Colobus angolensis</i>		JYKR000000000.1	
<i>Colobus guereza</i>	Dr. Anthony Tosi		TBD
<i>Erythrocebus patas</i>	Dr. Todd Disotell		TBD
<i>Gorilla gorilla gorilla</i>		CABD000000000.3	
<i>Macaca fascicularis</i>	Krykbaev et al., 2010	AQIA000000000.1	
<i>Macaca mulatta</i>		JSUE000000000.3	
<i>Macaca nemestrina</i>		JZLF000000000.1	
<i>Mandrillus leucophaeus</i>		JYKQ000000000.1	

<i>Microcebus murinus</i>		ABDC00000000.3	
<i>Miopithecus talapoin</i>	Dr. Anthony Tosi, Dr. Todd Disotell		TBD
<i>Nasalis larvatus</i>		JMHX00000000.1	
<i>Nomascus leucogenys</i>		ADfV00000000.1	
<i>Otolemur garnettii</i>		AAQR00000000.3	
<i>Pan paniscus</i>		AJFE00000000.2	
<i>Pan troglodytes</i>		AACZ00000000.4	
<i>Papio anubis</i>		AHZZ00000000.2	
<i>Pongo abelii</i>		ABGA00000000.1	
<i>Rhinopithecus bieti</i>		MCGX00000000.1	
<i>Rhinopithecus roxellana</i>		JABR00000000.1	
<i>Saguinus fuscicollis</i>	NG05313, Coriell Biorepository		TBD
<i>Saimiri boliviensis</i>		AGCE00000000.1	
<i>Saimiri sciureus</i>	NG05311, Coriell Biorepository		TBD
<i>Sapajus apella</i>	Dr. George Perry		TBD
<i>Tarsius syrichta</i>		ABRT00000000.2	
<i>Tupaia chinensis</i>		ALAR00000000.1	

**Appendix B. Supplementary Table 2. Primer sequences used in Chapter 3.**

<i>mCHIA</i>	Forward		Reverse	
Platyrrhines				
Exon 1	CHIA_Ex1_F	AGGCAATCTCAATAAAGGAGAATCT	CHIA_Ex1_R	GAAGGTAAAAGAGTTTTAAAGGGGC
Exon 2	CHIA_Ex2_F	AGCAAAAGCTTCCTACTGCTAAA	CHIA_Ex2_R	AACAATATAGTGGTTCATTCCAA
Exon 3	CHIA_Ex3_F	TCTATGACTCACTAGGGAGCTTGTC	CHIA_Ex3_R	TCAGCCTGAAGTCTGCTGTG
	or CHIA_3_F	GGAAATCGTTTGAAATCAGTGGT	CHIA_3_R	TTGTTTAAACTGTCCTTGAAGGTT
Exon 4	CHIA_Ex4_F	CACTTTTCCTGGCTTCTTGC	CHIA_Ex4_R	GGAAACCATGGCAGTGAAAC
Exon 5	CHIA_Ex5_F	GATTTTACTCCAAGGTGTTGGG	CHIA_Ex5_R	GTGTATGCTTTCCAGTCAAAATGA
Exon 6	CHIA_Ex6_F	GAGTTCCAGATCATTTTGACTGG	CHIA_Ex6_R	CGTAGGTCATGACATGGATGTAG
Exon 6 & 7	CHIA_6_7_F	TTCACAGACTTTCTCCTGCTCCTG	CHIA_6_7_R	TCTTGCATCTGCACAGGGACT
Exon 7	CHIA_Ex7_F	ATCCAGTCTGGCTATGAGATCC	CHIA_Ex7_R	CTTGCATCTGCACAGGGAC
Exon 8	CHIA_Ex8_F	AATAATTAATCACTAGGCCATCAGC	CHIA_Ex8_R	GGTTTACTAAATGGACCAAGAACTG
Exon 9	CHIA_Ex9_F	CGTGTCTTTTACCTCTGCCA	CHIA_Ex9_R	CAGGGCTATAATGGGAACT
Exon 10	CHIA_Ex10_F	CCTGTGAGCAAGTTCCCATTA	CHIA_Ex10_R	GGCATTCTCTCAAGTCTGAGG
Exon 11	CHIA_Ex11_F	AGCCAAGAACAACCTCCAGG	CHIA_Ex11_R	AGAAGCAGAAGCCATTGTACAGTAA
	or CHIA_11_F	AAGCAAGGAGGTTTCAGGATTTAT	CHIA_11_R	TTATTGCACAAGAACTACTGAGG

Catarrhines				
Exon 1	CHIA_OWM_Ex1_F	AATGGCAGGTTGGATGAGGG	CHIA_OWM_Ex1_R	GGGGCAGAAAAACAAGAGGG
Exon 2	CHIA_OWM_Ex2_F	GGCTGCTCTACTCACATTCT	CHIA_OWM_Ex2_R	GGAAGGACACAGGGCCATAC
Exon 3	CHIA_OWM_Ex3_F	AGGGAGCTTGTCTCTCACCT	CHIA_OWM_Ex3_R	GCCTGAAGTCAGCTGTGGAA
Exon 4 & 5	CHIA_OWM_Ex4+5_F	TTCCTGGCTTCCTCCTCACT	CHIA_OWM_Ex4+5_R	TGGGTGTATGCTTTCCAGTCA
Exon 6 & 7	CHIA_OWM_Ex6+7_F	TGAATGGAAGGATGGAAGAGG	CHIA_OWM_Ex6+7_R	TCCCCATTTGAGAAAACCACT
Exon 8	CHIA_OWM_Ex8_F	TAGAGTGGGCACAACCAAACC	CHIA_OWM_Ex8_R	ACATGTACCAAGAGGAAGCCA
Exon 9 & 10	CHIA_OWM_Ex9+10_F	CCTCTACTTCCCCTTCCCCA	CHIA_OWM_Ex9+10_R	TCCCTGACTTGCAAGGAACC
Exon 11	CHIA_OWM_Ex11_F	TCCAGGGTATTTGCTCTCACAC	CHIA_OWM_Ex11_R	CACAACAACCTTCTGCGGCTG
<i>hCHIA</i>				
Platyrrhines				
Exon 1	CHIA2_NWM_1_F	CCCTTGGAAGTGCCTAAAAA	CHIA2_NWM_1_R	AACAAGAGGCAGACTGATTTG
Exon 2	CHIA2_2_F	CTTGTCTGTTGGAAGGCTGT	CHIA2_2_R	GACAATGGCCTGGTTTAGGA
Exon 3	CHIA2_NWM_3_F	CATTGTCCCTCCTGCTGATT	CHIA2_NWM_3_R	TCCCGCCTAGGTGACAGA
Exon 4	CHIA2_NWM_4_F	GCAATGTATTTTAAACAGAGGGACT	CHIA2_NWM_4_R	TCCTCAGGGTTTGTACTCTTGAA
Exon 5	CHIA2_5_F	GATACCATGGCTGGGAAGAG	CHIA2_5_R	AGCCACCCAATTCTCCTTG
Exon 6 & 7	CHIA2_NWM_6+7_F	TTTAAGGAGCTAAAATCAGCATCA	CHIA2_NWM_6+7_R	CCCCGTTGTCCTTCCAGTAG

Exon 8	CHIA2_NWM_8_F	TCAATGTGGTGAGTCCCTGT	CHIA2_NWM_8_R	ACAGAGCCCTTTTCAGTCCA
Exon 9 & 10	CHIA2_NWM_9+10_F	GGACTGAAAAGGGCTCTGTG	CHIA2_NWM_9+10_R	GGCAAGAGCAGTGAGGTGA
Exon 11	CHIA2_NWM_11_F	TCACCACTTATGCCCAGATTC	CHIA2_NWM_11_R	CCAGTCAATCAGCTCTAGGTCTT
Catarrhines				
Exon 1	CHIA2_OWM_1_F	CATTTGGAAGCCTTTGTGAT	CHIA2_OWM_1_R	CCACTTCATGATTGTCAGAGC
Exon 2	CHIA2_2_F	CTTGTCTGTTGGAAGGCTGT	CHIA2_2_R	GACAATGGCCTGGTTTAGGA
Exon 3	CHIA2_OWM_3_F	ACCTCCCTCCACACAGAGAT	CHIA2_OWM_3_R	AAATGAAATGCAGAGTCTTGGTC
Exon 4	CHIA2_OWM_4_F	TGCATTTCATGACAAGAACAACA	CHIA2_OWM_4_R	CAGTGATCACATGGGGCTAA
Exon 5	CHIA2_5_F	GATACCATGGCTGGGAAGAG	CHIA2_5_R	AGCCACCCAATTCTCCTTG
Exon 6 & 7	CHIA2_OWM_6+7_F	CTGAGACCTGGGTTTTGGTC	CHIA2_OWM_6+7_R	TCATCATCTCTGGTCTGCACT
Exon 8	CHIA2_OWM_8_F	TGCAATTAACAGCATACAGTTTCA	CHIA2_OWM_8_R	AAATGCTCTTCATTTGGATTATG
Exon 9 & 10	CHIA2_OWM_9+10_F	AACATGTTTTTCTTTAATGGGAGT	CHIA2_OWM_9+10_R	GTGGGAAGACATCAGGGTTG
Exon 11	CHIA2_OWM_11_F	TGGCTTGACACAATAGCTTTACTT	CHIA2_OWM_11_R	CCTAAGCAAAAGGGACTGGA

Appendix C. Supplementary Table 3. Diet data used to calculate average insect consumption in Chapter 3.

Species	Fruit	Leaves	Flowers	Seeds (when not incl. in fruit)	Insects	Other	Notes	Site	References
<i>Allenopithecus nigroviridis</i>	81	5			18			Zaire	Gautier-Hion, 1988
	40		20		0	20	6.7% gum, 13.3% other plant material	Lomako, DRC	Zeeve, 1991
<i>Aotus nancymae</i>	45	41	14				<i>Aotus azarai azarai</i>	Guaycolec, Formosa, Argentina	Arditi & Placci, 1990
	60	"not extensive"	Nectar consumed in July and August				<i>Aotus nigriceps</i>	Manu, Peru	Wright, 1985
	75	10			15		<i>Aotus trivirgatus</i>	Peru	Wright, 1985
	16	40			11	33	<i>Aotus trivirgatus</i>	Paraguay	Wright, 1985
<i>Callicebus moloch</i>	54	28	2		17	1	<i>Callicebus brunneus</i>		Wright, 1985
	50	39			11		<i>Callicebus brunneus</i>		Crandlemire-Sacco, 1988
	70	14	1		8	7	<i>Callicebus brunneus</i>		Lawrence, 2007
<i>Callithrix jacchus</i>	18.1				5.4	89.3	76.4% exudates, 12.9% fungus	Joao Pessoa, Brazil	Alonso & Langguth, 1989
	23				9	68	68% exudates	Nisia Floresta, Brazil	Digby et al., 2011
<i>Cebus capucinus</i>					23		Paper focused on animal foods	Santa Rosa, Costa Rica	Rose, 1994
	62.14	1.13	0	10.57	21.36		adults only	Santa Rosa, Costa Rica	MacKinnon, 2006

	47.8	0.5	1.2		49.8	0.7		La Suerte, Costa Rica	Mallott, 2016
<i>Cercocebus atys</i>	68	2	2		26	1	insects = "animal food"	Taï Forest, Cote d'Ivoire	Bergmüller, cited in McGraw 1998
<i>Cercopithecus lhoesti</i>	24.5	35.2	4	17.7	8.8	9.8		Nyungwe, Rwanda	Kaplin & Moermond, 2000; Kaplin, 2001
<i>Cercopithecus mitis</i>	54.6	18.9	3.7	2.5	16.8	3.5		Kakamega, Kenya	Cords, 1986
	47.4	6.2	6.2	9.3	24.9	6.2		Nyungwe, Rwanda	Kaplin & Moermond, 2000; Kaplin, 2001
	57	26	13		6			Cape Vidal, South Africa	Lawes 1991
	53.5	32.6	10.2		1	2.9		Zomba Plateau, Malawi	Beeson et al., 1996
	91	3	2		0			Ngoye Forest, South Africa	Lawes et al., 1990
	27.7	33	6.9		37.7	0.6		Kibale, Uganda	Butynski, 1990
	30.1	22.8	9.8		35.9	1.3		Kibale, Uganda	Butynski, 1990
<i>Chlorocebus aethiops</i>	11.1	26.6	14.3	2.6	7.7	0.2		Amboseli, Kenya	Wrangham & Waterman, 1981
	5.8	0	44.7	19.6		9.6		Samburo-Isiolo, Kenya	Whitten, 1983
	10	2	8	8	23	10		Segera, Kenya	Isbell et al., 1998
<i>Colobus angolensis</i>	28	50	7		0	15		Ituri, DRC	Bocian, 1997
	23	38	1		0	37	32% lichen	Nyungwe, Rwanda	Vedder & Fashing, 2002
	17	72	5		0	6		Nyungwe, Rwanda	Fimbel et al., 2001
	15	81	2		0	2		Kibale, Uganda	Oates, 1977; 1994

<i>Colobus guereza</i>	5	86	1		0	8		Kibale, Uganda	Wasserman & Chapman, 2003
	12	80	6		0	2		Kibale, Uganda (fragment)	Wasserman & Chapman, 2003
	10	87	3		0	0		Kibale, Uganda (logged)	Wasserman & Chapman, 2003
	25	58	3		0	15		Ituri, DRC	Bocian, 1997
	39	54	1		0	8		Kakamega, Kenya	Fashing, 2001
	26	62	9		0	4		Budongo, Uganda	Plumptre, 2006
	36	53	8		0	3		Budongo, Uganda (logged)	Plumptre, 2006
<i>Erythrocebus patas</i>	10	2	7		35	38	37% exudates	Segera, Kenya	Isbell, 1998
		6	65		12	7	7% gum	Kala Maloue, Cameroon	Nakagawa, 1989
<i>Gorilla gorilla</i>	36.2	30.6			7.7	26.8	other = herbs	Mondika, CAR/Congo	Doran-Sheehy et al., 2009
<i>Macaca fascicularis</i>	66.7	17.2	8.9		4.1	3.1		Tanjung Puting, Kalimantan	Yeager, 1996
	87	1.6	5.4		4	2		Kutai, Kalimantan	Wheatley, 1980
<i>Macaca mulatta</i>	8.5	84.4	5.9		0	1.1		Murree Hills, Pakistan	Goldstein & Richard, 1989
<i>Macaca nemestrina</i>	74.2	11.1	1.1		12.2	1.4		Pasoh, Malaysia	Caldecott, 1986
<i>Mandrillus leucophaeus</i>							not well known in the wild		



<i>Microcebus murinus</i>	63		22		11	4		Mandena, Madagascar	Lahann, 2006
	25				5	70	60% gum (numbers taken from figure)	Ankarafantsika, Madagascar	Thoren et al., 2011
<i>Miopithecus</i>	43	5			35				Gautier-Hion, 1988
<i>Nasalis larvatus</i>	11	74	8		0	8		Kinabatangan-Sukai	Boonratana, 1993
	40	52	3		0	5		Tanjung Puting	Yeager, 1989, 1990
	58	41			0			Samunsam	Bennett & Sebastian, 1988
<i>Nomascus leucogenys</i>	39	53	5		4			Meng La, Yunnan, China	Hu et al., 1989, 1990
<i>Otolemur garnettii</i>	50				50		based on stomach contents		Charles-Dominique, 1977
<i>Pan paniscus</i>	55	14	2		2	27	25% terrestrial herbaceous vegetation		Conklin-Brittain et al., 2006
<i>Pan troglodytes</i>	60.8	4.3	5.5		23.9	1.6		Fongoli, Senegal	Bogart & Pruetz, 2011
	49.2	36.1	6.3	3.4		5.9	other = invertebrates, vertebrates, bark	Bossou, New Guinea	Sugiyama & Koman, 1987
	56	16	8	7	2		insects = "animal food"	Goualougo, Republic of Congo	Morgan & Sanz, 2006
	88	3	2		3		insects = "animal food"	Ndoki, Republic of Congo	Kuroda et al., 1996
	69.2	9.9	6.4	7.7		1		Lope, Gabon	Tutin et al., 1997
	36	22	7.8	4.8	6.6	8		Gombe, Tanzania	Wrangham, 1977
	54.3	23			4.1	2.9		Mahale, Tanzania	Matsumoto-Oda, 2002

	64.5	19.7	8.8		4		Insects = "animal food"	Budongo, Uganda	Newton-Fisher, 1999
	79	2.6			0.9		Insects = "animal food"	Kibale, Uganda	Wrangham et al., 1996
<i>Papio anubis</i>	55	33	7		3	3		Bole Valley, Ethiopia	Dunbar & Dunbar, 1974
	24.3	7.2	29.5			41	other = USOs, grasses, stems	Laikipia, Kenya	Barton et al., 1993
	47	17				27	17% garbage	Budongo Forest, Uganda	Okecha & Newton-Fisher, 2006
	47	5	6		1	41	29% grasses	Comoe, Cote d'Ivoire	Kunz & Linsenmair, 2008
<i>Pongo abelii</i>	67.5	16.5			8.8	4.8		Ketambe, Sumatra	Wich et al., 2006
	66.2	15.5			13.4	3.8		Suaq, Sumatra	Wich et al., 2006
<i>Rhinopithecus bieti</i>	11	20			0	69	67% lichen		Grueter et al. 2009
<i>Rhinopithecus roxellana</i>	29.4	24			0	46.6	29% lichen		Guo, Li, Watanabe 2007
<i>Saguinus fuscicollis</i>	64.2				5.8	30.3	30.3% exudates	Quebrada Bl., Peru	Knogge & Heymann, 2003
	39.2				53.1	7.6	7.6% exudates	Rio Blanco, Peru	Garber, 1988
	63				26	18	12% exudates	Pando, Bolivia	Porter, 2001
<i>Saimiri boliviensis</i>							no wild studies		
<i>Saimiri sciureus</i>	55		(incl in fruit)		45			Gunma, Brazil	Lima & Ferrari, 2003
					61.75		contribution of other food items not easily available	Ananim, Brazil	Stone, 2007
<i>Sapajus apella</i>	37.2	18.6	3.9		40.3			El Rey, Brazil	Brown & Zunino, 1990

	2.9	72.3	0		24.9		Iguazu, Brazil	Brown & Zunino, 1990
<i>Tarsius syrichta</i>					90	10	no observations of anything but insects and small vertebrates	Gursky, 2011
<i>Tupaia belangeri/chinensis</i>					80?		few wild studies, mostly based on stomach content	Langham, 1982

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**Appendix D. Supplementary Table 4. Full QuantStudio 3D digital PCR results.**

Assay	Target dye	Sample	Dilution	Chip file	Copies/Rxn	CI Copies/Rxn	Copies/micro liter	CI Copies/microliter
mCHIA	FAM	Saimiri sciureus	1	170718_172755_C08 AA7.eds	0.793	0.777 -- 0.81	1050.8	1029.6 -- 1072.5
RNase P	VIC	Saimiri sciureus	1	170718_172755_C08 AA7.eds	0.807	0.791 -- 0.823	1068.6	1047.1 -- 1090.6
mCHIA	FAM	Saimiri sciureus	1	170718_172702_C07 TIX.eds	0.78	0.765 -- 0.796	1033.5	1013 -- 1054.5
RNase P	VIC	Saimiri sciureus	1	170718_172702_C07 TIX.eds	0.797	0.781 -- 0.813	1055.3	1034.4 -- 1076.5
mCHIA	FAM	Sapajus apella	1	170718_173059_C08 LWC.eds	1.171	1.15 -- 1.193	1551.4	1522.7 -- 1580.8
RNase P	VIC	Sapajus apella	1	170718_173059_C08 LWC.eds	1.187	1.165 -- 1.209	1571.8	1542.7 -- 1601.5
mCHIA	FAM	Sapajus apella	1	170718_173155_C07 ER5.eds	1.215	1.193 -- 1.238	1609.7	1580 -- 1640
RNase P	VIC	Sapajus apella	1	170718_173155_C07 ER5.eds	1.235	1.212 -- 1.258	1635.7	1605.5 -- 1666.4
mCHIA	FAM	Saguinus fuscicollis	1	170718_173412_C07 Y97.eds	0.217	0.21 -- 0.224	287.37	277.89 -- 297.18
RNase P	VIC	Saguinus fuscicollis	1	170718_173412_C07 Y97.eds	0.218	0.21 -- 0.225	288.31	278.81 -- 298.13
mCHIA	FAM	Saguinus fuscicollis	1	170718_173250_C08 B1E.eds	0.228	0.22 -- 0.235	301.67	291.98 -- 311.67
RNase P	VIC	Saguinus fuscicollis	1	170718_173250_C08 B1E.eds	0.213	0.206 -- 0.22	281.92	272.6 -- 291.56
mCHIA	FAM	Callicebus moloch	1	170718_172855_C07 Y7D.eds	0.403	0.393 -- 0.414	533.78	520.1 -- 547.83



RNase P	VIC	Callicebus moloch	1	170718_172855_C07 Y7D.eds	0.413	0.403 -- 0.424	547.47	533.57 -- 561.73
mCHIA	FAM	Callicebus moloch	1	170718_172949_C088 E9.eds	0.453	0.442 -- 0.464	599.35	584.84 -- 614.23
RNase P	VIC	Callicebus moloch	1	170718_172949_C088 E9.eds	0.461	0.449 -- 0.472	610.04	595.36 -- 625.09
mCHIA	FAM	Callithrix jacchus	1	170718_173515_C07 ES4.eds	1.247	1.224 -- 1.27	1651	1621 -- 1681.6
RNase P	VIC	Callithrix jacchus	1	170718_173515_C07 ES4.eds	1.192	1.17 -- 1.214	1578.4	1549.6 -- 1607.8
mCHIA	FAM	Callithrix jacchus	1	170718_173618_C082 E3.eds	1.226	1.204 -- 1.249	1624.2	1594.1 -- 1654.9
RNase P	VIC	Callithrix jacchus	1	170718_173618_C082 E3.eds	1.235	1.212 -- 1.259	1636.2	1605.9 -- 1667.2
mCHIA	FAM	Macaca nigra	1	170717_164333_C07 XZY.eds	0.427	0.417 -- 0.438	565.95	551.81 -- 580.45
RNase P	VIC	Macaca nigra	1	170717_164333_C07 XZY.eds	0.438	0.427 -- 0.449	580.14	565.78 -- 594.87
mCHIA	FAM	Macaca nigra	1	170717_164232_C07 TQ3.eds	0.449	0.438 -- 0.46	594.67	580.07 -- 609.65
RNase P	VIC	Macaca nigra	1	170717_164232_C07 TQ3.eds	0.455	0.444 -- 0.466	602.74	588.01 -- 617.84
mCHIA	FAM	Erythrocebus patas	1	170717_163743_C07F G3.eds	0.775	0.759 -- 0.791	1026.6	1005.3 -- 1048.3
RNase P	VIC	Erythrocebus patas	1	170717_163743_C07F G3.eds	0.65	0.636 -- 0.665	861.26	842.5 -- 880.44
mCHIA	FAM	Erythrocebus patas	1	170717_163857_C07S CG.eds	0.745	0.729 -- 0.761	986.53	966.01 -- 1007.5
RNase P	VIC	Erythrocebus patas	1	170717_163857_C07S CG.eds	0.625	0.612 -- 0.639	828.29	810.13 -- 846.85

mCHIA	FAM	Erythrocebus patas 2	1	170926_150540_C07F U3.eds	3.318	3.243 -- 3.395	4394.8	4295.1 -- 4496.9
RNase P	VIC	Erythrocebus patas 2	1	170926_150540_C07F U3.eds	3.298	3.223 -- 3.374	4367.7	4269 -- 4468.6
mCHIA	FAM	Erythrocebus patas 2	1	170926_150637_C082 MP.eds	3.012	2.947 -- 3.078	3989.1	3903.5 -- 4076.7
RNase P	VIC	Erythrocebus patas 2	1	170926_150637_C082 MP.eds	2.999	2.935 -- 3.065	3972.7	3887.6 -- 4059.7
mCHIA	FAM	Macaca mulatta	1	170717_164127_C07I 8N.eds	0.183	0.177 -- 0.19	242.9	234.32 -- 251.8
RNase P	VIC	Macaca mulatta	1	170717_164127_C07I 8N.eds	0.173	0.166 -- 0.179	228.83	220.52 -- 237.45
mCHIA	FAM	Macaca mulatta	1	170717_164022_C08 KM6.eds	0.166	0.16 -- 0.173	220.35	212.16 -- 228.85
RNase P	VIC	Macaca mulatta	1	170717_164022_C08 KM6.eds	0.166	0.16 -- 0.172	219.46	211.29 -- 227.94
mCHIA	FAM	Macaca nemestrina	1	170717_164508_C085 SH.eds	1.043	1.023 -- 1.063	1381.3	1354.8 -- 1408.2
RNase P	VIC	Macaca nemestrina	1	170717_164508_C085 SH.eds	1.066	1.045 -- 1.086	1411.3	1384.3 -- 1438.7
mCHIA	FAM	Macaca nemestrina	1	170717_164616_C08 HTL.eds	1.036	1.017 -- 1.056	1372.2	1346.5 -- 1398.5
RNase P	VIC	Macaca nemestrina	1	170717_164616_C08 HTL.eds	1.052	1.033 -- 1.072	1393.7	1367.6 -- 1420.2
mCHIA	FAM	Miopithecus talapoin	1	170926_150733_C07 ELV.eds	1.172	1.151 -- 1.194	1552.8	1524.1 -- 1582.1
RNase P	VIC	Miopithecus talapoin	1	170926_150733_C07 ELV.eds	1.184	1.162 -- 1.206	1567.8	1538.9 -- 1597.4
mCHIA	FAM	Miopithecus talapoin	1	170926_150844_C082 J4.eds	1.165	1.143 -- 1.186	1542.4	1514.3 -- 1571

RNase P	VIC	Miopithecus talapoin	1	170926_150844_C082 J4.eds	1.182	1.161 -- 1.204	1566.1	1537.7 -- 1595.2
hCHIA	FAM	Saimiri sciureus	1	170831_161353_C08 AHG.eds	0.887	0.869 -- 0.904	1174.2	1151 -- 1197.9
RNase P	VIC	Saimiri sciureus	1	170831_161353_C08 AHG.eds	0.884	0.866 -- 0.902	1170.6	1147.4 -- 1194.2
hCHIA	FAM	Saimiri sciureus	1	170831_161301_C07 WL9.eds	0.802	0.786 -- 0.818	1062.2	1041.3 -- 1083.5
RNase P	VIC	Saimiri sciureus	1	170831_161301_C07 WL9.eds	0.808	0.792 -- 0.824	1070.6	1049.6 -- 1092
hCHIA	FAM	Saguinus fuscicollis	1	170831_161456_C07 WNV.eds	0.227	0.22 -- 0.235	301.29	291.67 -- 311.22
RNase P	VIC	Saguinus fuscicollis	1	170831_161456_C07 WNV.eds	0.225	0.218 -- 0.232	297.79	288.24 -- 307.66
hCHIA	FAM	Saguinus fuscicollis	1	170831_161555_C07 YAV.eds	0.246	0.239 -- 0.254	326.03	315.99 -- 336.38
RNase P	VIC	Saguinus fuscicollis	1	170831_161555_C07 YAV.eds	0.241	0.234 -- 0.249	319.41	309.49 -- 329.64
hCHIA	FAM	Callithrix jacchus	1	170831_161106_C07 H2K.eds	0.829	0.813 -- 0.846	1098.1	1076.2 -- 1120.4
RNase P	VIC	Callithrix jacchus	1	170831_161106_C07 H2K.eds	0.829	0.813 -- 0.846	1098.6	1076.7 -- 1120.9
hCHIA	FAM	Callithrix jacchus	1	170831_161204_C07 O3Q.eds	1.207	1.185 -- 1.23	1599.3	1569.8 -- 1629.2
RNase P	VIC	Callithrix jacchus	1	170831_161204_C07 O3Q.eds	1.212	1.19 -- 1.235	1605.4	1575.9 -- 1635.5
hCHIA	FAM	control	1	170831_161659_C07 EPI.eds	1.10E-04	2.76E-5 -- 4.41E-4	0.146	3.65E-2 -- 0.584
RNase P	VIC	control	1	170831_161659_C07 EPI.eds	1.66E-04	5.34E-5 -- 5.13E-4	0.219	7.07E-2 -- 0.68

hCHIA	FAM	control	1	170831_161756_C07 ER7.eds	1.18E-04	2.96E-5 -- 4.73E-4	0.157	3.92E-2 -- 0.626
RNase P	VIC	control	1	170831_161756_C07 ER7.eds	5.91E-05	8.33E-6 -- 4.20E-4	7.83E-02	1.10E-2 -- 0.556